



How do farm sizes and perceptions matter for farmers adaptation responses to climate change in a developing country?

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Abstract

Farm sizes and climatic perceptions are important economic and cognitive factors for farmers' activities. However, little is known about how these factors are related to farmers' responsiveness to climate change. This research addresses what matters for farmers' responses to the climate change, hypothesizing that farm sizes, climatic perceptions and the interplay between the two are key determinants. We conduct questionnaire surveys with 1000 farmers in Nepal, collecting data on their adaptation responses, farm sizes, climatic perceptions and sociodemographic information in Nepal. With the data, the statistical analysis is conducted by employing the index to reflect farmers' effective adaptation responses. The result reveals that farmers take adaptations as the farm sizes become small or as they have good climatic perceptions & social network with other farmers. It also shows that small-sized farmers tend to adapt much more in response to their climatic perceptions than do large-sized ones. Overall, this research suggests that agriculture may be losing responsiveness to climate change, as large-sized farmers become dominant by holding a majority of land in developing countries. Thus, it is advisable to reconsider the tradeoff between productivity and responsiveness to climate change regarding farm sizes as well as how large-sized farmers can be induced to adapt through their cognition, policies, social networking and technology for food security.

Key Words: climate change; agriculture; farm sizes; adaptations; perceptions; interplay

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Nomenclature

- WTP Willigness To Pay
- VDC Village Development Commitee
- HH Household
- RA Research Assistant
- AFU Agriculture and Forestry University
- AdaptN Number of Adaptations
- AI Adaptation Index
- ha Hectare
- CPI Climate Perception Index
- NPR Nepali Rupees

1 Introduction

Climate change has brought several devastating consequences to the agricultural sector, posing a serious challenge to farmers' welfare (Rosenzweig et al., 2013, IPCC, 2014). There is an urgent need for farmers to take necessary adaptation responses for minimizing the consequences of climate change (McCarthy et al., 2001, IPCC, 2014).¹ In the last two decades, improvement in farmers' capacity has been recognized to be the key element at enhancing their adaptation responses in both developed and developing countries (Yohe and Tol, 2002, Smit and Wandel, 2006, Vincent, 2007, Fussler, 2007, Cinner et al., 2018). In particular, economic and cognitive factors are known to be crucial for farmers' adaptive capacity (Grothmann and Patt, 2005). This study addresses farmers' responsiveness to climate change in relation to economic and cognitive factors through studying their adaptations.

The farm size is one of the key economic factors for farmers' agricultural activities in response to climate change (Ullah et al., 2019, Kumar et al., 2020). Several studies examine adaptations in relation to farm sizes, focusing on subsistence farmers by conducting questionnaire surveys (Eitzinger et al., 2018, Ontl et al., 2017, Trinh et al., 2018, Abid et al., 2019, Khan et al., 2020, Ahmed et al., 2021). A group of studies shows positive associations between farm sizes and farmers' adaptation responses (Piya et al., 2012, Ashraf et al., 2014, Belay et al., 2017, Trinh et al., 2018). For instance, a recent study by Jiao et al. (2020) analyzes adaptation decisions and intensities, showing that farm sizes matter only for the intensities. Another group of studies reports negative associations between farm sizes and adaptation responses to climate change (Deressa et al., 2010, Uddin et al., 2014, Amare and Simane, 2017). For example, a study by Khan et al. (2020) investigates adaptation choices, and demonstrates that farm sizes inhibit farmers to choose some adaptations, such as irrigation time changes and use of short duration varieties. Overall, the literature establishes that the farm size is an influential factor for farmers' adaptation responses to climate change. However, the directions and magnitudes of the farm sizes' influences are mixed

¹The adaptation is defined as the adjustment of agronomic practices, agricultural processes and capital investments in response to observed or expected climate change risks (Easterling et al., 2007, IPCC, 2014).

26 with positive and negative associations.

27 Past literature examines the relationship between farmers' or people's climatic perceptions and
28 responses to climate change by conducting questionnaire surveys (Below et al., 2012, Niles et al.,
29 2013, Abid et al., 2016, Ndamani and Watanabe, 2015, Azadi et al., 2019, Soubry et al., 2020).²
30 Arbuckle Jr et al. (2013) analyze climatic perceptions and attitudes in the United States, indicat-
31 ing that farmers tend to display positive attitudes toward adaptations when they perceive climate
32 change. Islam et al. (2016) analyze the relation between climatic perceptions and willingness to pay
33 (WTP) for flood mitigations by taking a sample of 1011 people in Bangladesh, and show that peo-
34 ple with correct perceptions tend to have higher WTP than those without them. Abid et al. (2019)
35 examine climatic perceptions and adaptation intentions by taking 450 farmers from Pakistan as a
36 sample, finding the positive effects of the perceptions on their intentions. Khanal et al. (2018) and
37 Khanal and Wilson (2019) investigate adaptations by taking the Nepalese samples, showing that
38 farmers with beliefs on climate change adapts more than the ones without such beliefs. Overall,
39 these studies establish that farmers or people tend to take some responses to climate change when
40 they perceive climate change or have correct perceptions to temporal trends in climate variables.

41 Previous studies analyze some adaptations and/or responses to climate change associated with
42 farm sizes or climatic perceptions, mainly focusing on subsistence farmers (Below et al., 2012,
43 Khanal and Wilson, 2019, Jiao et al., 2020). Some theoretical models of climate-change adap-
44 tations are proposed by Grothmann and Patt (2005) and Reser and Swim (2011), suggesting that
45 people's responses to climate change shall be characterized by their cognitive and economic factors
46 in an interactive way.³ However, few studies have empirically examined how cognitive, economic
47 factors and the interplay affect farmers' responses to climate change within a single framework.
48 This research investigates what matters for farmers' adaptations as responses to climate change,
49 hypothesizing that farm sizes, climatic perceptions and the interplay between them are the key

²Climatic perception is defined as a state of opinions and/or awareness toward the change in climate variables (Ruiz et al., 2020).

³Grothmann and Patt (2005) develop a socio-cognitive model, called "*Model of Private Proactive Adaptation to Climate Change* (MPPACC)," stating that motivations, perceptions and sociodemographic factors play roles for adaptation responses.

50 determinants. A novelty of this study lies in collecting the data from a wide range of farmers, not
51 only subsistence but also large-sized commercial farmers, as well as in analyzing how such farm-
52 ers' adaptation responses differ by the farm sizes and by their interaction with climatic perceptions.

53 **2 Methodology**

54 **2.1 Study areas and data collection**

55 The primary data were collected from the former five development regions (Eastern, Central,
56 Western, Mid-Western and Far-Western), covering ten districts of Nepal as shown in figure 1. The
57 districts were randomly selected for wide geographical coverage.⁴ One Village Development Com-
58 mittee (VDC) or a municipality was randomly identified in each selected district where agriculture
59 was the main occupation for most households. After consulting with selected VDC or municipality
60 officers, we identified 2 to 4 wards for the study. A list of households (HHs) was obtained from
61 the VDC office for each identified ward as a sampling frame and utilized to select HHs to be sur-
62 veyed. Using a systematic random sampling method, we identified 25 - 40 HHs for each ward and
63 collected information of a total of 1000 HHs from the study areas.

64 The questionnaires were prepared in the local Nepali language, pre-tested with non-sampled
65 HHs and finally administered to the sampled HHs of the study areas. We hired graduate students
66 from Agriculture and Forestry University (AFU) who worked as research assistants (RAs) in this
67 study. The RAs received a one-day orientation session that covered the objectives of the study.
68 They additionally received instructions to collect the informed consent from the HHs that ensures
69 the anonymity of the individual information obtained in the surveys. Finally, the RAs administered
70 the questionnaire survey and obtained the necessary information from the study areas under the
71 direct supervision of the first author.

72 [Figure 1 about here.]

⁴The study areas include only Hill and Terai districts since the agricultural activities are primarily carried out in these areas.

73 2.2 Key variables

74 We ask several questions to the HH heads (hereafter, farmers), and obtain farm-related infor-
75 mation, such as farm sizes (or land), adaptations and the land area covered by each adaptation. We
76 also collect information related to cognitive & non-cognitive factors, such as climatic perceptions
77 and education, and other sociodemographic variables from farmers (See table 1 for details). By
78 following Piya et al. (2012) and Below et al. (2012), we prepare a list of adaptations to be able
79 to ask farmers whether or not they take a particular adaptation. Since all listed adaptations might
80 not be applied by farmers in the study areas, the list is pre-tested, revised and included in the final
81 questionnaire. Following the list, each farmer j is asked two questions: (1) Do you adopt a particu-
82 lar adaptation “ a_i ” in your farm? and (2) To what extent the “ a_i ” covers your farm (or land)
83 “ w_{ij} ?”

