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# Concerns for future generations in societies: A deliberative analysis on intergenerational sustainability dilemma

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## Abstract

“Intergenerational sustainability dilemma (ISD)” is a serious problem in that the current generation tends to choose actions to her benefit without considering future generations. However, little is known about how people deliberate and what kind of “concepts” people bring to decide on ISD in societies. We institute field experiments of an ISD game (ISDG) and conduct qualitative deliberative analysis in rural and urban societies of Nepal. A sequence of six generations, each of which consists of three people, is organized and each generation is asked to choose whether to maintain intergenerational sustainability (sustainable option) or maximize her payoff by irreversibly imposing costs on future generations (unsustainable option) in ISDG. Each generation makes a 10-minutes discussion for the decision, enabling deliberative analysis in ISD. The qualitative deliberative analysis shows that the attitudes and concepts, such as ideas, motivations and reasoning, that people discuss during deliberation vary between urban and rural people. A considerable portion of urban people are identified to be “stable” as an “influencer” that consistently argues her support for unsustainable option, while another considerable portion of urban people are “dependent” as a “conditional follower.” Together with this fact, urban subjects bring concepts not to consider future generations more frequently and widely during their deliberation than do rural people, leading urban generations to choose unsustainable option. Overall, our deliberative analysis finds that urban subjects may be losing concerns for future generations.

**Key Words:** Intergenerational sustainability; future generations; deliberative approach; economic experiments

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# 1 Introduction

Capitalism and democracy are two dominant social regimes over several decades that are considered to provide goods and services “efficiently” through competition and freedom (Piketty, 2014). This efficient property serves as a main engine of economic growth, due to which the current generation has been utilizing resources more than ever with technological advancement and product innovation (Garri, 2010, Rogelj et al., 2017). However, the market competition and efficient property do not appear to function in reality as economic theory predicts (Kolstad, 2010). For instance, intra- and inter-generational allocations of environmental goods and natural resources are claimed to be inefficient as illustrated by climate change and natural resource depletion (Frederick et al., 2002, Rockstrom et al., 2009, Thompson, 2010, Jacobs and Matthews, 2012). More concretely, the current generation tends to take advantage of resources without fully considering future generations and leave more burdens on them, which we call “intergenerational sustainability dilemma (ISD).” In various contexts, many important decisions for ISD problems are made by groups, such as committees, juries, teams and associations that are typically followed by intra-group deliberation. Therefore, this paper addresses how people in a group deliberate in ISD.

Past literature has examined intergenerational sustainability in various settings. Fischer et al. (2004) have shown that people do not exploit resources in the existence of “intergenerational link” in a common pool experiment. Chaudhuri et al. (2009) have found that communication device such as leaving an advice to subsequent generations enhances intergenerational coordination. Hauser et al. (2014) have demonstrated that a voting mechanism can play an important role in promoting intergenerational sustainability. Kamijo et al. (2017) have designed and implemented a laboratory experiment of ISD game (ISDG) by introducing a treatment of negotiators for future generations and claimed that such a negotiator could improve intergenerational sustainability. Sherstyuk et al. (2016) have analyzed the level of difficulties in maintaining dynamic externalities by implementing laboratory experiments and suggested that, due to the strategic uncertainty, it is difficult to improve dynamic efficiency in an intergenerational setting. Shahrier et al. (2017) have conducted field experiments of ISDG in the capital city of Bangladesh and rural areas, and confirmed that urban

28 people fail in maintaining intergenerational sustainability due to a high proportion of proself people  
29 in urban areas.

30 Many experimental studies have focused on communication to understand how individuals  
31 and groups make decisions. Several works have identified that even non-informative cheap talk or  
32 chat can be an effective tool to facilitate coordination (Cooper et al., 1992, Charness, 2000, Duffy  
33 and Feltovich, 2002, Charness and Grosskopf, 2004, Blume and Ortmann, 2007, Ambrus et al.,  
34 2015). On the other hand, there are some specific situations where communication does not enhance  
35 coordination such as in competitive coordination games, showing that group members engage in a  
36 costly communication to achieve intra-group coordination, yielding a coordination failure with other  
37 groups (Bornstein et al., 2002, Cason et al., 2012, 2017). Some literature has used content analysis  
38 of a group chat to understand the roles of communication, suggesting that inter-group relationship  
39 is characterized by fear and greed (Cooper and Kagel, 2005, Keck et al., 2014, Bradfield and Kagel,  
40 2015, Kagel and McGee, 2016). Overall, these experimental results show that communication does  
41 not always bring a successful coordination or outcome in intra- or inter-group relationships, and the  
42 content analysis of chats reveals various reasons behind the results.

43 In the fields of philosophy and political science, there are several studies that have tried to  
44 understand roles and functions of deliberation among people in collective decision-making situations  
45 (Cohen, 1986, Rawls, 1993, Chambers, 2003, Niemeyer and Dryzek, 2007). Some class of literature  
46 suggests that deliberation can be considered tools for understanding deeper aspects of sociode-  
47 mographic background, culture and ways of communication in societies (See, e.g., Steenbergen  
48 et al., 2003, Dryzek and List, 2003, Gronlund et al., 2009, Mercier and Landemore, 2012, List  
49 et al., 2013, Klinger and Russmann, 2015, Pedrini, 2015), and is easily understood by ordinary  
50 people and believed to promote fairness and unbiased decisions (Simon and Sulkin, 2002, Fishkin  
51 and Luskin, 2005). Goeree and Yariv (2011) have suggested that deliberative voting rules provide  
52 deeper insights in an agenda setting and collective decisions. Ban et al. (2012) have used field data  
53 from a village parliament in South India and identified that deliberation can bring more consensus  
54 for prioritizing public goods and safeguard policies from corruption.

