



# Can deliberative democracy resolve intergenerational sustainability dilemma?

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## Abstract

What the current generation does today affects the future generations, but the opposite is not true. This one-way nature induces the current generation to take advantage of resources without fully considering future generations' needs, which we call "intergenerational sustainability dilemma (ISD)," and it is a cause of many important problems such as climate change. Although deliberative democracy is demonstrated to be effective for some class of social problems such as prisoner's dilemma (PD), little is known about whether and how deliberative democracy is effective to solve ISD. Given this state of affairs, we examine (1) the factors to characterize the preferences and behaviors and (2) whether deliberative democracy resolves the problem in ISD through conducting an intergenerational sustainability dilemma game (ISDG) in two types of Nepalese fields (urban and rural areas). In ISDG, a sequence of six generations each of which consists of three people is organized, and each generation can either maintain intergenerational sustainability (sustainable option) or maximize its own generation's payoff by irreversibly imposing a cost on future generations (unsustainable option) under deliberative democratic settings. Our results show that the probability of choosing sustainable options increases with a number of prosocial members per generation, implying that generations in rural areas choose sustainable options more frequently than those in urban areas. Second, deliberative democracy does not induce individual opinion changes and generation decisions in favor of intergenerational sustainability. Overall, our findings demonstrate that some new mechanisms in place of deliberative democracy may be necessary to enhance intergenerational sustainability unless societies would have more prosocial people or cultural changes in the future.

**Key Words:** Intergenerational sustainability; deliberative democracy; prosociality

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## Nomenclature

IFG Imaginary future generation

ISDG Intergenerational sustainability dilemma game

NPR Napalese rupee

SVO Social value orientation

VDC Village development committee

## 1 Introduction

2     What the current generation does today affects the future generations, but the opposite is not true.  
3     This one-way nature induces the current generation to take advantage of resources without fully consid-  
4     ering future generations’ needs, which we call “intergenerational sustainability dilemma (ISD),” and it  
5     is claimed to be a cause of many important problems (Kamijo et al., 2017, Shahrier et al., 2017). For  
6     instance, many serious intergenerational problems have occurred, such as climate change, resource de-  
7     pletion, biodiversity loss and long-term governmental debts. Capitalism and democracy are two social

8 institutions that have been widely spread and established in many parts of the world. Competition un-  
9 der capitalism have enabled economies to grow and achieve higher efficiency, while democracy favors  
10 individual freedom of preferences and speech in current generations. However, neither capitalism nor  
11 democracy is known to be future-oriented in nature, and it is pointed out that these institutions favor the  
12 current generation to maximize her benefits (Pigou, 1952, Krutilla, 1967, Garri, 2010, Thompson, 2010).  
13 In particular, the human oddities such as “optimistic bias” allow people not to sufficiently consider or  
14 imagine the pessimistic future events (Andrew and Malhotra, 2008, Sharot, 2011, Mitra and Sapolsky,  
15 2012, Jacobs and Matthews, 2012), and these are considered the main reasons for ISD problems leading  
16 to various ecological and social challenges. This paper addresses the ISD problem under democratic  
17 settings by conducting filed experiments.<sup>1</sup>

18 Over the last decade, several studies have used an experimental approach to examine people’s prefer-  
19 ences and behaviors over intergenerational sustainability. Fisher et al. (2004) show that people become  
20 less motivated for exploitation of resources by the existence of “intergenerational link” in an intergen-  
21 erational common pool experiment. Hauser et al. (2014) demonstrate that democracy or majority vot-  
22 ing tends to promote sustainability of intergenerational goods when a majority of people are prosocial.  
23 Kamijo et al. (2017) design and implement a laboratory experiment of the intergenerational sustainability  
24 dilemma game (ISDG) by introducing the treatment of negotiators for future generation, claiming that  
25 the negotiators could improve intergenerational sustainability. Sherstyuk et al. (2016) analyze the level  
26 of difficulties in maintaining dynamic externality by implementing laboratory experiments of a dynamic  
27 game under two types of settings: (i) infinitely-lived decision makers and (ii) multiple generations. They  
28 find that strategic uncertainty makes it difficult to retain dynamic externality, and thus advice and history  
29 from the previous generation may help to improve dynamic efficiency in an intergenerational setting.

