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# Exploring the possibility of linking and incorporating Future Design in Backcasting and Scenario Planning

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# Exploring the possibility of linking and incorporating Future Design in Backcasting and Scenario Planning

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## Abstract

There are two approaches to future planning: backcasting and scenario planning. While some studies have attempted to relate and combine these two approaches, a future design (FD) approach has recently been advocated and researched. Given this state of affairs, the paper provides an overview of the FD approach and discusses the potential benefits of linking and incorporating it into backcasting and scenario planning by summarizing the main features of such benefits for future planning for sustainability. A feature of an FD is that it explicitly orients people's ways of thinking in the current generation to be generative for not only their own future but also generations to come, as well as in designing a plan within a coherent timeframe by demonstrating the characteristics of being prospective and retrospective from the viewpoint of a different generation. Another feature of FD lies in strategy making through some visioning process and in redefining the boundary between what is controllable and what is uncontrollable by considering the perspectives of future generations. We consider this article as a concept paper for the special issue of "Designing Sustainable Future Societies," building on a literature review and author's conceptual framework. Thus, our ideas and concepts suggest some potential benefits from incorporating FD into backcasting and scenario planning, further inducing people to be future-oriented and/or sustainable in terms of strategy making. We finally demonstrate some examples of FD practices and illustrative ideas of FD incorporation, remarking on possible avenues for future research.

**Key Words:** Sustainability; future generations; future design approach; visioning

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# 1 Introduction

There are two main approaches to future planning, namely, backcasting and scenario planning, and they have become standard practices in a wide variety of areas, such as business, the economy and society. These approaches are seen as alternatives to traditional planning methods such as those that are tactical and contingent. Moreover, these approaches are now considered useful for future strategic initiatives and planning in dealing with complex and uncertain problems, especially when environmental and societal transitions are expected to be made very swiftly (Bibri, 2018). Backcasting and scenario planning are gaining popularity due to their broad scope and consideration of a long time horizon, possibly providing different directions and options for the future. Conversely, a future design (FD) approach has recently been advocated and researched. This approach can be considered a new element or member, potentially being linked to and incorporated into backcasting and scenario planning for sustainability (Shahrier et al., 2017a, Timilsina et al., 2021, 2019b, Nakagawa et al., 2019a,b, Timilsina et al., 2019a, Shahen et al., 2020a, Saijo, 2020, Shahen et al., 2020b). This paper reviews some main features of the three approaches to future planning for sustainability and explores some possibilities of linking and incorporating FD into the existing approaches.

Backcasting is defined as an approach to future planning in which people discuss, set a desirable future and then work backward to identify what measures or actions are required to achieve the end goal, such as prioritizing sustainability in society (Dreborg, 1996, Wiek and Iwaniec, 2014, McPhearson et al., 2016). The backcasting approach proposed by Robinson begins with the use of normative visioning as a methodology for attaining future goals (Robinson, 1990, Robinson et al., 2011). This approach articulates the importance of discussions about the possible changes that may occur in the future and the decisions that may be made under certain restrictions and constraints (Phdungsilp, 2011). Backcasting has been actively applied in social contexts, such as business planning and environmental policy, spanning energy and natural resource management, urban development and future education (Weddfelt et al., 2016, Gering et al., 2018, Bibri and Krogstie, 2019, Pereverza et al., 2019, Sandstrom et al., 2020). In general, backcasting studies can

28 be classified into expert-based, participative and interactive. The main focus of the expert-based  
29 backcasting is on technical analysis and future policy recommendations through experts' opinions  
30 in a top-down manner, whereas the two other types of backcasting place more emphasis on defining  
31 future images or visioning in a bottom-up manner (Carlsson-Kanyama et al., 2008, Barrella and  
32 Amekudzi, 2011).

33 Scenario planning is defined as an approach for thinking about strategy for future planning  
34 and management within an organization. In this approach, people in an organization are asked to  
35 think about future uncertainties by (i) imagining potential and possible scenarios that are often  
36 built upon some scientific understanding of existing conditions and trends and (ii) considering  
37 and/or choosing the best future plan and strategies from the scenarios (Wack, 1985, Lindgren and  
38 Bandhold, 2002, Bradfield et al., 2005, Borjeson et al., 2006, Bai et al., 2015). Scenario planning  
39 was introduced in 1971 by Pierre Wack and has been used by several large companies, such as  
40 Dutch Royal Shell, DHL Express and General Electric (Wack, 1985). Moreover, scenario planning  
41 is considered a practical approach that is extensively used by business organizations in private  
42 sectors to build critical strategies to be adopted by considering multiple future scenarios at the time  
43 of high uncertainty (Muhammad et al., 2013). Overall, scenario planning is considered useful for  
44 helping organizations adopt sociotechnical and environmental changes by logically reasoning that  
45 future scenarios are a basis for new management and policy strategies. However, it is often claimed  
46 that there is no clear distinction between backcasting and scenario planning, as they are sometimes  
47 implemented simultaneously in a mixed manner (Kok et al., 2011).