55 Intergenerational sustainability has been discussed in relation to justice, ethics and equity (Rawls,  
56 1971, Barry, 1997, Wolf, 2007, 2008). Past literature has provided a wide variety of theories and  
57 evidence on the effects of deliberation. However, none of the literature has analyzed deliberation to  
58 understand how people think facing ISD. Given this state of affairs, we seek to identify attitudes and  
59 concepts, i.e., ideas, motivations and reasoning people bring during deliberation by instituting ISDG  
60 in rural and urban societies of Nepal. A sequence of six generations, each of which consists of three  
61 subjects, is organized and each generation is asked to choose whether to maintain intergenerational  
62 sustainability (sustainable option) or maximize her own generations' payoff by irreversibly imposing  
63 a cost on future generations (unsustainable option) in ISDG. Each generation makes 10-minutes  
64 deliberation for the decision, enabling deliberative analysis in ISD. A novelty in this research is  
65 to employ qualitative deliberative analysis to reveal the "attitudes" and "concepts" that rural and  
66 urban people have in ISD, especially concerning whether or not to consider sustainability of future  
67 generations.

## 68 **2 Methods and materials**

### 69 **2.1 Study areas**

70 This experiment has been conducted in two types of Nepalese fields: (i) urban areas, such  
71 as Kathmandu, Lalitpur, Bhaktapur and Pokhara city, and (ii) rural areas of several traditional  
72 villages from Parbat and Chitwan districts. These areas are almost homogeneous in terms of culture,  
73 language and religion. The urban residents are usually high in human development index on the  
74 basis of UNDP (2014), and the population density is high. For instance, Kathmandu has a population  
75 density of people 4416 people per km<sup>2</sup> (Central Bureau of Statistics, 2011) and is the most crowded  
76 city, with 24.3 % of the total urban population in Nepal. Big cities such as Kathmandu and Pokhara  
77 are the centers for businesses and services. The rural areas consist of different villages of the  
78 Western Hills and Central Terai, such as the Parbat and Chitwan districts (figure 1). The population  
79 densities of Chitwan and Parbat are 261 people per km<sup>2</sup> and 297 people per km<sup>2</sup>, respectively

80 (Central Bureau of Statistics, 2011). These villages consist of mostly farmers who engage in small  
81 scale farming, generation after generation, and a very limited number of businesses and services,  
82 typically small-scale ones, are available.

83 [Figure 1 about here.]

## 84 **2.2 Experimental setup**

85 We have conducted an intergenerational sustainability dilemma game (ISDG) with deliberation  
86 and collected questionnaire surveys to obtain sociodemographic data in rural and urban areas.

### 87 **Intergenerational sustainability dilemma game with deliberation**

88 The ISDG was implemented, basically following the laboratory and field experiments employed  
89 in Kamijo et al. (2017) and Shahrier et al. (2017). Three subjects in a group are called a generation,  
90 and each generation needs to choose between options  $A$  and  $B$ . The generation receives a payoff  
91 of  $X$  by choosing option  $A$  and a payoff  $X - 300$  by choosing option  $B$ . After making a choice  
92 between  $A$  and  $B$ , the generation is asked to split the payoff associated with the option they choose  
93 among the generation members. Each of the subject's payoffs in the ISDG is the sum of their  
94 generation share plus the initial experimental endowment of 300. For instance, by choosing  $A$ , the  
95 generation earns 1200 experimental points ( $X = 1200$ ), whereas by choosing  $B$ , the generation  
96 earns 900 points ( $= X - 300 = 1200 - 300$ ). Consequently, if members of this generation split the  
97 payoff equally among them, each member earns 400 by choosing  $A$  and 300 by choosing  $B$  as a  
98 generation share. Therefore, the total payoff of each subject with generation choice  $A$  becomes 700  
99 ( $= 400 + 300$ ), whereas it becomes 600 ( $= 300 + 300$ ) with generation choice  $B$ .

100 Each generation is allowed to deliberate the decision between  $A$  and  $B$  as well as how to split  
101 the generation payoff up to 10 minutes of discussion. However, when the decisions cannot be  
102 made within 10 minutes, the following rules have been applied, (1) if the generation share the  
103 group receives is positive, each member receives an initial endowment of 300 points only, (2) if the



104 generation share the group receives is negative, say,  $-Z$ , each member equally splits  $-Z$  by three  
105 and receives the payment of  $-\frac{Z}{3}$  plus an initial endowment of 300 points, (see Appendix for the  
106 details).

107 Each session consists of 18  $\sim$  24 subjects, organizing a sequence of 6  $\sim$  8 generations. Each  
108 generation is randomly assigned to one of the 1st, 2nd, . . . and 6th generations. When the number  
109 of subjects that participated in a session are 21 or 24, we organize 7th and even 8th generations.  
110 However, they are assigned as 1st and 2nd in another sequence of generations as indicated in figure 2.  
111 One generation's decision affects the subsequent generations such that subsequent generations'  
112 payoffs decrease uniformly by 300 when the generation chooses option  $A$ , otherwise not. For  
113 instance, suppose that  $X = 1200$  and the 1st generation chooses  $A$ . Then, the 2nd generation will  
114 face a game in which they can receive 900 and 600 by choosing  $A$  and  $B$ , respectively. However,  
115 if the 1st generation chooses  $B$ , the next generation can have the same decision environment as  
116 the 1st generation faced. When the 1st generation chooses  $B$ , the 2nd generation can have the  
117 game in which they can receive 1200 and 900 by choosing  $A$  and  $B$ , respectively. Following the  
118 same rule, the game continues for the rest of the subsequent two generations (i.e., between  $i$ th  
119 and  $i + 1$ th generation). Hence, option  $B$  can be considered an intergenerational "sustainable  
120 option," whereas option  $A$  is the choice that compromises intergenerational sustainability and can  
121 be considered an "unsustainable option." In each session, the 1st generation starts the ISDG game  
122 with  $X = 1200$ , implying that the 5th and 6th generations may face the game in which options  $A$   
123 and  $B$  are associated with payoffs of 0 and  $-300$ , respectively, when previous generations keep  
124 choosing option  $A$ .<sup>1</sup> In ISDG, subjects are paid 550 NPR ( $\approx$  5.00 USD) at maximum and 350 NPR  
125 ( $\approx$  3.50 USD) on average.