30 Many political scientists and psychologists have studied deliberation to understand processes of col-  
31 lective decisions making (Rawls, 1993, Chambers, 2003, Niemeyer and Dryzek, 2007). Several ex-  
32 perimental studies have analyzed the role of deliberation in relation to equity and sociodemographic  
33 backgrounds, such as Simon and Sulkin (2002), in collective decision making. They conclude that de-  
34 liberative discussion can bring about fair and equitable outcomes for group members. Goeree and Yariv

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<sup>1</sup>Deliberative democracy or discursive democracy is a form of democracy in which deliberation is central to collective decision-making (Joseph, 1994).

35 (2011) also conduct deliberation experiments under different institutions of majority and unanimity, re-  
36 porting that deliberation promotes fair outcome across the institutions. Ban et al. (2012) use field data in  
37 south India, suggesting that, even in heterogeneous societies, deliberation is important in that it can in-  
38 duce long-term agreement on the prioritization of public goods. List et al. (2013) use deliberative data to  
39 confirm that deliberation can support to resolve the salient issues. Overall, theories and empirical studies  
40 conclude that deliberation is effective in many collective decision environments, but none of them have  
41 focused on intergenerational sustainability.

42 Irrespective of types of governance, institutions and societies, whether people care about the others  
43 or future generations depends on the degree of prosociality, trust and fairness that are affected by the  
44 cultural and economic environment (Ockenfels and Weimann, 1999, Henrich et al., 2005, Wilson et al.,  
45 2009, Henrich et al., 2010, Brosig-Koch et al., 2011, Leibbrandt et al., 2013, Shahrier et al., 2017). Fur-  
46 thermore, as societies become more capitalistic and competitive, the current generation tends to become  
47 more proself, compromising sustainability (Fisher et al., 2004, Shahrier et al., 2016, 2017, Timilsina  
48 et al., 2017). Although social devices such as communication, discussion or deliberation in collective  
49 decision making are demonstrated to resolve some class of not only social but also economic problems  
50 such as prisoner's dilemma, public goods provision and common pool resource problems (Cardenas,  
51 2000, Cardenas et al., 2000, Cason et al., 2012, Ghate et al., 2013), little is known about whether and  
52 how deliberative democracy is effective to resolve ISD.

53 We design and institute a series of new procedures for ISDG field experiments to examine whether  
54 and how deliberative democracy resolves ISD and influences individuals and generations of people. We  
55 organize a sequence of six generations, each of which consists of three subjects, and each generation  
56 is asked to decide between maintaining intergenerational sustainability (sustainable option) and maxi-  
57 mizing its own generations payoff by irreversibly imposing a cost on future generations (unsustainable  
58 option) through deliberative discussion. As a new element of the ISDG experimental design in this paper,  
59 we conduct individual interviews to elicit each subject's thought and opinion before and after generation  
60 deliberation, enabling us to clarify how each subject supports and changes her opinions over a course of  
61 deliberation. To generalize and better characterize human nature in ISD, we conduct ISDG field experi-  
62 ments and questionnaire surveys for sociodemographic and psychological information in one of the least

63 developed countries, Nepal, in two types of fields: (i) urban and (ii) rural areas.

## 64 **2 Methods and materials**

### 65 **2.1 Study areas**

66 We conducted experiments in two kinds of Nepalese fields: (i) urban areas such as Kathmandu, Lal-  
67 itpur, Bhaktapur and Pokhara and (ii) rural areas of several traditional villages from Prabhat and Chitwan  
68 districts. Both areas are almost homogeneous in terms of culture, language and religion. The urban ar-  
69 eas usually have highest human development index (HDI) on the basis of UNDP (2014) and population  
70 density is also high. For instance, Kathmandu has the population density of  $4416 \text{ km}^{-2}$  (Central Bureau  
71 of Statistics, 2011), and is the most crowded city with 24.3 % of the total urban population in Nepal.  
72 Big cities such as Kathmandu and Pokhara are the centers for businesses and services. The rural areas  
73 consist of different villages of the Western Hills and Central Terai such as Prabhat and Chitwan districts  
74 (figure 1). The population densities of Chitwan and Prabhat are  $261 \text{ km}^{-2}$  and  $297 \text{ km}^{-2}$ , respectively  
75 (Central Bureau of Statistics, 2011). All of these villages are mostly agrarian societies and the dwellers  
76 engage in farming generation after generation. A limited number of businesses and services such as  
77 small-scale one are available.