48 Some earlier studies, on the one hand, have attempted to combine backcasting and scenario  
49 planning, where the common objective in both approaches is strategy making for future plan-  
50 ning (Barrella and Amekudzi, 2011, Dassen et al., 2012, Vliet and Kok, 2015). On the other hand,  
51 a few previous works have proposed new types of backcasting and scenario planning that are  
52 different from the conventional ones, such as participative and interactive types (Carlsson-Kanyama  
53 et al., 2008). These new types of backcasting and scenario planning are proposed to fulfill some  
54 of the shortcomings of conventional methods. For instance, sustainability problems are complex,

55 requiring practical, qualitative and scientific assessments from different viewpoints to analyze  
56 long-term future states and making strategies. New types of backcasting and scenario planning may  
57 be better options because they seek to enable various assessments in the processes by involving not  
58 only real stakeholders but also experts in an interactive way (Geurs and van Wee, 2004, Barrella  
59 and Amekudzi, 2011). The objective of this paper is to generate better strategies with assessments  
60 from various viewpoints by engaging local participants, along with expert panels, in deliberation  
61 about sustainable development from a long-term perspective.

62 Visioning is a crucial element among backcasting, scenario planning and FD for future planning.  
63 The extant studies on foresight and future studies aim to understand the visioning process by  
64 conducting assessments. Constanza (2000) and Weaver and Rotmans (2006) create and assess shared  
65 visions for policy development through consensus among stakeholders. Wiek and Binder (2005) and  
66 Potschin et al. (2010) develop the sustainability solution space methodology for evaluating visions'  
67 coherence and perform consistency checks by developing visioning methods, such as sustainability  
68 choice space. Okubo (2000) conducts community visioning through public engagement by placing  
69 particular emphasis on the tangibility and relevancy of such visions. Visioning is also used in  
70 backcasting and FD, while scenario planning considers visioning as a subset of scenarios (Wiek  
71 and Iwaniec, 2014). Overall, these studies appear to suggest that effective future planning should  
72 employ visioning methods to be comprehensive, robust and agreed upon via consensus among  
73 participants.

74 The feature of an FD, in practice, is explicitly orienting people's ways of thinking in the current  
75 generation to be generative for not only their own future but also generations to come as well as  
76 in designing the plan within a coherent timeframe by being prospective and retrospective from  
77 the viewpoint of a different generation. Another feature of FD lies in strategy making through  
78 some visioning process and in redefining the boundary between what is controllable and what  
79 is uncontrollable by considering the perspectives of future generations. We consider this article  
80 as a concept paper for the special issue of "Designing Sustainable Future Societies," building on  
81 a literature review and our own conceptual framework. Thus, our ideas and concepts suggest

82 some potential benefits of incorporating FD features into backcasting and scenario planning as a  
83 new element, further inducing people to be future-oriented and/or sustainable for strategy making.  
84 To this end, we provide an overview of the FD approach and summarize its main features for future  
85 planning for sustainability. We suggest the potential benefits of linking and incorporating FD into the  
86 practices of backcasting and scenario planning, further inducing people to be future-oriented and/or  
87 sustainable for strategy making in terms of future planning to sustainability. We finally demonstrate  
88 some examples of FD practices and illustrative ideas of FD incorporation for backcasting and  
89 scenario planning, remarking on avenues for future research.

## 90 **2 An overview of the FD approach**

91 Most approaches in contemporary future studies seek to orient stakeholders and scientists  
92 to codesign research projects, coproduce knowledge and codeliver results for sustainability via  
93 future planning from the perspective of the current generation (Saijo, 2020). However, in such  
94 existing approaches, the perspectives of future generations have been broadly missing and ignored.  
95 Therefore, Japanese economist Tatsuyoshi Saijo introduces the concept of “FD” that implements  
96 some mechanisms or institutions for people to consider the perspectives of future generations as  
97 a new feature in future planning and strategy making. This approach attempts to account for how  
98 people’s ways of thinking, preferences and behaviors can change to become future-oriented and/or  
99 sustainable by introducing FD through scientific research and real practice in Japanese communities,  
100 municipalities and abroad (Saijo, 2019). The FD approach can be considered a new attempt by  
101 institutions and practices to redesign future societies.