84 Based on these questions and answers, we calculate two outcome variables or measurements for
85 effective adaptation responses to climate change, AdaptN and AI. The respective value of AdaptN
86 (the number of adaptation) for the j th farmer is calculated as follows:

$$\text{AdaptN}_j = \sum_{i=1}^n a_{ij} \quad (1)$$

87 where subscript i indicates an index of adaptations for $i = 1, \dots, n$, and a_{ij} is a dummy variable
88 for adaptation i that takes value 1 if the j th farmer adapts; otherwise, 0. The respective value of AI
89 (adaptation index) for the j th farmer is calculated as follows:

$$\text{AI}_j = \sum_{i=1}^n a_{ij} w_{ij} \quad (2)$$

90 where $w_{ij} = \frac{\text{Farm-size coverage of } a_{ij}}{\text{Total land of the } j\text{th farmer}}$ with $0 \leq w_{ij} \leq 1$, following Below et al. (2012) and Khanal and
91 Wilson (2019). The theoretical values of the AdaptN_j and AI_j range from 0 to n .

92 Suppose that the j th farmer takes two adaptations of a_{1j} and a_{2j} with 75 % and 60 % farm-size
93 coverages, respectively. In this case, the AdaptN_j is 2, while the AI_j is 1.35 ($= 1 \times 0.75 + 1 \times 0.60$).

94 Therefore, the value of AI_j depends not only on whether or not the j th farmer takes the particular
95 adaptation (a_i) but also on to the extent to which each adaptation a_i covers his/her farm size, i.e.,
96 w_{ij} . The difference in the two measurements of $AdaptN_j$ and AI_j lies in whether to consider
97 a weight to each adaptation. $AdaptN_j$ considers only the incidences of all adaptations and the
98 associated sum by assuming that each adaptation covers an entire farm (i.e., $w = 1$). However, it
99 is argued that it is crucial to consider a weight for each adaptation (Below et al., 2012, Khanal and
100 Wilson, 2019). Therefore, we consider both $AdaptN_j$ and AI_j in analyzing farmers' adaptation
101 responses for the purposes of comparison and robustness checks.

102 [Table 1 about here.]

103 Farm size and climatic perceptions are two major independent variables in this study. To make
104 a uniform unit of measurement, the farm size of the j th farm is first recorded in local unit (Katha),
105 and it is computed to hectare (ha) by multiplying it with a conversion factor of 0.0333 ($= \frac{1}{30}$).⁵
106 Following Thapa et al. (2019) and Kumar et al. (2020), farmers are categorized into four dum-
107 mies based on their farm sizes: (i) marginal farmer (farm size < 0.16 ha), (ii) small farmer (0.16
108 ha \leq farm size < 0.33 ha), (iii) medium farmer (0.33 ha \leq farm size ≤ 1.00 ha) and (iv) large
109 farmer (farm size > 1.00 ha). Hereafter, these farm-sized variables are expressed to be farm-size
110 dummies. For climatic perceptions, we ask eight questions to farmers regarding how they have
111 perceived the changes in eight different climate variables: summer temperature, winter tempera-
112 ture, drought, cold waves, hot waves, rainfall frequency, intensity and flood over the last 20 years
113 (Manandhar et al., 2010, Below et al., 2012, Piya et al., 2012, Shrestha et al., 2019). An example
114 of such questions is “have you noticed the changes in the pattern of summer temperature in the
115 last 20 years?” If yes, each farmer proceeds with being asked to report his/her perception to the
116 temporal trend as an increase or a decrease. We record farmers' replies for all eight questions and
117 later compute each of them to be either 1 or 0. If the farmer perceives a change, i.e., either an
118 increase or a decrease, we assign the value as 1, otherwise, 0. Finally, an aggregate CPI (climatic

⁵Note that 1 hectare = 30 Katha = 10000 squared meter.

119 perception index) is calculated to be a sum of all perception answers by the j th farmer to the eight
 120 climate variables (Below et al., 2012, Shrestha et al., 2019).

121 2.3 Statistical analysis

122 This study first calculates, analyzes and interprets the mean, median, standard deviation, min-
 123 imum and maximum of the key variables. Second, it conducts some statistical analyses, such as
 124 Mann-Whitney nonparametric tests, to identify some qualitative relations between the key vari-
 125 ables. To quantitatively examine the relationship between adaptation responses of the dependent
 126 variable and the independent ones, the Poisson and median regression models are employed. We
 127 choose the Poisson regression for characterizing AdaptN_j , because it is a variable of nonnegative
 128 integers with a relatively few observations for each count. We are interested in estimating the ef-
 129 fect of an independent variable on AdaptN_j with the assumption that AdaptN_j follows the Poisson
 130 distribution conditional on a vector of the independent variables, \mathbf{X} . The likelihood function of
 131 AdaptN_j conditional on the observations of \mathbf{X} is expressed as:

$$\text{Prob}(\text{AdaptN}_j = h | \mathbf{X} = \mathbf{x}_j) = \exp[-\exp(\mathbf{x}_j \boldsymbol{\alpha}')] [\exp(\mathbf{x}_j \boldsymbol{\alpha}')]^h / h!, \quad h = 0, 1, 2, \dots, n \quad (3)$$

132 where subscript j is the farmer's ID and $\mathbf{x}_j = (1, x_{1j}, x_{2j}, \dots, x_{kj})$ is a vector of independent
 133 variables observed from the j th farmer, $\boldsymbol{\alpha} = [\alpha_\ell]_{\ell=0}^k = (\alpha_0, \alpha_1, \dots, \alpha_k)$ is a vector of coefficients
 134 associated with \mathbf{x}_j to be estimated and h is the number of adaptations the j th farmer takes, respec-
 135 tively. The estimate for each coefficient of the vector $\boldsymbol{\alpha}$ is obtained via the quasi-maximum like-
 136 lihood estimation method for the Poisson regression based on equation (3) (Ramirez and Shultz,
 137 2000, Cameron and Trivedi, 2005, Wooldridge, 2019). Each estimated coefficient can be inter-
 138 preted as a percentage change with $100 \times \alpha_\ell$ (or $[\exp(\alpha_\ell) - 1] \times 100$) in $\mathbb{E}(\text{AdaptN}_j | \mathbf{X})$ when one
 139 continuous (or dummy) independent variable increases by one unit (or from zero to one), holding
 140 other factors constant.

141 We use median regression to analyze the relationship between AI_j and the independent vari-

142 ables as specified in equation (4), because the AI is identified not to follow a normal distribution
143 by the Shapiro-Wilk tests (Kraska-Miller, 2009, Corder and Foreman, 2014). Median regression
144 is considered more appropriate than the mean-based regression in characterizing a nonnormal de-
145 pendent variable in relation to independent variables (Koenker and Bassett, 1978, Koenker and
146 Hallock, 2001). Mathematically, median regression is expressed as follows:

$$AI_j = \mathbf{x}_j \boldsymbol{\beta}' + \epsilon_j \quad (4)$$

147 where AI_j is the dependent variable of adaptation index for farmer j , $\mathbf{x}_j = (1, x_{1j}, x_{2j}, \dots, x_{kj})$ is
148 the vector of the independent variables, $\boldsymbol{\beta} = (\beta_0, \beta_1, \dots, \beta_k)$ is a vector of the coefficients asso-
149 ciated with \mathbf{x}_j to be estimated via the least absolute distance estimation method and ϵ_j is an error
150 term, respectively. Each coefficient is interpreted as a change in AI median when one continuous
151 (or dummy) independent variable increases by one unit (or from zero to one), holding other vari-
152 ables constant. The results from the Poisson and median regression models are demonstrated and
153 compared between AdaptN and AI associated with the same set of independent variables.