78 [Figure 1 about here.]

### 79 **2.2 Experimental setup**

80 We conducted an intergenerational sustainability dilemma game (ISDG), an individual interview,  
81 a social value orientation (SVO) game and questionnaire surveys for critical thinking disposition and  
82 sociodemographic data in the fields.

#### 83 **Intergenerational sustainability dilemma game and deliberation**

84 An ISDG has been implemented, basically following laboratory and field experiments employed in  
85 Kamijo et al. (2017) and Shahrier et al. (2017). Building upon these previous ISDG experiments, we

86 also add a new element of individual interviews in experimental design the details of which shall be  
87 discussed later. Three subjects in a group are called a generation and each generation needs to choose  
88 between options  $A$  and  $B$ . The generation receives a payoff of  $X$  by choosing option  $A$  and the payoff  
89  $X - 300$  by choosing option  $B$ . After making a choice between  $A$  and  $B$ , the generation is asked to  
90 split the payoff associated with the option they choose among the generation members. Each of the  
91 subject's payoffs in ISDG is the sum of their generation share plus the initial experimental endowment  
92 of 300. For instance, by choosing  $A$ , the generation earns 1200 experimental points ( $X = 1200$ ), while  
93 by choosing  $B$ , the generation earns 900 points ( $= X - 300 = 1200 - 300$ ). Consequently, if members  
94 of this generation split the payoff equally among them, each member earns 400 by choosing  $A$  and 300  
95 by choosing  $B$  as a generation share. Therefore, the total payoff of each subject with generation choice  
96  $A$  becomes 700 ( $= 400 + 300$ ), while it becomes 600 ( $= 300 + 300$ ) with generation choice  $B$ . Each  
97 generation is allowed to deliberate the decision between  $A$  and  $B$ , determining how to split the generation  
98 payoff up to 10 minutes through discussion. After this process, each member goes through a personal  
99 interview where they are naturally induced to reveal their personal thoughts and opinions to support  $A$  or  
100  $B$  before and after deliberation. This individual interview is a new element compared to the pre-existing  
101 ISDG experiments in Kamijo et al. (2017) and Shahrier et al. (2017), clarifying an individual opinion  
102 change over a course of deliberation and the role of deliberation that affects individuals and generations.

103 Each session consists of a sequence of 6 generations. Each generation is randomly assigned to the  
104 1st, 2nd, . . . and 6th generations. One generation's decision affects the subsequent generations such  
105 that subsequent generations' payoff declines uniformly by 300 when the generation chooses option  $A$ ,  
106 otherwise not. For instance, suppose that  $X = 1200$  and the 1st generation chooses  $A$ . Then, the 2nd  
107 generation will face the game in which they can get 900 and 600 by choosing  $A$  and  $B$ , respectively.  
108 However, if the 1st generation chooses  $B$ , the next generation can have the same decision environment  
109 as the 1st generation faced. When the 1st generation chooses  $B$ , the 2nd generation can have the game  
110 in which they can get 1200 and 900 by choosing  $A$  and  $B$ , respectively. Following the same rule, the  
111 game shall continue for the rest of the subsequent generations. Hence, option  $B$  can be considered as  
112 an intergenerational sustainable option, while option  $A$  is the choice that compromises intergenerational  
113 sustainability and can be considered as an unsustainable option. In each session, the 1st generation starts



114 the ISDG game with  $X = 1200$ , implying that the 5th and 6th generations may face the game in which  
115 options  $A$  and  $B$  are associated with payoffs of zero and  $-300$ , respectively.<sup>2</sup> In ISDG, subjects are paid  
116 NPR 550 ( $\approx$  USD 5.00) at maximum and NPR 350 ( $\approx$  USD 3.50) on an average.