102 FD has some standard procedures for its implementation in both research and practice. As a first  
103 step, people consider the viewpoint of the current generation, and then, FD implements some  
104 mechanism or institution for people to consider the perspectives of future generations, as a type of  
105 perspective-taking procedure by which people can expand their ways of thinking and viewpoints  
106 not only from their own perspectives but also from those of future generations, as illustrated in

107 Table 1. As in the second, third and fourth steps, FD induces people to view what happened in the  
108 past, what might happen in the future (positive visioning) and desirable future states (normative  
109 visioning) from the perspectives of different generations and then think about future planning and  
110 management strategies (see Table 2 and Figure 1). In these steps, people consider themselves real  
111 agents or actors for problem identification and solving. Because people are induced to employ  
112 positive and normative visioning along with problem identification and solving by themselves,  
113 another feature of FD is that it combines both positive and normative visioning in a bottom-up  
114 manner (see Figure 2). Overall, through these steps, FD seeks to explicitly orient people's ways  
115 of thinking, preferences and behaviors in the current generation to be generative not only for their  
116 own future but also for generations to come, as well as to redefine the boundary between what is  
117 controllable and uncontrollable by considering the perspectives of future generations (Saijo, 2019,  
118 Nakagawa et al., 2019b,a).

119 There have been several different FD mechanisms and institutions for perspective taking in terms  
120 of the perspectives of future generations and for expanding the ways in which people think about  
121 future planning (Kamijo et al., 2017, Shahrier et al., 2017b,a, Timilsina et al., 2021, 2019a,b). The  
122 first institution is an imaginary future generation (IFG), in which some participants in FD are asked  
123 to be part of an imaginary future generation and negotiate with the current generation to identify  
124 problems and solutions (Kamijo et al., 2017). The second is called the future-ahead-and-back  
125 (FAB) mechanism, where people in the current generation are asked to consider the perspectives  
126 of future generations from which they discuss what they may want the current generation to do;  
127 then, they return to their original position in the current generation and have discussions to make  
128 the final policy agenda or decision (Shahrier et al., 2017a). The third is the intergenerational  
129 accountability (IA) mechanism, where people in the current generation are asked to provide the  
130 reasons behind their decision and their advice to future generations, which shall be kept as an  
131 account for future generations' reference (Timilsina et al., 2019a). These FD mechanisms and  
132 institutions have been scientifically studied through research and practice to verify the effectiveness  
133 and orientation changes of people's ways of thinking, preferences and behaviors. Additional details



134 of such FD research and practices are discussed below.

135 In the domain of scientific research, several lab and field experiments have been conducted  
136 in Japan and abroad. Kamijo et al. (2017) have coined the game and term “intergenerational  
137 sustainability dilemma game (ISDG)”, in which a sequence of six generations, each composed of  
138 three people, is organized, and each generation is asked to choose whether to maintain intergenera-  
139 tional sustainability (sustainable option) or maximize its payoff by irreversibly imposing costs on  
140 future generations (unsustainable option). Kamijo et al. (2017) and Shahrier et al. (2017b) have  
141 pioneered experiments in the laboratory with Japanese students and in the fields of Bangladesh  
142 and in rural and urban areas with general community people, respectively. Similarly, Timilsina  
143 et al. (2021, 2019a,b) conduct field experiments in Nepalese rural and urban areas. Timilsina  
144 et al. (2021, 2019a,b) and Shahrier et al. (2017b,a) have confirmed that urban people choose to be  
145 unsustainable in the absence of FD mechanisms such as FAB and IA, demonstrating that unplanned  
146 rapid modernization with a higher degree of capitalism and competition might have made people  
147 more proself and short-sighted in the urban areas of these countries. However, they also find that  
148 FD mechanisms (IFG, FAB and IA) successfully induce people to choose to be sustainable to  
149 uphold intergenerational sustainability, arguing that they tend to feel empathy toward future gen-  
150 erations (Kamijo et al., 2017, Shahrier et al., 2017a, Timilsina et al., 2019a). Shahan et al. (2020a)  
151 conduct one-person ISDG laboratory experiments with a pool of Japanese students, identifying that  
152 individuals act selfishly and choose to be unsustainable without considering future generations in  
153 the absence of FD mechanisms, even when intergenerational sustainability is highly threatened.  
154 However, the FAB mechanism is effective at inducing an individual to choose being sustainable  
155 by triggering cognitive dissonance, enhancing intergenerational sustainability in a one-person set-  
156 ting. Overall, these scientific studies have established that people’s ways of thinking, preferences  
157 and behaviors can be affected by FD interventions or perspective-taking procedures to enhance  
158 sustainability for future generations.

159 Several researchers have conducted practices and implementations of the FD approach to resolve  
160 real social issues such as financial sustainability, forestry management and waste management by

161 utilizing public deliberation workshops in several municipalities in Japan and abroad (Nakagawa  
162 et al., 2017). In such workshops, participants are asked to consider themselves people who will  
163 be living 30 years later to consider the perspectives of future generations and to think about the  
164 social issues in their discussions from such perspectives. For instance, Nakagawa et al. (2019a,b)  
165 implement FD deliberation workshops in some municipalities of Japan to identify public attitudes  
166 toward financial sustainability and forestry management; they confirmed that individual policy  
167 preferences change to being future-oriented and sustainable in future planning via FD interventions,  
168 such as inducing participants to understand how people in future generations may view what happens  
169 in the current generation (see Nakagawa et al. (2019a,b) for the details of the FD intervention  
170 procedures). Hara et al. (2019) organize FD workshops in some municipalities of Japan with IFG  
171 treatment by asking some people in a group to be representative of future generations, finding that  
172 IFG helps solve not only the current problems but also some other salient issues associated with  
173 future generations. Similarly, Nakagawa (2020) have claimed that FD intervention facilitates insight  
174 problem solving through constraint relaxation and paradoxical thinking, generating sustainable  
175 solutions. Overall, the robustness and replicability of FD effectiveness have been confirmed through  
176 several economic experiments and real practices in laboratories, fields and workshops, with different  
177 contexts, cultural backgrounds and countries.