154 **3 Results**

155 Table 2 presents summary statistics of the variables across farm sizes. The results indicate that
156 farmers do agricultural activities on 0.83 ha of land (farm sizes) on average. Regarding farming ex-
157 periences, farmers do not differ considerably across farm sizes, having approximately 20 years of
158 average experiences. Farmers participate in agricultural trainings 0.34 times, and the averages are
159 0.28, 0.28, 0.35 and 0.42 for marginal, small, medium and large farmers, respectively. It suggests
160 that farmers tend to participate in agricultural trainings as farm sizes increase. Farmers generally
161 attain formal education of 6.38 school years, and they are identified to possess 1.43 social net-
162 work, such as cooperative and farm field school. The averages of education for marginal, small,
163 medium and large farmers are 5.96, 6.97, 6.11 and 6.63, respectively, implying that farmers tend
164 to have high education as farm sizes increase. With respect to social network, the averages are

165 1.37, 1.44, 1.47 and 1.38 for marginal, small, medium and large farmers, respectively, demonstrat-
166 ing that there are no considerable differences in social networks across farm sizes.

167 About 50.00 % of the farmers have access to agricultural information, while the percentages
168 are observed to be about 50.00 %, 44.00 %, 50.00 % and 55.00 % for marginal, small, medium and
169 large farmers, respectively. It appears that access to agricultural information does not significantly
170 differ among farmers. The average size of economically active family members, i.e., the labor
171 force, is 3.43, while the averages are not substantially different across farm sizes. In the study
172 areas, 87.00 % of the farmers are identified to be male and the percentages are similar across farm
173 sizes. The overall average household (HH) annual income for farmers is 346 thousands NPR, and
174 it appears that farmers' incomes become high from 271.59 to 438.76 thousands NPR as farm sizes
175 increase. Farmers have average distances of 3.23 km and 2.70 km to reach the nearest agricultural
176 extension service and market, respectively and the distances do not significantly differ across farm
177 sizes. Overall, the summary statistics suggest that farmers are similar in terms of agricultural
178 training, education, active family size, gender, distances to agricultural service and market, while
179 they differ in terms of social network, access to information and HH annual income.

180

[Table 2 about here.]

181 Figure 2 is a bar graph to present the percentages of farmers that perceive some changes in eight
182 climate variables over the last 20 years. A majority of farmers perceive the changes in summer
183 temperature, winter temperature, rainfall intensity, rainfall frequency and drought, whereas about
184 50.00 %, 38.00 % and 22.00 % of them perceive cold waves, hot waves and floods, respectively.
185 The results suggest that climate change is perceived to be an ongoing phenomenon in the study
186 areas, and Nepalese farmers' perceptions are consistent with previous literature (Manandhar et al.,
187 2010, Piya et al., 2012, Khanal and Wilson, 2019, Shrestha et al., 2019). To understand how the
188 perceptions vary across farm sizes, we calculate the perceptions to be a climate perception index
189 (CPI) for comparison (table 2). The overall mean and median values of CPI are found to be 4.99
190 and 5.00, respectively, ranging between 4.82 to 5.18 across farm sizes. These values demonstrate
191 that farmers have homogeneous climatic perceptions.

[Figure 2 about here.]

192

193 Table 2 shows that farmers take 8.00 adaptations on average with the median value of 7.00
194 and some variation across farm sizes. The median AdaptNs are both 6.00 for marginal and small
195 farmers, while they are 7.00 for medium and large farmers, respectively. There is a tendency for
196 farmers to take adaptations as farm sizes increase. The tendency is confirmed from figure 3(a) that
197 shows boxplots of AdaptN by farm sizes. We run the Mann-Whitney test to examine distributional
198 differences in AdaptNs across farm sizes, and apply it to every pair of different-sized farmers.
199 The null hypothesis is that the distributions of AdaptNs between two different-sized farmers are
200 the same. Table 3 shows that the null hypothesis is rejected only for the pair of small and large
201 farmers at 5 % level ($P < 0.05, z = -2.017$). This implies that farmers' adaptations are not
202 statistically identified to depend on farm sizes, while we see a tendency for large-sized farmers to
203 take adaptations.

[Figure 3 about here.]

204

205 The value of average AI for farmers is 1.31, while the averages are 2.52, 1.62, 1.00 and 0.79 for
206 marginal, small, medium and large farmers, respectively (table 2). The average AIs are not only
207 different from one another but also tend to decline when farm sizes increase, i.e., from marginal
208 to large farmers. The results imply that farmers curb adaptation coverages as farm sizes increase.
209 The tendency is confirmed from figure 3(b) that demonstrates the boxplots of AIs across farm sizes.
210 We run the Mann-Whitney test to examine distributional differences in AIs across farm sizes, and
211 apply it to every pair of different-sized farmers. The null hypothesis is that the distributions of
212 AIs between two different-sized farmers are the same. Table 3 shows that the null hypotheses are
213 rejected for all pairs of farmers at 1 % level, implying that AIs are statistically identified to decline
214 with farm sizes.

[Table 3 about here.]

215

216 Table 4 report adaptations and the percentages of farmers taking them by farm sizes. The re-
217 sults show that farmers' adaptation responses vary across farm sizes. For example, nearly 38 %

218 of large farmers use pump irrigation method as an adaptation, while the percentages are 65.55 %,
219 52.40 % and 64.55 % for marginal, small and medium farmers, respectively. Only about 1.00 %
220 of large farmers adapt mixed cropping, while more than 28.00 % of marginal, small and medium
221 farmers take it. There are considerable differences between large and other farmers in some adap-
222 tations, such as supplement with organic/FYM or inorganic fertilizers. More than 63.00 % of
223 marginal, small and medium farmers adapt inorganic and/or organic supplements, while only less
224 than 36.00 % of large farmers take them. Overall, these results suggest that the kinds and actions
225 of farmers' adaptation responses highly depend on farm sizes, indicating the possible reasons for
226 the tendencies of AdaptN and AI observed in figure 3.

227 [Table 4 about here.]

228 Table 5 reports the estimated coefficients of the independent variables on AdaptN in the Poisson
229 regression models 1 to 6 together with the standard errors and statistical significance. We have
230 employed other different regression specifications to check the robustness of the results. The main
231 results are found to remain qualitatively the same in all models. We primarily focus on reporting
232 the effects of farm sizes, CPI, agricultural training, social network, access to information, HH
233 annual income, agricultural service and market distances on AdaptN, because they are of particular
234 interest in drawing implications in this research or stand statistically significant in all models.

235 [Table 5 about here.]

236 The coefficients of farm-size dummies on AdaptN are not statistically significant through mod-
237 els 1, 3 and 5. However, the coefficients for the medium-farmer dummy become statistically sig-
238 nificant at 1 % to 5 % level when we include interaction terms between the farm-size dummy and
239 CPI in models 2, 4 and 6. Model 2 demonstrates that medium farmers are likely to have additional
240 60.64 % AdaptN as compared to marginal farmers (base group), holding other variables fixed. The
241 results could be due to the fact that medium farmers consist of both motivations and/or affordabil-
242 ity to take adaptations as compared to other-sized farmers, as pointed out by previous studies (Piya

243 et al., 2012, Jiao et al., 2020). Overall, the results suggest that farm sizes do not strongly influence
244 farmers to take adaptations except for medium farmers through the interaction with CPI.

245 The coefficients of CPI are statistically significant and positive at 1 % level in models 3 and
246 5, and they remain so at the same level, when we include interaction terms between farm-size
247 dummies and CPI in models 2, 4 and 6. For instance, model 3 shows that farmers tend to take
248 additional 6.10 % AdaptN when CPI improves by one unit. Previous studies similarly find that
249 farmers' adaptations are highly affected by their climatic perceptions (Deressa et al., 2009, Khanal
250 and Wilson, 2019, Azadi et al., 2019, Soubry et al., 2020), suggesting that the climatic perceptions
251 need to be improved to influence their adaptations. The results in this study also confirm that
252 farmers' climatic perceptions are positively associated with farmers' adaptations in a consistent
253 and robust manner.

254 The interaction terms between the medium-farmer (large-farmer) dummy and CPI are identified
255 to be statistically significant at 1 % to 10 % level in models 2, 4 and 6. Since the coefficients of
256 the interaction terms in these models are negative, the relationship between farm-size dummies
257 and CPI appears to reflect substitutability one another. To statistically confirm the relationship, we
258 calculate the marginal effects of CPI on AdaptN for each of medium and large farmers based on
259 the estimated coefficients in models 2, 4 and 6. We identify that the marginal effects of CPI for
260 medium and large farmers do not stand statistically significant, implying that farmers' adaptations
261 in response to CPI do not practically depend on farm sizes.