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<sup>1</sup>When the 5th and 6th generations face the game in which options  $A$  and  $B$  are associated with zero or a negative payoff of  $-300$ , the generation members can refund themselves equally from their initial endowment of 300 to make the individual payoff be at least zero.

## 126 **2.3 Experimental procedure**

127 In the experiments, we hire local supporting staffs and research assistants (the first author is  
128 a chief administrator for the experiment). We apply the same experimental procedures between  
129 urban and rural areas except for recruitment of subjects. In rural areas, subjects are informed in  
130 advance (a week ago) and asked to show up at a village school and/or government agricultural  
131 community hall at a given date and time. To collect subjects, we are supported by local government  
132 offices known as village development committees and randomly select the household from the list  
133 of residents in rural areas (Central Bureau of Statistics, 2011). Based on the random selection, we  
134 send an invitation letter to the selected households and one member in a household is invited to  
135 participate in our experiments. The participation rate is approximately 95 % which becomes high  
136 due to the pecuniary incentive written in the invitation letter.

137 In urban areas, we conducted occupation-based randomization by taking the desired number of  
138 subjects from each occupation such as banking, government, health, education, business, transporta-  
139 tion and entertainment. The experiment is conducted at district health organization training halls  
140 in the urban areas that are in the center of the cities consisting of many rooms. We send invitation  
141 letters to different offices requesting people to participate in our experiments. One week prior to the  
142 experiment, the letters are dispatched to the selected organization. We conduct experiments on the  
143 weekend and, due to proper incentives, the participation rate is high that is 80 %. On an average,  
144 we paid 550 NPR ( $\approx$  5.00 USD) to each subject including a fixed participation fee of 100 NPR ( $\approx$   
145 1 USD).

146 [Figure 2 about here.]

147 Upon arriving at the location, subjects are gathered in one hall and they are given experimental  
148 instructions in their native language (Nepali). Once everybody is present in a room, an experimenter  
149 (the first author) gives subject a verbal explanation about the experimental rules. To maintain  
150 anonymity across generations, first, we confirm that subjects have fully understood the rules, and  
151 second, they are asked to proceed toward a door and pick up a chip out of a bag that contains their

152 generation ID and individual ID. According to the IDs, each subject goes to and sits in a specific  
153 room. In the end, we place the generations in separate rooms by their generation IDs. In this way,  
154 each subject can not observe and identify which person belong to a specific generation in a sequence  
155 (she knows only the members of her generation), however, they can realize that they are assigned to  
156 one generation within a sequence. However, they are not informed of which generation is the last  
157 within a sequence of generations.

158 The research assistants distribute questionnaires and explain the experimental procedures once  
159 again to subjects. In ISDG, the 1st generation makes deliberation up to 10 minutes where it is  
160 recorded and their generation decision is confirmed. Once a generation finishes making her decision  
161 after the deliberation, the members are asked to move to a different room and this process is necessary  
162 to assure anonymity. After the 1st generation decision, we proceed to the 2nd generation with the  
163 same procedures. A series of these routines are applied to the rest of the next generations from 3rd  
164 to 6th ones. The previous generation decisions are written on a white-board and the subsequent  
165 generations can see them if they are other than the 1st generation. Each subject in a generation is  
166 asked to confirm which generation they belong to in a sequence and the payoffs associated with  
167 options *A* and *B*. Therefore, each generation is able to calculate how many times options *A* and *B*  
168 have been chosen by the previous generations. With this information, each generation deliberates  
169 and decides between intergenerational unsustainable option *A* and sustainable option *B* from the  
170 1st generation to 6th generation. After ISDG, we conduct questionnaire surveys to elicit subjects'  
171 sociodemographic information.

## 172 **2.4 Qualitative-deliberative analysis**

173 We analyze the statements made by each subject in a generation during deliberation in ISDG  
174 through a qualitative-deliberative analysis following Nakagawa et al. (2016). In this process, we  
175 hire and ask external coders, who are neither related to our research project nor in the authorship of  
176 this paper, for qualitative coding of arguments. The external coders independently go through all  
177 the statements made by 363 subjects and 121 generations during deliberation in ISDG and conduct

178 qualitatively deliberative analysis. First, we record the deliberation in the Nepali language as it  
179 occurs in the fields. Later, by hiring professionals, the deliberation contents are translated from  
180 the Nepali language to English. The first author confirms that the transcriptions and translation  
181 outcomes are consistent. These coders are initially given a series of pilot tests to see whether they  
182 can analyze the statements coherently and independently.

183 Second, each coder independently conducts qualitative deliberative analysis by going through all  
184 of the transcribed discussions per generation and submit her coding results, following sections 2.4.1  
185 and 2.4.2. These coders are unaware of the research questions in this research and asked to code  
186 the transcriptions in a conservative way such that the statement is “empty” whenever the intention  
187 of a statement is not clear.<sup>2</sup> After the three coders submit their results, an interrater reliability  
188 analysis using the Kappa statistics has been performed to determine consistency among the three  
189 coders, following Cohen (1960), Krippendorff (2003) and Cason and Mui (2015). Finally, three  
190 coders gather together, discuss about their results and/or vote for deciding how they should finally  
191 interpret the arguments when there are significant disagreements in their coding. After resolving  
192 such disagreements, they give us a final coding result, which we use for reporting our results in  
193 what follows.