178 [Figure 1 about here.]

179 [Table 1 about here.]

180 [Table 2 about here.]

### 181 **3 The potential benefits of linking and incorporating FD into** 182 **backcasting and scenario planning**

183 In both scientific research and practice, visioning is identified as a fundamental aspect of FD to  
184 orient people's ways of thinking, preferences and behavior toward future generations. FD uses both

185 positive and normative visioning as a methodology for future planning by narrative deliberation  
186 by the public. Drawing on earlier studies such as those of Collins and Porras (1996), Sally (2000)  
187 and McPhearson et al. (2016), the present study defines positive visioning as a process in which  
188 people imagine and anticipate plausible or possible future situations and events (what may happen  
189 in the future). Moreover, following the works of Constanza (2000) and Wiek and Iwaniec (2014),  
190 we define normative visioning as a process in which people think about the desirable future states  
191 that they want to achieve via their aspirations, followed by deliberation, evaluation and assessment  
192 (desirable future). Table 2 summarizes some typical features of these two approaches to visioning.  
193 Positive and normative visioning practices in FD are considered important because they are applied  
194 to induce people in the current generation to consider different perspectives in a coherent time  
195 frame, generation by generation (experiencing or role playing in terms of the past and future). In  
196 other words, FD uses positive and normative visioning in a bottom-up manner, where people in  
197 the current generation are induced to vision what happened, what may happen and desirable future  
198 states in prospective and retrospective timeframes to identify and analyze problem decisions for  
199 the future (Nakagawa et al., 2019b,a). In this sense, FD is considered to take a holistic approach  
200 because people can freely vision for the future based on hands-on life experiences, knowledge and  
201 sociocultural norms. In Figure 2, we illustrate that public participation is also the core of the FD  
202 approach, providing freedom for public deliberation (Saijo, 2020, Nakagawa, 2020).

203 Backcasting often seeks normative visions through introspection and works backward from  
204 that particular vision's endpoint to create strategies. Scenario planning develops organizational  
205 strategies by investigating external uncertainty for future planning. Scenario planning is mostly  
206 practiced without having collective visioning processes (Wiek and Iwaniec, 2014). Backcasting  
207 starts with the setting of criteria for social goals, while scenario planning begins with environmental  
208 analyses of the critical factors to determine the nature of the future environment, i.e., drivers for  
209 future changes. The participative and interactive backcasting and scenario planning methods are  
210 advocated by Carlsson-Kanyama et al. (2008) and Geurs and van Wee (2004) and use the four steps  
211 illustrated in Table 1. In such methods, both backcasting and scenario planning are used together

212 and/or combined to address sustainability problems in future planning (Barrella and Amekudzi,  
213 2011, Dassen et al., 2012, Vliet and Kok, 2015). Overall, visioning in backcasting and scenario  
214 planning is implemented from the viewpoint of the current generation, while some studies suggest  
215 some potential benefits from introducing some new visioning practices, such as that developed  
216 by Carlsson-Kanyama et al. (2008).

217 FD defines a time path or timeframe for perspective-taking processes in visioning from the  
218 perspectives of different generations, and this feature is different from visioning practices and some  
219 steps in backcasting and scenario planning (see Figure 2). The procedures of retrospective and  
220 prospective perspective-taking practices are summarized in Figure 1. We illustrate how people in  
221 the current generation exercise visioning by experiencing the past and future to identify common  
222 sense (values) to bind themselves when some aspects of technology, culture and social norms may  
223 change (or not) over time. For instance, we ask people to think about technologies, cultures and  
224 practices that have existed but are not present in the current period or that will disappear in the  
225 future or technologies, cultures and practices that have never existed in the past but have emerged in  
226 the present or will emerge in the future. Thus, in FD, people are asked to identify what may remain  
227 or disappear over time as technologies, cultures and practices, as core values of society, change via  
228 visioning, supporting people in expanding their ways of thinking about the future. In this sense, it is  
229 argued that FD is considered a practice of insightful problem solving (IPS) that may bring about  
230 creative solutions for many critical problems (Nakagawa, 2020).