262 Some variables, such as agricultural training, social network and agricultural service distance,
263 show statistically consistent and positive tendencies toward AdaptN. Farmers are likely to have
264 additional 8.00 % AdaptN when they receive one unit of agricultural training. Past studies similarly
265 argue that trainings can help farmers to acquire adaptation-related knowledge and skills, supporting
266 them to increase responses (Piya et al., 2012, Trinh et al., 2018, Diallo et al., 2020). The result
267 implies that Nepalese farmers tend to adapt to climate change when trainings are given to them,
268 being in line with the literature. Farmers are identified to take additional 11.30 % AdaptN when the
269 social network increases by one unit. The positive effect may be due to the fact that social networks

270 function as social devices for Nepalese farmers (i) to learn adaptations from other farmers and (ii)
271 to receive financial supports, such as credits, enhancing their adaptation responses. The role of
272 social network is well established in economics and sociology literature to overcome imperfect
273 knowledge about new technologies (Foster and Rosenzweig, 1995, Bandiera and Rasul, 2006,
274 Yamogo et al., 2018). The results suggest that social networking, such as cooperatives and farmers'
275 field schools, are crucial for farmers' adaptation abilities and capacities. Farmers tend to have an
276 increase in AdaptN by about 1.50 % when market distance increases by 1 km. The result can be
277 supported by the findings in Below et al. (2012), because farmers whose fields are away from
278 markets diversify production methods and/or try to reduce risks associated with climate. Overall,
279 these results suggest that farmers' adaptations are positively associated with agricultural training,
280 social network and market distance.

281 Farmers with access to agricultural information tend to reduce AdaptN by 7.32 % as compared
282 to the farmers without access. This result suggests that agricultural information is substitutability
283 to farmers' adaptations in Nepalese contexts. Our result contradicts previous findings that show
284 the positive influence of agricultural information on farmers' adaptations (Deressa et al., 2009,
285 Tambo and Abdoulaye, 2011, Khanal and Wilson, 2019, Khatun et al., 2021). We conjecture that
286 Nepalese farmers are not required to take additional adaptations when agricultural information
287 becomes available due to geographical and/or farming practices. Farmers are likely to reduce AI
288 by about 6.20 % when their HH annual income rises by 1 %. It may imply that having high HH
289 income does not motivate farmers to take adaptations or low-income farmers are motivated to
290 reduce their risks by diversifying agricultural activities, as argued in Chambers (1987). Farmers
291 tend to reduce AdaptN by about 3.50 % when agricultural service distance increases by 1 km. We
292 argue that farmers cultivating in close proximity to agricultural services are benefited by extension
293 workers' frequent visits and suggestions, leading them to take adaptations. The result is consistent
294 with past studies (Piya et al., 2012, Abid et al., 2019, Kumar et al., 2020) in that agricultural
295 service extension is identified to be crucial for farmers' activities and productions. Overall, these
296 results suggest that farmers' adaptations are negatively associated with agricultural information,

297 HH annual income and agricultural service distance.

298 [Table 6 about here.]

299 Table 6 reports the estimated coefficients of the independent variables on AI in median regres-
300 sion models 1 to 6 along with the standard errors and statistical significance.⁶ The coefficients of
301 farm-size dummies on AI are statistically significant at 1 % level in models 1, 3 and 5 with negative
302 signs, and the tendencies remain the same in a coherent manner, even when we include interaction
303 terms between farm-size dummies and CPI in models 2, 4 and 6. For example, model 1 shows that
304 small farmers take 0.776 less AI than marginal farmers, holding other variables fixed. Likewise,
305 model 1 demonstrates that medium and large farmers tend to reduce AI by 1.480 and 1.750, respec-
306 tively, as compared to marginal farmers. The results can be attributed to inflexibility of large-sized
307 farmers to take adaptations compared small-sized ones as cumulative investments and/or efforts to
308 do so become large (Uddin et al., 2014, Khanal and Wilson, 2019). It is also argued that large-sized
309 farmers lack motivations and tend to overlook small cost-effective adaptations as their adaptation
310 option (Khan et al., 2020). This argument is in line with table 3 in that large-sized farmers tend not
311 to take small adaptations, such as mixed cropping and changes in irrigation and nutrient amend-
312 ments, as compared to small-sized farmers. Overall, the results imply that farmers do not take
313 adaptations as farm sizes become large.

314 The coefficients of CPI on AI are not statistically significant in models 3 and 5. However,
315 they become statistically significant with positive signs at 1 % level, when we include interaction
316 terms between farm-size dummies and CPI in models 2, 4 and 6. The estimated coefficients of CPI
317 on AI range between 0.215 and 0.245, demonstrating that farmers take adaptations by 0.215 ~
318 0.245 when their CPI increases by one unit. The results in table 5 and past studies similarly find
319 that farmers' adaptations are positively influenced by or associated with their climatic perceptions
320 (Deressa et al., 2009, Khanal and Wilson, 2019, Azadi et al., 2019, Soubry et al., 2020). Our
321 results with respect to CPI are considered another corroboration to establish the positive association

⁶We run different regressions as we did in the Poisson regression, finding that the main results do not differ qualitatively in all models. Therefore, we only report the effects of the main independent variables on AI.

322 between farmers' CPI and AI in a consistent and robust manner, and suggest that interactions
323 between climatic perceptions and farm sizes shall be keys for characterizing farmers' adaptations.

324 The interaction terms between farm-size dummies and CPI are statistically significant at 1 %
325 level in models 2, 4 and 6. Since the coefficients of the interaction terms in these models are
326 negative, the relationship between farm-size dummies and CPI seems to reflect substitutability
327 one another. The results can be interpreted to mean that farmers reduce adaptations in response
328 to CPI when the farm sizes become large. For instance, model 2 shows that marginal farmers
329 take additional 0.217 AI when their CPI increases by one unit. However, small and large farmers
330 only take additional 0.029 ($= 0.217 - 0.188$) and 0.038 ($= 0.217 - 0.179$) AI, respectively, when
331 their CPI increases by one unit. The result also shows that medium farmers even reduce AI by
332 0.036 ($= 0.217 - 0.253$) when their CPI improves by one unit. The results could be again due
333 to the relative (i) inflexibility or inability of large-sized farmers to take adaptations when they
334 perceive climate change and/or (ii) their insensitivity toward climate variables as compared to
335 small-sized ones. The results imply that farmers' adaptations in response to CPI significantly
336 depend on farm sizes, demonstrating that agricultural policies must be customized for effective
337 adaptation responses according to their climatic perceptions, sizes and the interaction.

338 The coefficients of some variables, such as agricultural training and social network, are statisti-
339 cally significant with positive signs at 1 % to 10 % level in models 3 to 6. Model 3 demonstrates that
340 farmers take additional 0.102 AI when agricultural training increases by one unit, holding other
341 variables fixed. Trainings may help farmers to acquire adaptation-related knowledge and skills,
342 supporting them to increase adaptations (Piya et al., 2012, Trinh et al., 2018, Diallo et al., 2020).
343 Model 3 shows that farmers take additional AI by 0.135 when their social network increases by
344 one unit. We argue that social network facilitates farmers (i) to learn about adaptations from other
345 farmers and (ii) to receive various assistance, such as credits and labor, enhancing their adaptation
346 responses. Our results are supported by past studies that report the positive influence of social
347 network on adopting new technologies in agriculture (Foster and Rosenzweig, 1995, Bandiera and
348 Rasul, 2006, Yamogo et al., 2018). Overall, these findings suggest that agricultural training and

349 social network positively influence farmers to take adaptations.

350 [Figure 4 about here.]

351 We find that the interaction terms between farm-size dummies and CPI play an important role
352 in characterizing AI. To quantitatively clarify the interactions, we calculate and plot the median
353 AI over CPI as prediction for different-sized farmers (holding other independent variables at the
354 sample means) based on the estimated results in model 6 of table 6, which we call “predicted
355 AI.” Figure 4 shows the predicted AIs over CPI for marginal, small, medium and large farmers,
356 presenting that the intercepts and the slopes are idiosyncratic across farm sizes. The slopes of
357 the predicted AIs for the small, medium and large farmers are almost flat, meaning that these
358 farmers generally tend not to take additional adaptations when their CPI improves, or tend to be
359 insensitive to their own climatic perceptions. On the contrary, the slope of the predicted AI for
360 marginal farmers is observed to be positive and steep, meaning that the marginal farmers take
361 additional adaptations when their CPI improves or tend to be positively sensitive to their own
362 climatic perceptions. Furthermore, the entire plot of median AI prediction is located or becomes
363 low as the farm sizes get large, which is due to estimated differences in the interaction terms and
364 intercept of model 6. In summary, the results graphically and quantitatively corroborate that not
365 only farmers’ AIs but also their responses to CPI are likely to decline along with farm sizes.