#### 194 **2.4.1 Analysis 1: Determination of subjects’ types**

195 To identify patterns of the shifts in subjects’ attitudes for supporting sustainable or unsustainable  
196 options, the arguments in deliberations are qualitatively analyzed following Corbin and Strauss  
197 (2014). An important factor to identify subjects’ attitudes from an unstructured deliberation is to  
198 understand and classify subjects’ arguments that emerge during the deliberation (Kaplan, 1985,  
199 Dillard, 2013). The qualitative deliberative analysis identifies each subject’s argument and its  
200 change for supporting sustainable or unsustainable options during deliberation. For example, a  
201 subject makes her initial argument as a preliminary remark to support sustainable option, but she  
202 might change her support from the initial point in the middle of deliberation after listening to what

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<sup>2</sup>The detailed procedures performed by the coders are provided in the appendices.

203 other members argue. Deliberative analysis is useful to track such a change in arguments made by  
204 each subject and for identifying the attitudes of subjects at each moment of deliberation.

205 Based on Analysis 1, the attitudes of each subject's toward the sustainable option and the shifts  
206 are determined from the transcribed generation deliberation. In what follows, we describe the  
207 definitions of the subjects' statuses and then define the typologies of subjects with respect to how  
208 they change their statuses throughout the deliberation. The states of subjects in deliberation are  
209 classified into the following four types.

- 210 • **State  $\varphi$** : This state refers to the situation where a subject has not displayed her attitudes  
211 regarding which option to support.
- 212 • **State  $A$  or  $a$** : This state refers to the situation where a subject has expressed her support for  
213 option  $A$  (i.e., the unsustainable option).
- 214 • **State  $B$  or  $b$** : This state refers to the situation where a subject has expressed her support for  
215 option  $B$  (i.e., the sustainable option).
- 216 • **State  $Amb$** : This state refers to the situation where a subject has expressed her ambivalent  
217 position regarding which option to support.

218 The distinction between  $A$  and  $a$  ( $B$  and  $b$ ) is defined as follows: a subject is regarded as having  
219 moved to state  $A$  ( $B$ ) only if (i) she did not follow a specific subject in expressing her support of  
220 option  $A$  ( $B$ ) or (ii) she expressed her own reason to support option  $A$  ( $B$ ). In contrast, if a subject  
221 follows other subjects and expresses that she supports  $A$  ( $B$ ) without any reasons, her new state will  
222 be denoted as  $a$  ( $b$ ). It should be noted that at the beginning of deliberation, all subjects are in state  
223  $\varphi$ . In addition, they are in state  $a$ ,  $b$ ,  $A$ ,  $B$  or  $Amb$  at the end of the deliberation. On the basis of the  
224 aforementioned subjects' states, we classify subjects into three types according to how she changes  
225 her own states throughout the deliberation.

226 **Definition 2.1 (Dependent subjects)** *Subjects of this type start with  $\varphi$  and end with  $a$  or  $b$ .* ■

227 Subjects who are not classified into “dependent subjects” shall be classified into either of the  
228 following types.

229 **Definition 2.2 (Stable subjects)** *Subjects of this type start with  $\varphi$  and end with  $A$ , and during the*  
230 *process, they do not take states  $b$ ,  $B$  or  $Amb$ , or they start with  $\varphi$  and end with  $B$ , and during the*  
231 *process, they do not take states  $a$ ,  $A$  or  $Amb$ . Examples of the status changes are  $\varphi \rightarrow A$ ,  $\varphi \rightarrow B$ ,*  
232 *and  $\varphi \rightarrow a \rightarrow A$  where “ $\rightarrow$ ” denotes the temporal order of changes. ■*

233 **Definition 2.3 (Unstable subjects)** *Subjects of this type start with  $\varphi$  and end with  $A$ , and during*  
234 *the process, they take state  $b$ ,  $B$  or  $Amb$ , or they start with  $\varphi$  and end with  $B$ , and during the process,*  
235 *they take state  $a$ ,  $A$  or  $Amb$ . Examples of the status changes are  $\varphi \rightarrow A \rightarrow B$ ,  $\varphi \rightarrow B \rightarrow A$ ,*  
236  *$\varphi \rightarrow Amb \rightarrow A$ ,  $\varphi \rightarrow Amb \rightarrow B$  and  $\varphi \rightarrow a \rightarrow B$ . ■*

237 Categorization of subjects by “dependent,” “stable” and “unstable” types with their final support  
238 or attitude for sustainable or unsustainable options based on the above definitions enables us to  
239 clarify how deliberation is made to decide in ISD as well as to characterize how subjects consistently  
240 support one option or not during deliberation in rural and urban areas.

#### 241 **2.4.2 Analysis 2: Concepts — ideas, motivations and reasoning**

242 To understand ideas, motivations and reasoning subjects bring during deliberation, we use  
243 “concepts” for considering (not considering) about future generations in ISDG. Following the  
244 laboratory and field experiments employed in Nakagawa et al. (2016), Kamijo et al. (2017) and  
245 Shahrier et al. (2017), the 15 concepts for considering (not considering) future generations have been  
246 developed as a basis for coders to follow in qualitative deliberative analysis (table 5). With the 15  
247 concepts in table 5 in mind, external coders read transcribed deliberations, statements and arguments  
248 made by each subject. When coders identify that a subject makes some argument that is consistent  
249 with or based on one concept  $i$  in table 5, the argument is coded and counted as 1 for concept  $i$ . We  
250 ask the coders to be very conservative with this process and they are advised to suggest any new  
251 category if they think something missing as a concept. After this analysis, we can find how many

252 times concept  $i$  emerges through arguments made by subjects in each generation's deliberation.  
253 Following Analyses 1 and 2, we summarize and compare the basic statistics of subjects' types,  
254 attitudes and concepts subjects argue during deliberation to support sustainable or unsustainable  
255 options.