231 Along with visioning, the strategy-making process in FD can be considered endogenized because  
232 participants are induced to create pathways for achieving the vision set by themselves through  
233 visioning and deliberation during workshops. At the same time, experts and professionals are present  
234 and asked to focus on providing facts and information regarding the issues of interest. Therefore, the  
235 FD approach is one way for people to voluntarily identify the problems and solutions that influence  
236 both the current and future generations. Specifically, FD does not start by specifying endogenous and  
237 exogenous factors in its research and practice, taking care not to control people's ways of thinking,  
238 preferences and behaviors but to expand them in future planning. In summary, in FD, participants are

239 invited to think about making strategies or pathways to achieve visions by redefining the boundary  
240 between what is controllable (endogenous factors) and what is uncontrollable (exogenous factors)  
241 from the perspectives of different generations for future planning.

242 In summary, we have identified that FD has two features, i.e., its visioning practice and strategy-  
243 making process, which can be considered distinct from the practices and procedures of backcasting  
244 and scenario planning. Based on research and practice, FD mechanisms and practices are said  
245 to successfully induce people to be future-oriented and sustainable by triggering cognitive dis-  
246 sonance, empathy for future generations, expansions of their ways of thinking and paradoxical  
247 thinking (Konow, 2000, Cooper, 2007, Shahrier et al., 2017a, Timilsina et al., 2021, 2019b, Naka-  
248 gawa et al., 2019a,b, Timilsina et al., 2019a, Shahen et al., 2020a, Saijo, 2020, Shahen et al., 2020b).  
249 Given this state of affairs, we suggest that linking and incorporating FD into some practices of  
250 backcasting and scenario planning are possible along with some potential benefits in that some  
251 important sustainable problems can be usefully analyzed (Gibson et al., 2005). Considering the  
252 bigger picture, FD, backcasting and scenario planning can be considered as sharing the same goals,  
253 i.e., strategy making for future planning for sustainability and the linking and incorporating of FD  
254 into some practices and procedures of backcasting and scenario planning are expected to further  
255 induce people to be future-oriented and sustainable in future planning for sustainability. In the next  
256 section, we will illustrate how FD interventions and practices can be linked and incorporated in  
257 existing backcasting and scenario planning approaches by introducing some examples.

## 258 **4 Introducing illustrative examples of FD**

259 This section provides illustrative examples of how to incorporate and link FD with backcasting  
260 and scenario planning. Nakagawa (2020) reports the results of a series of workshops conducted  
261 in a municipality of Japan on the issue of public water supply management. A bureau of city X in  
262 Japan, which is responsible for water supply management, implemented a training program with FD.  
263 Nine young (20–40 years;  $M = 30.2$ ;  $SD = 7.1$ ) volunteer officers (six males and three females)

264 were recruited, and a series of seven workshops were implemented from December 2018 to March  
265 2019. The nine participants were divided into two groups (A and B). Groups A and B consisted  
266 of five and four participants, respectively, and their membership did not change throughout the  
267 seven workshops. Both groups deliberated and reached a consensus as to the state of water supply  
268 management 30 years in the future (i.e., 2019 + 30 = 2049). Regarding group A, on the basis of  
269 the summarization of the deliberation by Nakagawa (2020), the following vision is extracted: “In  
270 the year 2049, the city has overcome brand loyalty toward the groundwater resource that the city  
271 used to have and is now recycling used water to save scarce water resources. Furthermore, the  
272 city is implementing water supply management in collaboration with neighboring municipalities  
273 to distribute a limited amount of water optimally by overcoming territorial awareness that used to  
274 prevail among municipalities in 2019.”

275 The deliberation process of this group, as summarized in Figure 1 of Nakagawa Nakagawa  
276 (2020), shows how the visioning process by imaginary future generations takes on the characteristics  
277 referred to in the Introduction section. In fact, in the earlier phase of deliberation, a group member  
278 doubted the status quo as of 2019 by hypothesizing that the treated wastewater is again consumed  
279 as drinkable water. While this is a rational vision in a city with scarce water resources, it has the  
280 potential to be emotionally rejected by the present generation (i.e., those living in 2019). Group  
281 members were fully aware of this rejection (see item 12 of Figure 1 in (Nakagawa, 2020)). If they  
282 did not consider the perspectives of future generations, then they must have regarded the reactions  
283 of the public as an uncontrollable factor and thus found this idea to be infeasible. However, instead  
284 of doing so, they assumed that such a radical idea had been realized and then considered the process  
285 of such realization (see item 14 of Figure 1 (Nakagawa, 2020)). Specifically, they interpreted that  
286 the potential rejection was partly ascribed to the fact that the people in the present generation used  
287 to have strong brand loyalty toward the groundwater and considered that this awareness could have  
288 been modified.