366 We finally summarize and compare the results from the two different models of Poisson and
367 median regressions associated with AdaptN and AI in tables 5 and 6. Both regressions find that
368 farm sizes, climatic perceptions, agricultural training and social network can be the key determi-
369 nants to be positively associated with AdaptN and AI, being economically and statistically signifi-
370 cant at least in some models. On the other hand, there are three main differences between the two
371 regressions. First, HH income, agricultural service and market distances are found to be significant
372 (or insignificant) for AdaptN (or AI). Second, farm sizes do not matter much for AdaptN, while
373 they are important predictors for AI along with the interactions with farmers’ climatic perceptions.
374 Third, the AI responses to the climatic perceptions are identified to differ across farm sizes, while
375 the AdaptN responses are not. Literature claims some potential problems to employ the number of

376 farmers' adaptations, i.e., AdaptN, as a measurement of farmers' responses, when we study their
377 agricultural practices under climate change (Below et al., 2012, Esham and Garforth, 2012, Niles
378 et al., 2015, Khanal and Wilson, 2019). Building upon literature and analyses in this research, it
379 is our belief that the results of AI median regressions are considered more plausible than those of
380 AdaptN ones, reflecting what is going on Nepalese farmers' adaptations to climate change.

381 Literature suggests that economic and cognitive factors are important for farmers' adaptation
382 responses (Brondizio and Moran, 2008, Abid et al., 2019). Two theoretical models (i) Protection
383 Motivation Theory (Rogers, 1983, Rogers and Prentice-Dunn, 1997) and (ii) Model of Private
384 Proactive Adaptation to Climate Change (Grothmann and Patt, 2005) argue that economic factors,
385 cognitions and their interaction characterize people's adaptation responses. Our study identifies
386 that farm sizes (i.e., economic factor) interact with climatic perceptions (i.e., a cognitive factor),
387 and the interactions largely influence farmers' adaptation responses. We propose the two possible
388 explanations for the results: (i) flexibility and (ii) sensitivity to climatic perceptions. First, large-
389 sized farmers are generally known not to be flexible or not to be able to swiftly adjust their activities
390 than small-sized ones due to their economic scale, since the changes often require substantial fixed
391 efforts, investments and costs, as argued in Uddin et al. (2014). Second, large-sized farmers cannot
392 be motivated to take or tend to ignore small-scale adaptations, inducing themselves to be insensitive
393 to climate change, as shown in table 3, believing that such small-scale adaptations are ineffective
394 in their large-scale farming activities, as explained in Khan et al. (2020).

395 The large-sized farmers hold more than 60% of the total land area in Nepal. Similar patterns
396 are observed in many other developing countries of Asia and Africa (Central Bureau of Statistics,
397 2013, Thapa et al., 2019, Government of India, 2016, Jayne et al., 2016, Anseeuw et al., 2016,
398 Sitko and Chamberlin, 2016). Some public programs, such as land consolidations, have been
399 taken to establish medium-sized or large-sized farm units by merging small-sized farmers' lands
400 for the purpose of enhancing their economic scale, productivity and food security (Thapa and
401 Niroula, 2008, Sudgen et al., 2020). However, this trend of such land consolidations for creating
402 large-sized farmers may bring about unexpected adverse effects on agriculture in the context of

403 climate change. This research suggests one warning, that is, agriculture may lose its abilities or
404 capacities to swiftly or sensitively adapt and respond to climate change, irrespective of farmers'
405 climatic perceptions. Thus, it is advisable to reconsider the tradeoff between farm productivity and
406 responsiveness to climate regarding farm sizes as well as how large-sized farmers can be induced
407 to adapt through their cognition, policies, social networking and technology.

408 **4 Conclusions**

409 This study has investigated what matters for farmers' adaptation responses to climate change,
410 hypothesizing that farm sizes, climatic perceptions and the interplay are the key determinants for
411 farmers' adaptation responses. We conduct questionnaire surveys with 1000 farmers in Nepal, col-
412 lecting data on their adaptation responses, farm sizes, climatic perceptions and sociodemographic
413 information in Nepal. The analyses reveal that farmers tend to take additional adaptation responses
414 as farm sizes become small or as they have good climatic perceptions & social network with other
415 farmers. They also show that small-sized farmers tend to adapt much more in response to their
416 climatic perceptions than do large-sized ones, confirming insensitivity of large-sized farmers to
417 climate change in Nepal. Overall, this research suggests that agriculture may be losing respon-
418 siveness to climate change, as large-sized farmers become dominant by holding a majority of land
419 in developing countries. Thus, it is advisable to reconsider the tradeoff between productivity and
420 responsiveness to climate change regarding farm size as well as how large-sized farmers can be
421 induced to adapt through their cognition, policies, social networking and technology for food se-
422 curity.

423 We note some limitations to our research and possible directions for future studies. This study
424 does not address the detailed processes and channels of why different-sized farmers exhibit hetero-
425 geneous responses to climatic perceptions. To address the question, future studies should closely
426 examine farmers' cognitive factors, such as motivations, perceived risk and adaptive capacity, by
427 farm sizes, clarifying the relation with their responsiveness and sensitivities to climatic percep-

428 tions. To this end, two approaches are suggested: (1) the neuro-psychological approach and (2)
429 qualitative and deliberative interviews. The former one provides the collection of various cogni-
430 tive scales and neuroimages to detect potential processes and channels engaged when they take
431 adaptation responses (Wang and van den Berg, 2021, Sawe and Chawla, 2021). This approach can
432 potentially identify a specific cognitive factor that influences different-sized farmers to take adap-
433 tations. The latter approaches have been established and adopted by several past studies (Hobson
434 and Niemeyer, 2011, Collins and Nerlich, 2014, Shahen et al., 2020, Timilsina et al., 2021a,b).
435 Qualitative and deliberative interviews of different-sized farmers can clarify their decision-making
436 processes and motivations for adaptation responses. These caveats notwithstanding, we believe
437 that this is the first study to analyze the relationship between farmers' adaptation responses and
438 climatic perceptions along with farm sizes from subsistence or large-sized ones, and contributes to
439 climate change and economics literature.

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Figure 1: A map of Nepal showing the study areas.

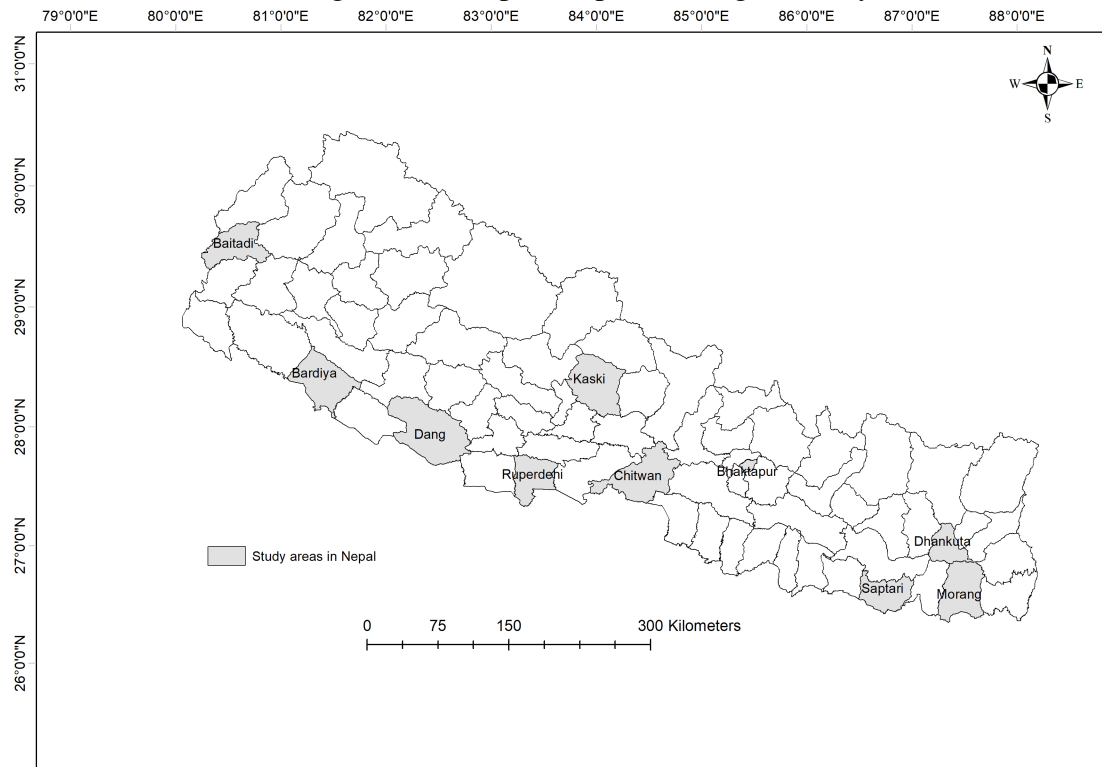


Figure 2: Bar graph of the percentage of farmers perceiving changes in climate variables.