### 256 **3 Results**

257 The sociodemographic information of urban and rural subjects is presented in table 1, demon-  
258 strating the differences between urban and rural areas in terms of gender, education, employment  
259 and income level. In urban areas, 66 % of subjects are male, while only 44 % are male in rural areas.  
260 This result reflects that many males migrate from their home villages to urban areas or to foreign  
261 countries for employment. With respect to education, more than 50 % of the subjects in urban areas  
262 have a university degree (16 years of schooling), while subjects in the rural areas possess 10 years  
263 of schooling as the median. In urban areas, 63 % of subjects are engaged in the business and service  
264 sectors, while 88 % of rural subjects are involved in farming and forestry as their main occupation.  
265 Thus, income is higher in urban areas than in rural areas. Overall, the summary statistics suggest  
266 that there are some differences between the two areas.

267 [Table 1 about here.]

268 Table 2 summarizes the frequencies and percentages of generation choices for intergenerational  
269 unsustainable option  $A$  and sustainable option  $B$  in ISDG between rural and urban areas. It  
270 shows that 10 (16.13 %) generations choose option  $A$  and 52 (83.87 %) generations choose option  
271  $B$  in rural areas, whereas in the urban 21 (35.59 %) generations choose option  $A$  and 38 (64.41 %)   
272 generations choose option  $B$ . Given the result shown in table 2, we run a chi-square test to  
273 statistically confirm that the distributions in generation choices of  $A$  and  $B$  between rural and urban  
274 areas are the same as a null hypothesis. We reject the null hypothesis at 5 % significance level  
275 ( $\chi^2 = 6.01, p = 0.014$ ), implying that the frequency distributions in generation choices of  $A$  and  $B$

276 between rural and urban area are different. Overall, generations in rural areas choose sustainable  
277 option *B* more often than those in the urban areas.

278 [Table 2 about here.]

279 To understand concepts behind generation decisions, the arguments in deliberations are qualita-  
280 tively analyzed. In particular, we look at shifts in subjects' attitudes for supporting sustainable or  
281 unsustainable options by finding subject types defined in Analysis 2 of Section 2. Table 3 shows the  
282 distributions of subject types in generations, following the definitions of subject statuses in analysis  
283 1, "dependent," i.e., a subject who does not bring any ideas, motivations or reasons to show her  
284 support, "stable," i.e., a subject who consistently shows and brings ideas, motivations and reasons  
285 for her support and "unstable," i.e., a subject who changes her support during a course of generation  
286 deliberation. In coding deliberation per generation, an interrater reliability measure is used to see  
287 consistency among three coders on the identification of subject types (or of an unordered categorical  
288 variable). We confirm that the reliability measures are Kappa = 0.43 and 0.40 with  $p < 0.01$  for  
289 rural and urban areas, respectively, suggesting that they are statistically significant and moderately  
290 consistent (Landis and Koch, 1977). We run a chi-squared test with a null hypothesis that frequency  
291 distributions in subject types between rural and urban areas are the same. The test does not reject  
292 the null hypothesis, demonstrating that the distributions of subject types do not differ between rural  
293 and urban areas.

294 Table 4 summarizes the frequencies and percentages of "subject types" who finally support  
295 unsustainable option *A* or sustainable option *B* in both urban and rural areas. The "stable" type of  
296 subjects who support unsustainable option *A* in urban areas is 14 % and the "dependent" type is  
297 15 %, while the stable and dependent types occupy 6 % and 3 %, respectively, in rural areas. The  
298 chi-squared test examines a null hypothesis that the distributions of subject types between rural  
299 and urban areas are the same for the sample of subjects supporting option *A*. The result rejects the  
300 null hypothesis at 5 % significance level ( $\chi^2 = 6.66, p = 0.035$ ). However, we could not reject the  
301 null hypothesis for the sample of subjects supporting sustainable option *B*. This implies that the  
302 distributions of subject types differ between urban and rural areas only for the sample of subjects



303 supporting option *A*, being consistent with the fact that the proportions of stable and dependent  
304 subjects who support *A* are higher in urban areas than in rural areas (table 4).

305 [Table 3 about here.]

306 Through categorizing subject types, we find some consistency between generation choices and  
307 distributions of subject types that finally support options *A* or *B*. Recall that, as reported in table 2,  
308 urban generations choose option *A* more often than do rural ones, and stable subjects are defined to  
309 be the member in a generation that consistently shows her support for option *A* (or *B*) and explains  
310 her ideas, motivations and reasoning to the other members in her generation during deliberation of  
311 10 minutes. With the idea in mind, we see that the “stable” type of subjects that support option *A*  
312 (or *B*) is key for generations to make a decision in that the proportions of stable types that support  
313 option *A* or *B* well reflect generation decisions between rural and urban areas. By definition, a  
314 stable subject in a generation could be interpreted or considered as an influencer to induce other  
315 members’ opinions to follow or change. In urban areas, it is likely that an existence of stable  
316 subjects that support option *A* in generations function as an influencer to induce other members of  
317 dependent and/or unstable types in her generation to support option *A*, leading urban generations  
318 not to consider future generations (Chambers, 2009, Dickson et al., 2008, Niemeyer, 2011).

319 [Table 4 about here.]

320 The concepts of ideas, motivation, and reasoning regarding “not considering future generations,”  
321 and “considering future generations,” that emerge during deliberations in urban and rural areas  
322 identified by qualitative coding are summarized in table 5. The interrater reliability for the coders  
323 is found to be Kappa = 0.33 and 0.35 at  $p < 0.01$  for rural and urban areas, respectively, with  
324 overall 40 % agreement among the coders. A total of 87 and 109 concepts of ideas, motivations  
325 and reasoning during deliberations are identified in rural and urban areas, respectively, and they  
326 are classified on the basis of 15 concepts. Table 6 presents the mean and standard deviations of  
327 how many concepts per generation are identified during deliberation in rural and urban areas. It

328 appears that urban generations bring more concepts during deliberation than do rural ones since the  
329 mean and standard deviation in the number of concepts per generation in urban areas are higher  
330 than in rural areas. To confirm the distributional difference between rural and urban areas, we  
331 perform a Pearson chi-squared test. The result rejects the null hypothesis at 1 % significance level  
332 ( $\chi^2 = 27.36, p < 0.01$ ), implying that urban generations deliberate with more concepts or a wider  
333 variety of concepts during deliberation than do rural generations.<sup>3</sup>

334 [Table 5 about here.]