### 117 **Individual interviews**

118 In order to know the effects of deliberation, we seek to find out the patterns of the shift in subjects'  
119 individual attitudes and opinions to support  $A$ ,  $B$  or to be ambivalent (to have no ideas) coded as  $N$   
120 before and after deliberation. Each subject is asked to answer whether she supported  $A$ ,  $B$  or  $N$  and  
121 the associated reasons before and after deliberation or over a course of deliberation in the individual  
122 interviews. Interviewers are trained to naturally elicit such answers and the corresponding reasons for  
123 subjects' attitudes and opinions. The individual interviews successfully identify whether or not each  
124 subject changes their individual opinion to support  $A$ ,  $B$  or  $N$  through deliberation. For instance, some  
125 subject is recognized to have supported  $A$  as an individual opinion before deliberation, but to have ended  
126 up supporting  $B$  after deliberation. In this case, her opinion change is coded as  $AB$  where the first let-  
127 ter represents her support for  $A$  before deliberation, and the second letter does her support for  $B$  after  
128 deliberation. In the same way, we identify and code subjects' opinion changes through individual inter-  
129 views and all the possible combinations of opinion changes are  $AA$ ,  $AB$ ,  $AN$ ,  $BA$ ,  $BB$ ,  $BN$ ,  $NA$ ,  $NB$   
130 and  $NN$ . With this information of individual opinion changes before and after deliberation, we can also  
131 identify whether or not each generation has had unanimity to decide between  $A$  and  $B$  before and after  
132 deliberation.

### 133 **Social value orientation (SVO) games**

134 The SVO experiment of the "slider method" has been conducted to identify subjects' social prefer-  
135 ences as prosocial or proself in urban and rural areas, following Murphy et al. (2011). Figure 2 shows  
136 six items of the slider measure that gives numbers to represent outcomes for oneself and for the other in  
137 a pair of two persons where the other is unknown to the subject. Subjects are asked to make a choice

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<sup>2</sup>When the 5th and 6th generations face the game in which options  $A$  and  $B$  are associated with zero or a negative payoff of  $-300$ , the generation members can refund themselves equally from their initial endowment of 300 to make the individual payoff to be at least zero.

138 among the nine options for each item. Each subject chooses her allocation by marking a line at the  
139 point that defines her most preferred distribution between oneself and the other. The mean allocation  
140 for oneself  $\bar{A}_s$  and the mean allocation for the other  $\bar{A}_o$  are computed from all six items (see figure 2).  
141 Then, 50 is subtracted from  $\bar{A}_s$ , and  $\bar{A}_o$  to shift the base of the resulting angle to the center of the circle  
142 (50, 50). The index of a subject's SVO is given by  $SVO = \arctan \frac{(\bar{A}_o)-50}{(\bar{A}_s)-50}$ . Depending on the values  
143 generated from the test, social preferences are categorized as follows: 1. altruist:  $SVO > 57.15^\circ$ , 2.  
144 prosocial:  $22.45^\circ < SVO < 57.15^\circ$ , 3. individualist:  $-12.04^\circ < SVO < 22.45^\circ$  and 4. competitive  
145 types:  $SVO < -12.04^\circ$ .

146 [Figure 2 about here.]

147 The SVO framework assumes that people have different motivations and goals for evaluating resource  
148 allocations between oneself and others. Also, the SVOs or social preferences are established to be stable  
149 for a long time (see, e.g., Van Lange et al., 2007, Brosig-Koch et al., 2011). Responses that are yielded  
150 from six primary items give complete categories of social preferences. A major reason for using six  
151 primary slider measures developed by Murphy et al. (2011) is due to its simplicity and easy to implement  
152 in the Nepalese fields. It is intuitive for subjects to understand even with a limited level of education. As  
153 it is done in psychology, we further simplify the four categories of social preferences into two categories  
154 of prosocial and proself types; “altruist” and “prosocial” types are categorized as “prosocial” subjects,  
155 while “individualistic” and “competitive” types are categorized as “proself” subjects (see Murphy et al.,  
156 2011). Subjects are informed that the units represented in this game are points and the more points mean  
157 more real money he/she will earn. In this game, the subject receives Nepalese Rupees (NPR) 150 after  
158 applying some exchange rate to the points she obtains ( $\approx$  USD 1.5) at maximum and NPR 100 ( $\approx$  USD  
159 1.0) at the average. To compute the payoff of the subjects from this game, we randomly match a subject  
160 with another subject as a pair. The experimental payoff in this SVO game is the summation of points  
161 from 6 selections by herself for oneself and 6 selection by the partner for the other. We also explain the  
162 ways of random matching and payoff calculation with the exchange rate for the real money incentive to  
163 subjects.