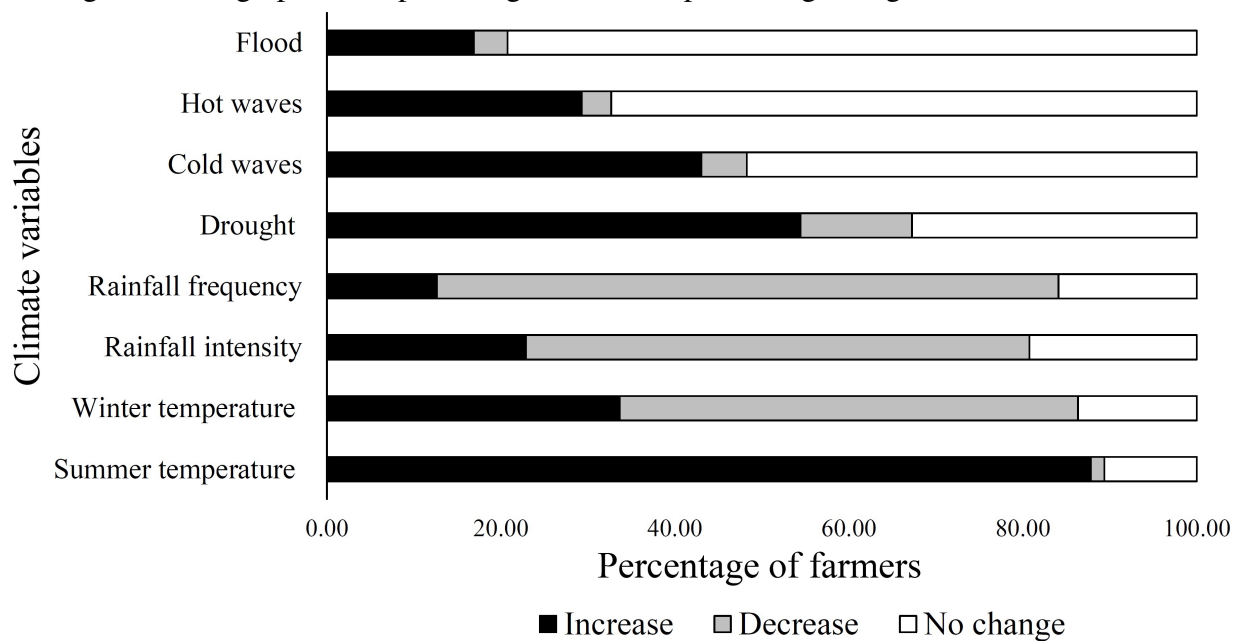


Figure 3: Box plots of (a) number of adaptations (AdaptN) and (b) adaptation index (AI) by farm sizes.

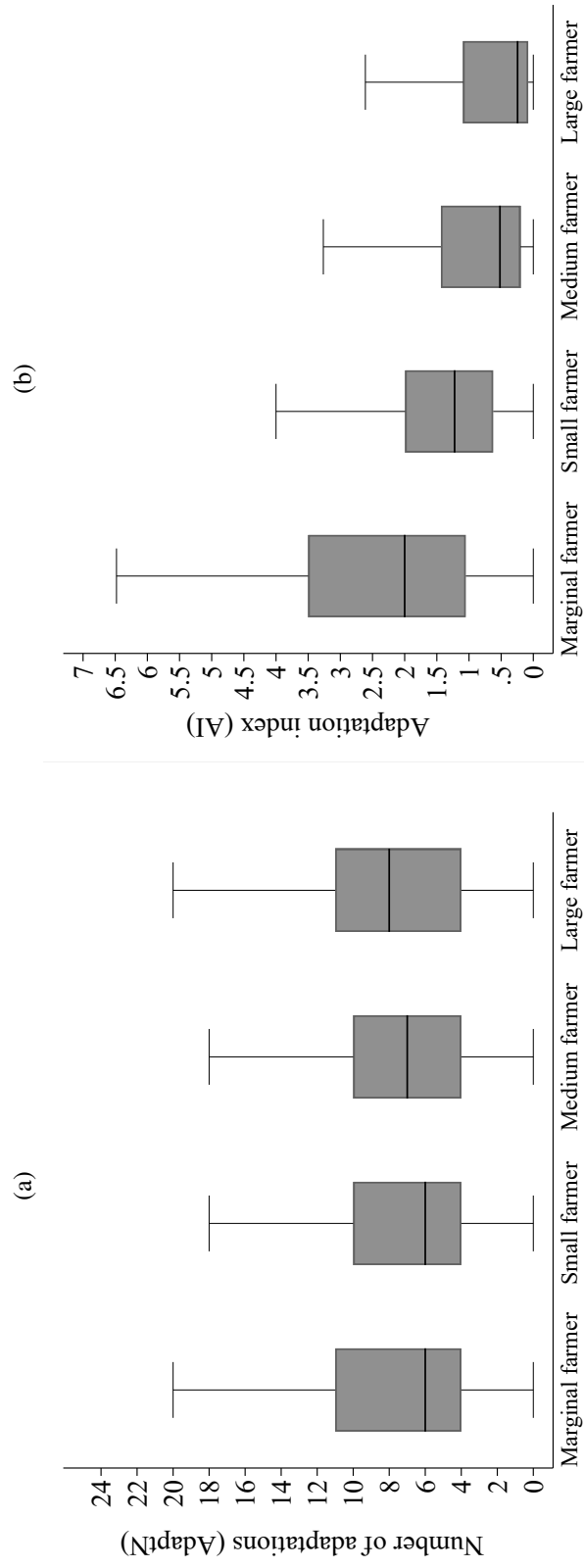
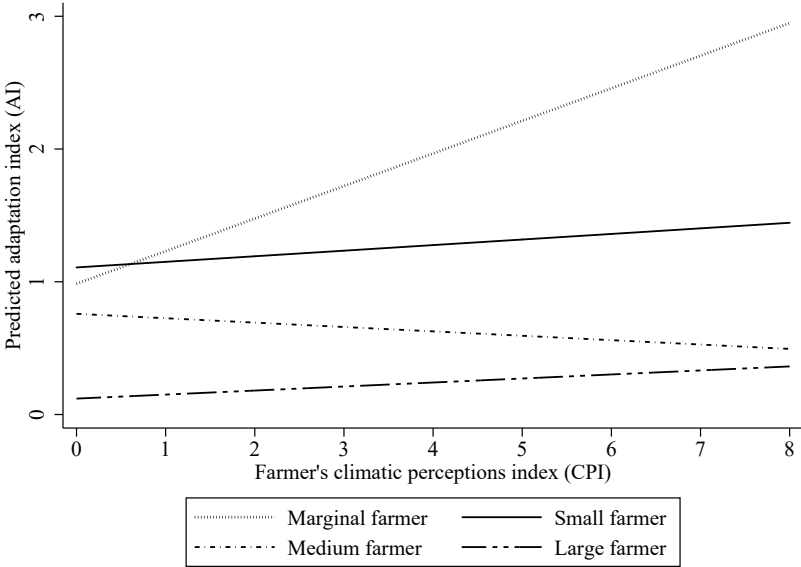


Figure 4: Predicted adaptation index (AI) over CPI (farmer's climatic perception) across farm sizes.



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Table 1: Definitions & descriptions of the variables.