335 Based on the results shown in tables 5 and 6, the frequencies of concepts that emerge during  
336 deliberations in rural and urban areas are coded and shown as histograms in figure 3. The concepts are  
337 represented by positive integers from 1 to 15 in the way that each number from 1 to 7 corresponds  
338 to one concept for “not considering future generations,” and each number from 8 to 15 does so for  
339 “considering future generations,” as defined in table 5. Figure 3 demonstrates that distributions in  
340 the support between 8 and 15 do not differ between rural and urban areas, meaning that the concepts  
341 for considering future generations are deliberated by rural and urban subjects in the same way or  
342 same degree. In particular, concept 15 (hope to avoid future generations’ disadvantages) is most  
343 frequently discussed in rural and urban areas.

344 A clear difference between rural and urban areas comes from histograms whose support ranges  
345 between 1 and 7 that is associated with concepts for not considering future generations (see and  
346 compare two histograms of black bars in figures 2(a) and 2(b)). We can see that concepts for NOT  
347 considering future generations during deliberations emerge more frequently and widely than in rural  
348 areas. The dominant concepts for not considering future generation in urban areas are concepts 1, 3  
349 and 4 that correspond to “gratitude to earlier generations,” “maximization of the current generations’  
350 benefit,” and “acceptable disadvantage of future generations.” Interestingly, urban generations tend  
351 to feel gratitude to earlier generations when they realize that previous generations chose sustainable  
352 option *B* by considering them. However, the gratitude does not motivate urban generations to

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<sup>3</sup>Table 6 shows that mean deliberation lengths do not differ between rural and urban areas. However, the standard deviation in urban areas is higher than that in rural areas, meaning that some deliberations in urban areas become long.

353 choose sustainable option for future generations. Rather, they think “we are lucky to have a chance  
354 to choose *A* for high payoffs with the gratitude to earlier generations, and let us choose *A*.”

355 Figure 3 also reveals that urban subjects bring some “concepts” for NOT considering future  
356 generations during deliberations that rural subjects do not, such as concepts 2, 6 and 7 that are  
357 “surprise at earlier generations’ decisions,” “senses of guilt relaxed by earlier generation’s decision”  
358 and “non-negligible cost of considering future generation,” respectively. This implies that some  
359 urban subjects have unique and/or new ways of thinking and interpreting issues in ISD that rural  
360 subjects never have. Frequencies and histograms of concepts that emerge during deliberations are  
361 quite consistent with generations decisions and the distributions of subject types between rural and  
362 urban areas shown in tables 2 and 4, respectively, demonstrating that urban subjects bring concepts  
363 not to consider future generations more frequently and widely during their deliberation than do rural  
364 subjects do, leading urban generations to choose unsustainable option *A*. Overall, our deliberative  
365 analysis finds that urban subjects may be losing sympathy and/or concerns for future generations.

366 [Figure 3 about here.]

367 Urban cities are future as claimed by recent researches, and Asia and Africa are predicted to  
368 have the largest and fastest growing “urban cities” in the future by attracting 65% ~ 75% of  
369 world population (see, e.g., Wigginton et al., 2016). Therefore, urban societies are expected to play  
370 more vital roles in shaping people’s preferences and behaviors through daily practices and lifestyle,  
371 and urban people shall remain the main drivers of deciding policies that affect intergenerational  
372 sustainability (Van Lange et al., 2007, 2011, Golub et al., 2013, Shahrier et al., 2016, 2017). Based  
373 on our findings, it is more likely that urban people implement the policies that may leave irreversible  
374 costs on future generations (Henderson et al., 2016). Fischbacher et al. (2001) and Hauser et al.  
375 (2014) demonstrate an importance of having “conditional cooperators” in a group for providing  
376 and sustaining public goods in laboratory experiments especially when some person in a group first  
377 takes an initiative or leadership to cooperate.

378 Our results demonstrate the opposite scenario to explain how urban people choose to be  
379 intergenerationally unsustainable; a considerable portion of urban people may be “stable” to

380 consistently support unsustainable option *A* and such a stable urban person tends to be an influencer  
381 for other members to follow, that is, other members in a generation are influenced to be “conditional  
382 followers” for unsustainable options. To resolve ISD in urban areas, it shall be necessary to change  
383 the ways of thinking for stable people or influencers who consistently support an unsustainable  
384 option and the associated “conditional followers.” A simple resolution may be introducing some  
385 new social device or mechanism for urban people to “recall more sympathy and concerns for  
386 future generations” through education or some policies when human societies want to ensure  
387 intergenerational sustainability.

## 388 **4 Conclusion**

389 This paper has addressed intergenerational sustainability dilemma (ISD) through field experi-  
390 ments where generations are asked to decide between sustainable and unsustainable options through  
391 deliberation. With deliberative analysis, we have clarified the attitudes and concepts, such as ideas,  
392 motivations and reasoning, that people discuss during the deliberation in ISD game (ISDG). We  
393 find that a considerable portion of urban people are identified to be “stable” as an “influencer” that  
394 consistently argues her support for unsustainable option, while another considerable portion of  
395 urban people are “dependent” as a “conditional follower.” Together with this fact, urban subjects  
396 bring concepts not to consider future generations more frequently and widely during their delibera-  
397 tion than do rural people, leading urban generations to choose unsustainable option. Overall, our  
398 deliberative analysis finds that urban subjects may be losing concerns for future generations.