289 In group, B, the same city’s future was discussed from a different angle. On the basis of  
290 the summarization of the deliberation by Nakagawa (2020), the following vision is extracted:

291 “The city is distributing undrinkable medium-quality water with low cost and fewer staff, and it is  
292 the responsibility of the households to purify the water for drinking purposes. For other purposes,  
293 they directly use distributed water. Taken together with the trend of Private Finance Initiative (PFI),  
294 this change enhances the speed of the slimming down of the water distribution management sector  
295 of the municipal government.” This group also doubted the status quo as of 2019 by hypothesizing  
296 that the city (and the entire country) was distributing drinkable high-quality water to households  
297 at high costs. This vision seemed desirable considering that the distribution system would never  
298 be sustainable with the declining population and aging water pipe system. Initially, this vision  
299 was considered unfeasible because it was a form of common sense shared among the public with  
300 drinkable water.

301 Similar to group A, members in group B were fully aware of this rejection (see item 18 of  
302 Figure 2 in Nakagawa (2020)). The people in the present generation must have rejected this because  
303 it was taken for granted that water was supplied by public works. Thus, if they did not consider  
304 the perspectives of future generations, then they must have regarded the reactions of the public  
305 as an uncontrollable factor and thus found this idea to be infeasible. However, group members  
306 started speculating as to how such a seemingly infeasible idea was realized. See items 20, 21 and  
307 29 of Figure 2 in Nakagawa (2020) for details. To summarize these two groups, the authors created  
308 desirable visions by doubting and denying the status quo of 2019 in such a way that it was beneficial  
309 for themselves as a future generation. Such visions seem neither possible nor probable, at least from  
310 the perspective of the present generation, and group members gradually shared the understanding  
311 that they are possible and probable, perhaps by discounting the cost of overcoming the hurdles  
312 hampering such realization. This discounting must have been possible because it was not they  
313 themselves as a future generation who had to share the cost, enabling them to view what seemed  
314 uncontrollable from a different angle.

315 To the best of our knowledge, Nakagawa (2020) is the only study comprehensively reporting on  
316 the deliberation process of an FD workshop, and thus, it is difficult to confirm the generalization of  
317 the above discussions. However, the authors observed similar group deliberations in city Y in Nepal.

318 Specifically, in 2019, we invited local experts and officers of this city engaged in waste management  
319 and allocated the nearly 20 participants into groups, with each group consisting of 4 people. From  
320 the viewpoint of the generation in 2049, they created visions of the waste management of city Y.  
321 Eventually, participants assumed that the world in which they lived was not to be realized as an  
322 extension of the status quo policies. In one group, participants understood that garbage collection by  
323 vehicles should not be taken for granted, as it creates air and noise pollution and defined the problem  
324 to be considered as how the city could be waste-free rather than how environmental damage could  
325 be minimized.

326 In the above illustrative examples, we have detailed how FD workshops can influence people  
327 to create visions and doubt their status quo situations, and now, we suggest some possibilities for  
328 linking and incorporating FD into backcasting and scenario planning. Table 1 summarizes the  
329 general procedures for participative and interactive backcasting, prospective scenario planning and  
330 FD. There are a total of 4 steps that seek to support the procedures, such as the initial visioning  
331 step, gap identification step, goal formation step and strategy-pathway step. The initial visioning  
332 step is common among all three approaches, where some visioning practice is done that is either  
333 normative or positive from the viewpoint of the current generation. In the gap identification step,  
334 a gap is identified between the desired future state through “visioning” and the current state, and this  
335 step is also considered common among the three approaches. In the goal formation step, all three  
336 approaches use different methods, and we suggest that some FD practices be incorporated into  
337 backcasting and scenario planning in this step. In participative and interactive backcasting, vision  
338 development is performed from the viewpoint of the current generation, and we propose that such  
339 development can also be made from the perspectives of both the current and future generations.  
340 If vision is explicitly considered from the perspectives of future generations, even in backcasting,  
341 then it is expected that participants will look at vision from a different angle as if they create “history”  
342 rather than “future events.” In scenario planning (the goal formation step), scenario analysis is  
343 conducted among participants from the viewpoint of the current generation, and we propose that  
344 such analysis can be done from the perspectives of future generations as well. We expect that



345 scenarios developed from the perspectives of future generations will be more detached from the  
346 status quo, spanning a wider variety of possible states by questioning uncertain future events,  
347 compared with those developed from the viewpoint of the current generation by reconsidering  
348 controllable and uncontrollable factors.