Variables	Definitions & descriptions
Dependent variables	
# of adaptations (AdaptN)	A total number of adaptations taken by a farmer.
Adaptation index (AI)	An aggregate index value for a farmer calculated as the summation of all adaptations weighted by their respective proportion of farm-size coverage.
Independent variables	
Cognitive & non-cognitive variables	
Climate perception index (CPI)	A number of perceived changes in temperature, rainfall, drought, hot waves, cold waves and flood by a farmer within the last 20 years that ranges between 0-8.
Farming experience	Years of agricultural experience of a farmer.
# of agricultural training	A number of agricultural training taken by the farmer in last 5 years.
Education	The highest years of schooling for a farmer.
# of social network	A number of involvement in social groups for a farmer.
Access to information	A dummy variable that takes 1 if a farmer has access to agricultural information; otherwise, 0.
Sociodemographic variables	
Marginal farmer	A dummy variable that takes value 1 if the farm size of a farmer is < 0.16 ha; otherwise, 0.
Small farmer	A dummy variable that takes value 1 if the farm size of a farmer is ≥ 0.16 ha & < 0.33 ha; otherwise, 0.
Medium farmer	A dummy variable that takes value 1 if the farm size of a farmer is ≥ 0.33 ha & ≤ 1.00 ha; otherwise, 0.
Large farmer	A dummy variable that takes value 1 if the farm size is > 1.00 ha; otherwise, 0.
# active family member	A number of economically active family member of a farmer.
HH annual income	An amount of monetary (NPR) earnings of farmer's family members.
Gender (base group = male)	A dummy variable that takes 1 if a farmer is male; otherwise, 0.
Agricultural service distance	Distance in kilometer (km) for a farmer to reach the nearest agricultural service center.
Market distance	Distance in kilometer (km) for a farmer to reach the nearest market.

Table 2: Summary statistics of the variables by farm sizes.

Variables	Farm-size dummy				Overall (<i>N</i> = 999)
	Marginal farmer (<i>N</i> = 147)	Small farmer (<i>N</i> = 208)	Medium farmer (<i>N</i> = 426)	Large farmer (<i>N</i> = 218)	
Dependent variables					
# of adaptations (AdaptN)					
Mean (Median) ¹	7.97 (6.00)	7.54 (6.00)	7.73 (7.00)	8.19 (8.00)	7.82 (7.00)
SD ²	5.43	4.68	4.32	4.34	4.58
Min	0.00	0.00	0.00	0.00	0.00
Max	22.00	24.00	24.00	24.00	24.00
Adaptation index (AI)					
Mean (Median)	2.52 (2.00)	1.62 (1.22)	1.00 (0.52)	0.79 (0.25)	1.31 (0.86)
SD	2.03	1.52	1.15	1.04	1.49
Min	0.00	0.00	0.00	0.00	0.00
Max	9.91	9.50	6.95	5.00	9.91
Independent variables					
<i>Cognitive & non-cognitive variables</i>					
Climate perception index (CPI)					
Mean (Median)	5.07 (5.00)	5.06 (5.00)	4.82 (5.00)	5.18 (5.00)	4.99 (5.00)
SD	2.43	2.21	2.21	2.10	2.22
Min	0.00	0.00	0.00	0.00	0.00
Max	8.00	8.00	8.00	8.00	8.00
Farming experience					
Mean (Median)	21.93 (21.00)	19.07 (19.00)	19.90 (20.00)	19.31 (18.00)	19.89 (20.00)
SD	12.07	12.13	11.40	12.00	11.80
Min	1.00	1.00	1.00	1.00	1.00
Max	50.00	50.00	70.00	60.00	70.00
# of agricultural training					
Mean (Median)	0.28 (0.00)	0.28 (0.00)	0.35 (0.00)	0.42 (0.00)	0.34 (0.00)
SD	0.86	0.72	1.02	1.03	0.94
Min	0.00	0.00	0.00	0.00	0.00
Max	5.00	5.00	15.00	10.00	15.00
Years of schooling					
Mean (Median)	5.96 (6.00)	6.97 (8.00)	6.11 (7.00)	6.63 (8.00)	6.38 (8.00)
SD	4.63	4.85	5.06	5.15	4.98
Min	0.00	0.00	0.00	0.00	0.00
Max	17.00	15.00	18.00	17.00	18.00
# of social network					
Mean (Median)	1.37 (1.00)	1.44 (1.00)	1.47 (1.00)	1.38 (1.00)	1.43 (1.00)
SD	1.09	1.09	1.11	1.11	1.10
Min	0.00	0.00	0.00	0.00	0.00
Max	4.00	4.00	5.00	4.00	5.00
Access to information					
Mean (Median)	0.50 (0.00)	0.44 (0.00)	0.50 (0.50)	0.55 (1.00)	0.50 (0.00)
SD	0.50	0.50	0.50	0.50	0.50
Min	0.00	0.00	0.00	0.00	0.00
Max	1.00	1.00	1.00	1.00	1.00
<i>Sociodemographic variables</i>					
# of active family member					
Mean (Median)	3.49 (3.00)	3.17 (3.00)	3.49 (3.00)	3.55 (3.00)	3.44 (3.00)
SD	2.06	1.69	1.66	1.52	1.71
Min	1.00	0.00	0.00	1.00	0.00
Max	15.00	12.00	13.00	11.00	15.00
Gender (base group = female)					
Mean (Median)	0.84 (1.00)	0.87 (1.00)	0.86 (1.00)	0.89 (1.00)	0.87 (1.00)
SD	0.36	0.34	0.35	0.31	0.34
Min	0.00	0.00	0.00	0.00	0.00
Max	1.00	1.00	1.00	1.00	1.00
HH annual income ('000)					
Mean (Median)	271.59 (229.00)	239.72 (188.50)	376.24 (240.00)	438.76 (2900.00)	346.06 (240.00)
SD	232.98	209.98	689.37	608.40	552.62
Min	0.00	0.00	0.00	0.00	0.00
Max	1480.00	1730.00	8400.00	5940.00	8400.00
Agricultural service distance					
Mean (Median)	2.99 (3.00)	3.05 (2.50)	3.52 (3.00)	2.98 (2.50)	3.23 (3.00)
SD	2.38	2.67	3.17	2.87	2.90
Min	0.00	0.00	0.00	0.00	0.00
Max	12.00	15.00	18.00	12.00	18.00
Market distance					
Mean (Median)	2.37 (1.50)	2.93 (1.50)	2.80 (1.50)	2.49 (1.50)	2.70 (1.50)
SD	2.88	3.68	3.66	3.50	3.53
Min	0.00	0.00	0.00	0.00	0.00
Max	20.00	18.00	25.00	19.00	25.00

Notes: marginal farmer (farm size < 0.16 ha), small farmer (0.16 ha ≤ farm size < 0.33 ha), medium farmer (0.33 ha ≤ farm size ≤ 1.00 ha) and large farmer (farm size > 1.00 ha).

¹ Median values are in parenthesis.

² SD indicates standard deviation.

Table 3: Mann-Whitney test of a number of adaptation (AdaptN) and adaptation index (AI) by farm sizes.

Pair of different-sized farmers	Test	
	AdaptN	AI
Small vs. marginal farmer	-0.259	-4.611***
Medium vs. small farmer	1.175	-6.695***
Large vs. medium farmer	1.390	-3.939***
Medium vs. marginal farmer	0.623	-9.341***
Large vs. small farmer	2.017**	-8.083***
Large vs. marginal farmer	1.335	-9.427***

Notes: (i) *** $P < 0.01$, ** $P < 0.05$ and * $P < 0.10$; and (ii) marginal farmer (farm size < 0.16 ha), small farmer ($0.16 \text{ ha} \leq \text{farm size} < 0.33 \text{ ha}$), medium farmer ($0.33 \text{ ha} \leq \text{farm size} \leq 1.00 \text{ ha}$) and large farmer (farm size $> 1.00 \text{ ha}$).

Table 4: Percentage of farmers taking adaptations by farm sizes.