399 We note some important limitation and future avenues of research. In the present study, an  
400 agenda for deliberation is simplified as an ISDG and exogenously given to groups to discuss  
401 about choosing sustainable or unsustainable option. However, it does not fully reflect a realistic  
402 policy agenda that contains an issue of ISD. In the future, it is important to design and institute a  
403 deliberative experiment with real policy agendas that extend over multiple generations to deeply  
404 understand how people in societies deliberate about real problems of ISD, such as budgets or forest

405 management problems. These caveats notwithstanding, we believe that this work is an important  
406 first step as a field experiment research as well as a qualitative-deliberative study that addresses  
407 intergenerational sustainability. The experimental approach with deliberative analysis can provide  
408 a rich insight into how people and groups decide on various issues. This research is considered  
409 an illustration of how qualitative-deliberative analysis can be usefully combined with economic  
410 experiments as a methodology to reveal human behaviors, arguments and motivations in collective  
411 decision making such as ISD.

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Figure 1: Urban and rural areas in Nepalese fields

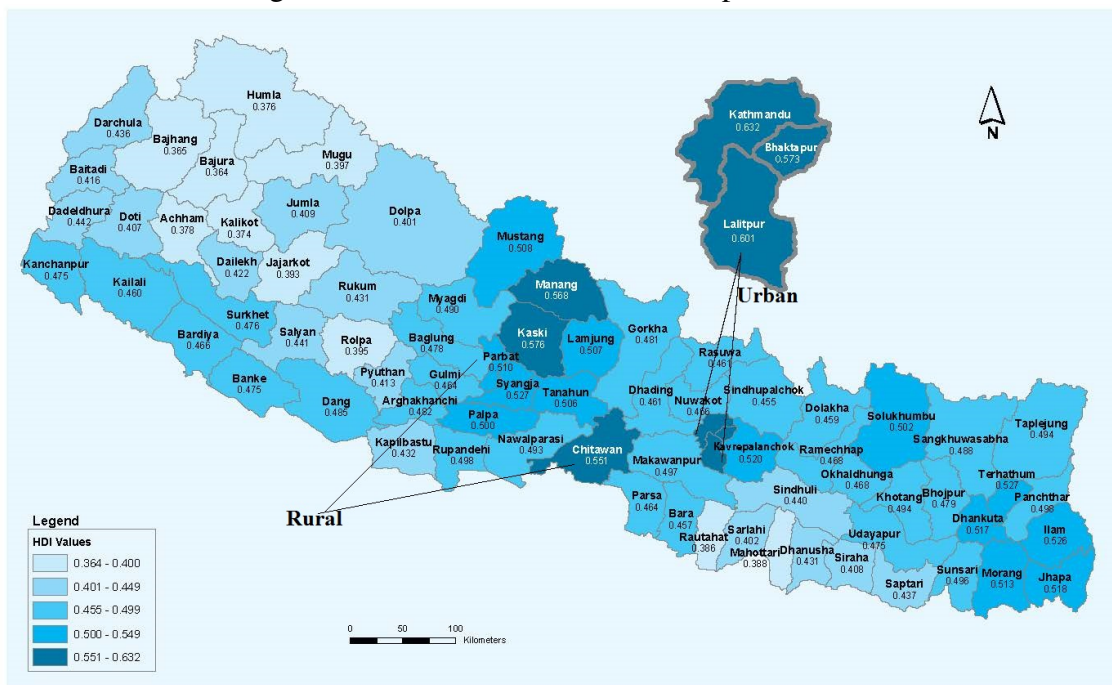
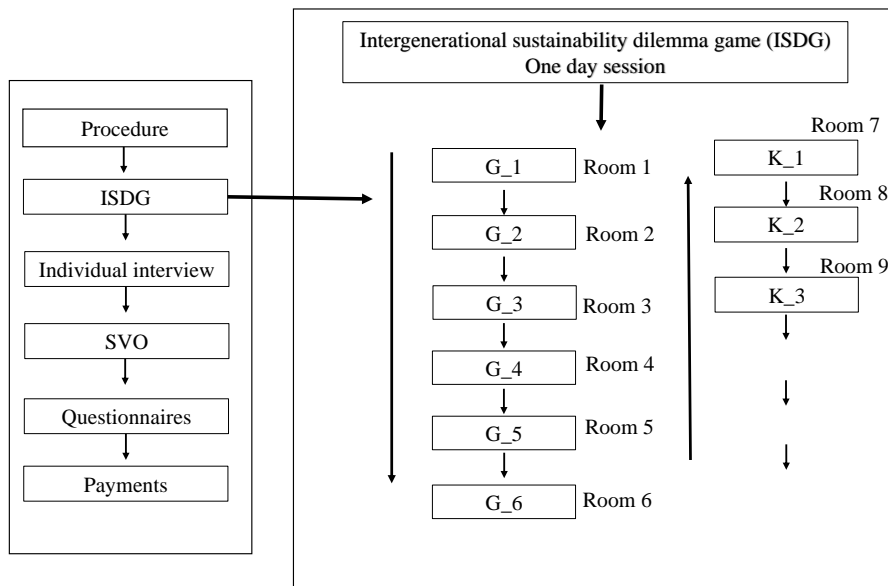
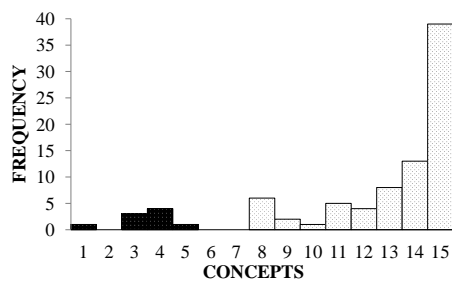