349 We explain how FD can be incorporated into backcasting and scenario planning utilizing the  
350 conceptual framework with four steps in Table 1, clarifying a practical perspective as to how three  
351 approaches are related to each other. We name these four steps to summarize their processes,  
352 objectives and characteristics, offering a structure with some room for introducing FD practices in  
353 the goal formation step of backcasting and scenario planning. As a consequence of incorporating  
354 FD into the goal formation step, we conjecture that such an FD introduction will enrich vision and  
355 scenarios for future planning, inducing participants to experience some changes or “Aha! moments”  
356 to be more future-oriented and sustainable. In backcasting and scenario planning along with FD,  
357 participants may be able to create more robust strategy-pathway making and/or more flexible future  
358 planning actions than those without FD, possibly through cognitive dissonance, empathy for future  
359 generations, expansions in their ways of thinking, paradoxical thinking and constraint relaxation.  
360 Overall, the contribution of this article is that it proposes some ideas and concepts for how FD can  
361 be incorporated into participative and interactive backcasting and scenario planning on the basis of  
362 the FD literature. As suggested by Robinson (1988), socioeconomic planning should be oriented  
363 toward future generations; thus, it is crucial to test different visions rather than just predictions of  
364 the most likely future. Drawing on some ideas and concepts discussed for the three approaches and  
365 the steps in Table 1, we hope that future research will be able to identify the core values, vision and  
366 strategy of our humanity for sustainability, imagining and considering the perspectives of future  
367 generations explicitly rather than thinking only of oneself.

368 There are infinite ways to doubt and deny what is taken for granted in present society to create a  
369 future vision. It is challenging to perceive that the problems in the present society can be resolved  
370 by choosing one strategy. Choosing one of several methods is highly dependent on individual  
371 values, and it is not easy to reach a consensus on vision. Despite this, we have surprisingly observed

372 how people have naturally come to a consensus on the desirableness and probability of vision in  
373 FD workshops, as in the above illustrative examples. However, the present study and existing  
374 literature on FD do not clarify the detailed processes of how individuals reach consensus on such  
375 a highly ideological matter when they consider the perspectives of future generations. Although  
376 the present study proposes potential benefits of incorporating FD into backcasting and scenario  
377 planning, we admit that such benefits have not been empirically established. Future studies should  
378 be able to address the impact of FD introduction or different impacts among the three approaches  
379 on vision, scenarios and strategy making by experimentation. These caveats notwithstanding, we  
380 believe that this work is an initial step in suggesting possible FD contributions in future planning  
381 for sustainability.

## 382 **5 Discussion & conclusion**

383 Visioning can serve as a guide to meeting normative goals for societies. We can say “people or  
384 societies live well” when they decide to do something of their own will, motivation or preference  
385 to be achieved and materialized in the future. In other words, it is also equivalent to saying that  
386 the initial stage of “living well” starts by creating a vision that can be a nice story for the future.  
387 In contemporary societies, each individual is able to create a personal life story based on his/her  
388 will, motivations and preferences, especially when he/she is economically successful. Why do  
389 people pursue a different job or even undertake a risky business? The answer is because they  
390 have some vision along with a story, and they want their own life to have meaning. Then, the  
391 next question is as follows: “Can societies or a group of people have a shared vision along with a  
392 story for sustainability?” In economics, profits and economic growth function as shared visions or  
393 measurements to be achieved by companies and countries. To this end, they create vision along with  
394 stories through production, marketing and advertisement via various means. It is up to the people  
395 whether or not they have shared vision along with a story for sustainability Shipley and Michela  
396 (2006). At this point, we are interested in comparing the two situations: (i) when societies have

397 a vision with a story to achieve sustainability and (ii) when societies do not have such a vision,  
398 identifying the differences in the consequences.

399 In companies, core values and vision hold employees together and guide them toward the  
400 envisioned future. However, in public sectors, visioning is not utilized in a practical manner, and we  
401 believe that it should be able to play an essential role in bringing people together, especially for  
402 sustainability purposes. Such visioning is a major challenge because it needs to be inspirational,  
403 motivational and general enough to guide people on many occasions associated with choices and  
404 behaviors about sustainability. Furthermore, visioning needs to be agreed upon by the majority  
405 of stakeholders to reflect a common reference point for future planning. Narratives and stories  
406 are considered an engaging form of communication to spark inspiration in comparison to the  
407 traditional forms of educational materials such as articles, reports or policy debriefs (Sheppard,  
408 2005, Robinson et al., 2011). Future planning, such as backcasting, scenario planning and FD,  
409 should be introduced to induce people to have some shared vision for sustainability with narratives  
410 and stories, which can be achieved through stakeholder exercises. In this paper, we suggest that  
411 “FD” may be of some use for this purpose, i.e., an idea of FD introduction to the existing future  
412 planning approaches. For the betterment of future planning, FD can be introduced and applied  
413 to municipalities, communities and the general public. We suggest some examples and ideas for  
414 incorporating FD into backcasting and scenario planning, further inducing people to be future-  
415 oriented and/or sustainable for strategy making. It is our hope that this research invites collaborative  
416 projects in future planning for sustainability.