Adaptations	%					Overall (N = 999)
	Marginal farmer (N = 147)	Small farmer (N = 208)	Medium farmer (N = 426)	Large farmer (N = 218)		
Soil and water management						
Pump irrigation	65.55	52.40	64.55	37.79	64.16	
Surface irrigation	25.17	38.46	37.79	17.61	35.34	
Bucket irrigation	41.50	29.33	33.33	11.97	31.53	
Ridge/terrace construction	44.90	38.46	41.55	24.41	20.32	
Mulching	10.88	15.38	9.62	20.19	17.52	
Sprinkle irrigation	3.40	8.65	10.80	6.34	9.61	
Deep tillage	9.52	5.77	11.27	3.99	9.11	
Growing hedges	12.24	10.10	8.22	3.05	8.71	
Cover crops	4.08	6.25	7.75	2.35	6.21	
Construction of reservoirs & channels	2.04	0.96	3.05	1.17	1.90	
Diversion ditches	4.76	0.96	2.11	1.17	1.90	
Water harvesting and/or plastic ponds	0.00	0.48	0.94	0.47	1.50	
Adjustment of crop and farm management						
Supplement with inorganic fertilizers	73.47	63.46	71.83	35.68	69.87	
Supplement with organic fertilizers/farm yard manure	72.11	66.35	69.01	33.57	68.17	
Crop rotation	36.73	23.56	26.76	14.79	62.36	
Adjustments to sowing date	17.69	28.37	41.31	20.19	34.73	
Adoption of high yielding varieties	29.93	30.29	31.46	21.83	33.43	
Mixed cropping	31.29	28.37	30.99	0.94	28.03	
Applying nutrient amendments	31.97	26.44	19.95	9.62	24.12	
Adoption of short maturing varieties	17.69	9.62	12.21	8.92	13.61	
Adoption of different resistant varieties	17.69	9.62	12.21	8.92	13.62	
Afforestation	14.29	16.35	11.50	4.46	12.31	
Fallowing the land	17.01	5.77	6.81	5.16	8.81	
Restoring degraded lands	2.04	4.33	3.52	2.11	3.60	
Re-vegetation	1.36	4.33	3.99	1.41	3.40	
Farm extension outside ward	2.04	2.40	2.58	1.64	2.60	
Farm extension within ward	3.40	2.88	1.41	0.47	2.00	
Aquaculture	0.68	0.00	1.88	2.58	2.33	

Notes: marginal farmer (farm size < 0.16 ha), small farmer (0.16 ha ≤ farm size < 0.33 ha), medium farmer (0.33 ha ≤ farm size ≤ 1.00 ha) and large farmer (farm size > 1.00 ha).

Table 5: Estimated coefficients of the independent variables on a number of adaptations (AdaptN) in the Poisson regressions.

Variables	AdaptN					
	Model 1	Model 2	Model 3	Model 4	Model 5	Model 6
Independent variables						
Farm-size dummies (Base group = Marginal farmer)						
Small farmer	-0.055 (0.071)	0.242 (0.203)	-0.083 (0.062)	0.209 (0.197)	-0.108* (0.063)	0.173 (0.185)
Medium farmer	-0.031 (0.062)	0.474*** (0.168)	-0.046 (0.054)	0.389** (0.162)	-0.030 (0.056)	0.417*** (0.160)
Large farmer	0.027 (0.066)	0.323* (0.189)	-0.006 (0.058)	0.267 (0.181)	0.014 (0.056)	0.317* (0.179)
Climate perception index (CPI)	—	0.120*** (0.025)	0.061*** (0.008)	0.116*** (0.024)	0.050*** (0.008)	0.107*** (0.025)
Interaction terms (Base group = Marginal farmer)						
Small farmer × CPI	—	-0.053 (0.034)	—	-0.052 (0.032)	—	-0.051 (0.031)
Medium farmer × CPI	—	-0.090*** (0.028)	—	-0.081*** (0.026)	—	-0.083*** (0.027)
Large farmer × CPI	—	-0.054* (0.031)	—	-0.049* (0.029)	—	-0.055* (0.029)
Other cognitive & non-cognitive factors						
Farming experience	—	—	-0.002 (0.002)	-0.002 (0.002)	-0.001 (0.002)	0.001** (0.002)
# of agricultural training	—	—	0.075*** (0.016)	0.076*** (0.016)	0.078*** (0.017)	0.080*** (0.017)
Years of schooling	—	—	0.012*** (0.004)	0.012*** (0.004)	0.011*** (0.004)	0.011** (0.004)
# of social network	—	—	0.121*** (0.015)	0.116*** (0.015)	0.118*** (0.015)	0.113*** (0.014)
Access to information	—	—	-0.090*** (0.035)	-0.091*** (0.035)	-0.075** (0.035)	-0.076** (0.031)
Sociodemographic factors						
# of active family member	—	—	—	—	0.007 (0.010)	0.007 (0.010)
Gender (base group = female)	—	—	—	—	0.01 (0.058)	0.086 (0.058)
HH annual income	—	—	—	—	-0.063*** (0.176)	-0.062*** (0.018)
Agricultural service distance	—	—	—	—	-0.034*** (0.008)	-0.035*** (0.008)
Market distance	—	—	—	—	0.015*** (0.004)	0.015*** (0.004)
Constant	2.076***	1.427***	1.575***	1.277***	2.352***	2.025***
Observations	999	999	989	964	963	963
Wald- χ^2	2.65	60.16***	181.76***	193.62***	287.23	290.56***

Note: (1) Robust standard errors are in the parenthesis; (2) *** $P < 0.01$, ** $P < 0.05$, * $P < 0.10$; and (3) marginal farmer (farm size < 0.16 ha), small farmer ($0.16 \text{ ha} \leq \text{farm size} < 0.33$ ha), medium farmer ($0.33 \text{ ha} \leq \text{farm size} \leq 1.00$ ha) and large farmer (farm size > 1.00 ha).

Table 6: Estimated coefficients of the independent variables on the adaptation index (AI) in median regressions.

Variables	AI					
	Model 1	Model 2	Model 3	Model 4	Model 5	Model 6
Independent variables						
Farm-size dummies (Base group = Marginal farmer)						
Small farmer	-0.776*** (0.229)	0.083*** (0.368)	-0.658*** (0.144)	0.199 (0.337)	-0.730*** (0.147)	0.123 (0.352)
Medium farmer	-1.480*** (0.216)	-0.303 (0.319)	-1.406*** (0.127)	-0.274 (0.292)	-1.438*** (0.130)	-0.227 (0.301)
Large farmer	-1.750*** (0.215)	-0.937** (0.375)	-1.680*** (0.142)	-0.842*** (0.343)	-1.766*** (0.146)	-0.865** (0.359)
Climate perception index (CPI)	—	0.217*** (0.048)	0.021 (0.019)	0.232*** (0.045)	0.017 (0.021)	0.245*** (0.061)
Interaction terms (Base group = Marginal farmer)						
Small farmer × CPI	—	-0.188** (0.076)	—	-0.207*** (0.054)	—	-0.203*** (0.057)
Medium farmer × CPI	—	-0.253*** (0.074)	—	-0.256*** (0.049)	—	-0.278*** (0.055)
Large farmer × CPI	—	-0.179** (0.072)	—	-0.199*** (0.046)	—	-0.215*** (0.054)
Other cognitive & non-cognitive factors						
Farming experience	—	—	0.004* (0.004)	-0.004 (0.004)	0.003 (0.004)	0.004 (0.004)
# of agricultural training	—	—	0.102** (0.045)	0.108** (0.044)	0.081* (0.046)	0.083* (0.046)
Years of schooling	—	—	0.004 (0.009)	0.007*** (0.009)	0.005 (0.009)	0.001 (0.009)
# of social network	—	—	0.135*** (0.038)	0.127*** (0.038)	0.101*** (0.049)	0.102*** (0.039)
Access to information	—	—	0.093 (0.085)	0.063*** (0.084)	0.137 (0.088)	0.120** (0.064)
Sociodemographic factors						
# of active family member	—	—	—	—	0.027 (0.026)	0.025 (0.027)
Gender (base group = female)	—	—	—	—	0.214 (0.132)	0.201 (0.132)
HH annual income	—	—	—	—	-0.018 (0.047)	-0.016 (0.047)
Agricultural service distance	—	—	—	—	-0.012 (0.016)	-0.007 (0.016)
Market distance	—	—	—	—	-0.015 (0.013)	-0.015 (0.013)
Constant	2.000***	1.017***	1.48***	0.613***	1.672***	0.677***
Observations	999	999	989	989	963	963
Pseudo R-squared	0.105	0.117	0.125	0.137	0.131	0.145

Note: (1) Standard errors are in the parenthesis; (2) *** $P < 0.01$, ** $P < 0.05$, * $P < 0.10$; and (3) marginal farmer (farm size < 0.16 ha), small farmer ($0.16 \text{ ha} \leq \text{farm size} < 0.33$ ha), medium farmer ($0.33 \text{ ha} \leq \text{farm size} \leq 1.00$ ha) and large farmer (farm size > 1.00 ha).