Figure 2: Structure of experiment and data collection procedures



(a) Rural areas



(b) Urban areas

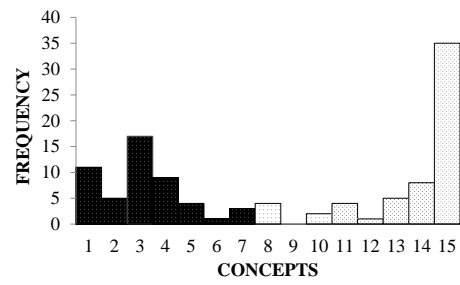


Figure 3: Distributions of concepts that emerge in rural and urban areas: Each number from 1 (8) to 7 (15) in the support of a histogram corresponds to one concept for not considering future generations (for considering future generations)

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Table 1: Summary statistics of socio-demographic variables

Variables	Rural (62 generations, 186 subjects)			Urban (59 generations, 177 subjects)		
	Mean	SD <sup>1</sup>	Max	Mean	SD	Max
Age <sup>2</sup>	33.27	11.54	66.00	33.77	11.38	56.00
Gender <sup>3</sup>	0.44	0.50	1.00	0.66	0.47	1.00
Education <sup>4</sup>	10.18	2.86	18.00	15.20	3.42	18.00
Business and services <sup>5</sup>	0.12	0.37	1.00	0.63	0.48	1.00
Income (in NPR 1000) <sup>6</sup>	3.08461	40.49894	300.000	50.91917	80.50229	900.000

<sup>1</sup> The "SD" stands for standard deviation.

<sup>2</sup> Age is a continuous variable given in years.

<sup>3</sup> A dummy variable that takes 1 when the subject is male, otherwise 0.

<sup>4</sup> Education represents years of schooling.

<sup>5</sup> Business and services involvement is a dummy variable that takes 1 when the subject is engaged in business or another service sector otherwise 0.

<sup>6</sup> Income is given in monthly Nepalese rupees (NPR).

Table 2: The frequency and percentage of generation choice of  $A$  and  $B$  (percentage in parenthesis are rounded up)

Choice of $A$ or $B$	Region		Sample total
	Urban	Rural	
$A$	21 (36%)	10 (16%)	31 (26%)
$B$	38 (64%)	52 (84%)	90 (74%)
Total	59 (100%)	62 (100%)	121 (100%)

The table shows generation choice of  $A$  and  $B$  with respect to urban and rural areas.



Table 3: The frequencies and percentages of “subject types” in rural and urban areas that finally support options *A* or *B*

Generations	Dependent	Stable	Unstable	Sub-total
Rural	87 (47 %)	84 (45 %)	15 (8 %)	186 (100 %)
Urban	75 (42 %)	81 (46 %)	21 (12 %)	177 (100 %)

Numbers in brackets indicate the corresponding proportions of “subject types.”

Table 4: The frequency and percentage of “subject types” who finally support options *A* or *B* in urban and rural areas

Generation	Subjects who finally support <i>A</i>		Subjects who finally support <i>B</i>		Sub-total
	Dependent	Stable	Dependent	Stable	
Rural	5 (3 %)	11 (6 %)	82 (44 %)	73 (39 %)	186 (100 %)
Urban	27 (15 %)	24 (14 %)	48 (27 %)	57 (32 %)	177 (100 %)

Numbers in brackets indicate the corresponding proportions of subject types per generation type.

Table 5: Categories and concepts of ideas, motivations and reasoning during deliberation

Category	No.	Concepts	Example
Not considering future generations	1	Gratitude to earlier generations	Since some previous group has selected <i>B</i> , we have a good opportunity to get more by choosing <i>A</i> . We should select <i>B</i> . <sup>1</sup>
	2	Surprise at earlier generations' decisions	Wow! At the beginning, there were 1200 and 900 points as option for choosing <i>A</i> and <i>B</i> .
	3	Maximization of the current generations' benefit	The first group has selected <i>A</i> and stuck to more points for herself.
	4	Acceptable disadvantage of future generations	First, we need to think about ourselves, then we can think about others. We should do gambling. Let us choose <i>A</i> . We need to gamble, and future groups will at least get something
	5	Risk of unsucceeded goodwill	We need to win that is what it matters.
	6	Sense of guilt relaxed by earlier generations' decisions	There is no problem to choose <i>A</i> , previous group has also chosen <i>A</i> .
	7	Non-negligible cost of considering future generations	The cost from choosing <i>B</i> is 300, let's choose <i>A</i> .
Considering future generations	8	Hesitation to take advantage from a happenstance	We should not take advantage of the opportunity that come to us by chance.
	9	Expectation that goodwill will succeed	We expect that if we choose option <i>B</i> , groups coming later will do so.
	10	Sense of guilt not to consider future generations	It will not make big difference by choosing option <i>B</i> but if we choose <i>A</i> , it will gradually decreases 300 points of future groups .
	11	Negligible cost of considering future generations	We should not be selfish for just 300 points, we also need to think about other groups.
	12	Willingness to terminate the chain of badwill	Taking <i>A</i> decreases 300 points and, at the end, there might be zero or even negative. Some groups before us have already chosen <i>A</i> .
	13	Willingness to succeed goodwill	I think that the previous group has selected <i>B</i> , and groups before that have selected <i>B</i> . Let us choose <i>B</i> .
	14	Maximization of the sum of all generations' benefits	Every group should get the same benefits. If all groups choose <i>B</i> , then the total points will be high.
	15	Hope to avoid future generations' disadvantages	There are many groups and as a previous group if we choose <i>A</i> then groups later will have a disadvantage. It is not fair that only previous groups get an advantage.

<sup>1</sup> Experimental instruction uses a neutral terminology of "groups" to represent "generations." Therefore, subjects use a word of groups in deliberation.  
A total of 9 (50) and 78 (59) ideas, motivations and reasoning have been identified for not considering (considering) future generations in rural and urban areas, respectively.

Table 6: Summary statistics for a number of concept that emerge during deliberation and deliberation length per generation

Generations	Number of different concepts		Deliberation length (minutes)	
	Mean	SD	Mean	SD
Rural areas	1.61	0.56	1.52	0.95
Urban areas	2.01	1.01	1.57	1.24