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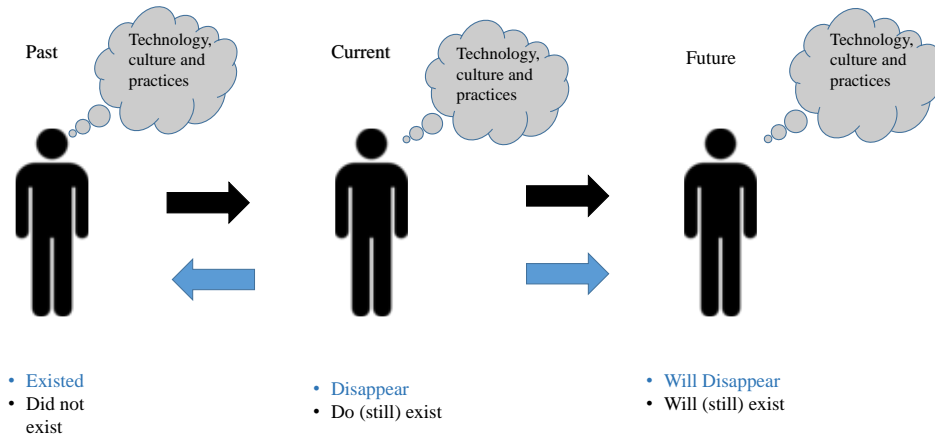


Figure 1: Shared common visioning through a future design (FD) framework.

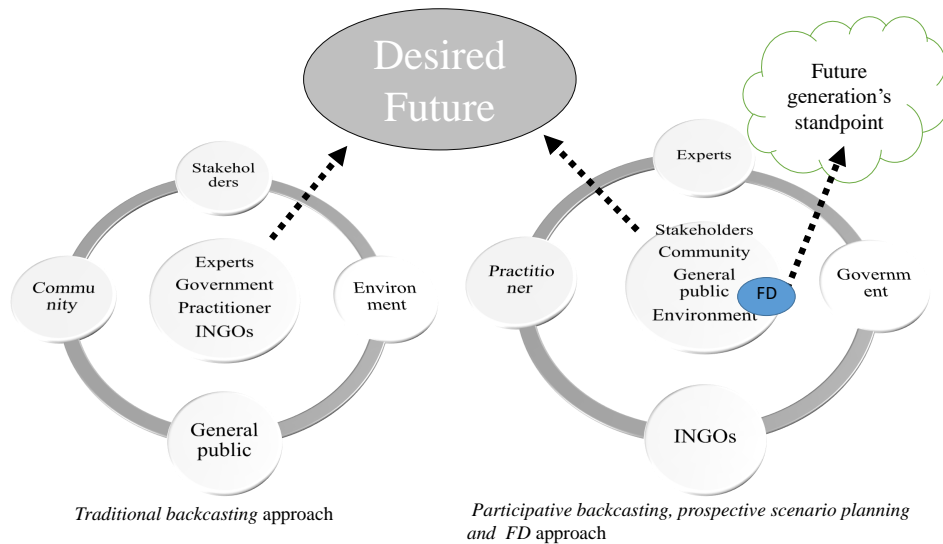


Figure 2: Visioning practices among backcasting, scenario planning and FD.

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Table 1: A general procedure for participative and interactive backcasting, prospective scenario planning and FD.

	<b>Participative and Interactive Backcasting</b>	<b>Prospective Scenario Planning</b>	<b>FD</b>
1. Initial visioning step	Identification of long-term sustainability criteria.	Determine the objectives and describe the purpose of analysis to decide on a number of scenarios.	Visioning from the perspective of the current generation.
2. Gap identification step	Analysis of the community's current state compared with the criteria defined in step 1.	Specify goals, constraints and targets along with exogenous variables, and bring drivers together into a viable framework.	Retrospective and prospective perspective taking and analysis of present situations.
3. Goal formation step	Development of what a successful system might look like in a sustainable society.	Undertake scenario analysis.	Send a request to the current generation from the perspectives of future generations, and next, update the vision assuming the request was accepted. Finally, strategies and decisions are made to realize the updated vision.
4. Strategy-pathway step	The construction and creative design of the pathways to the desired future's successful community.	Identify implementation requirements, and find the most critical outcomes to formulate a plan and conduct policy impact analysis.	Focus on enhancing the robustness of the vision.

We create the table for summarizing the concepts and procedures of backcasting, scenario planning and FD, which are consistent with the literature (Geurs and van Wee, 2004, Carlsson-Kanyama et al., 2008, Nakagawa, 2020).

Table 2: The commonalities and differences between two approaches to visioning: (1) positive and (2) normative visioning.

	<b>Processes</b>	<b>Outcomes</b>	<b>Validations</b>
Positive visioning	Mostly practices in a top-down manner but sometimes in a bottom-up manner	A possible and plausible future that is mostly Utopian	It has low internal validity and high external validity
Normative visioning	Mostly practices in a bottom-up manner	Desirable future states	It has high internal validity and low external validity

A “top-down” approach of visioning is one in which experts, executives, governments or large intergovernmental organizations conduct visioning for society, whereas in a “bottom-up” approach, the general public, municipalities and communities work together to conduct visioning via their joint involvement. Other components of visioning are “internal validity,” which is measured by its robustness, and “external validity,” which is the extent to which the visioning can be generalized.