## 164 **Critical thinking disposition**

165 The logical thinking subscale of the critical thinking disposition scale has been adopted in the ques-  
166 tionnaire surveys to measure individual abilities of how each subject can critically think about an issue,  
167 following Nakagawa (2015). This subscale consists of 13 items, which could be translated into English  
168 as follows: (1) “I am good at thinking about complex problems in an orderly fashion,” (2) “I am good  
169 at collecting my thoughts,” (3) “I am confident in thinking about things precisely,” (4) “I am good at  
170 making persuasive arguments,” (5) “I am confused when thinking about complex problems” (reversed  
171 item), (6) “I am usually the one to make decisions because my peers believe I can make fair judgments,”  
172 (7) “I can concentrate on grappling with problems,” (8) “I can continue working on a difficult problem  
173 that is not straightforward,” (9) “I can think about things coherently,” (10) “One of my shortcomings is  
174 that I am easily distracted” (reversed item), (11) “When I think about a solution, I am unable to think  
175 about other alternatives” (reversed item), (12) “I can inquire into things carefully,” and (13) “I am con-  
176 structive in proposing alternatives.” Items were rated from 1 (strongly disagree) to 5 (strongly agree).  
177 The summation of rates from 1 to 5 over 13 items is the scale of critical thinking disposition, and the  
178 theoretical range is 13-65.

## 179 **2.3 Experimental procedure**

180 We collected subjects through local government offices known as village development committee  
181 (VDC) and randomly selected the required number of households in the list of residents for the rural  
182 areas (Central Bureau of Statistics, 2011). We invite one member from each household to participate in  
183 our experiments. For urban areas, we conducted occupation-based randomization by taking the desired  
184 number of subjects from each occupation. The field experiments have been conducted at elementary  
185 schools and governmental agricultural community halls in the rural area, whereas the experiments were  
186 conducted at district health organization training halls in the urban areas. In total, 12 and 13 sessions in  
187 urban and rural areas have been conducted, respectively, and 363 subjects participated in this experiment.  
188 On an average, we paid NPR 550 ( $\approx$  USD 5.00) to each subject including a fixed show-up fee of NPR  
189 100 ( $\approx$  USD 1). Each session took 2  $\sim$  3 hours approximately.

190 The subjects were given experimental instructions in each session by their native language (Nepali)

191 along with the verbal explanation for the rules of the game to double-check their understanding. Finally,  
192 in each generation, three subjects are randomly assigned to one generation within a sequence of six  
193 generations in a session. To maintain anonymity across generations, we place the 6 generations in 6  
194 separate rooms by asking each subject to go and sit in a specific room according to their ID. Hence,  
195 a subject could communicate only with the members of his/her own generation. Thereafter, we elicit  
196 each generation's choice between intergenerational unsustainable option *A* and sustainable option *B* in  
197 an ascending order from the 1st generation to 6th generation. After generation decisions, each subject  
198 was interviewed to reveal their personal attitude and opinions to support *A*, *B* or *N* before and after the  
199 deliberation or over a course of the deliberation. Each subject knows which generation they belong to and  
200 the payoffs associated with the options of *A* and *B*. Therefore, each generation is able to calculate how  
201 many times *A* and *B* have been chosen by the previous generations. After the ISDG games, we conduct  
202 individual interviews, the SVO game and questionnaire surveys to elicit subjects' sociodemographic and  
203 psychological information.

### 204 **3 Results**

205 The summary statistics of socio-demographic and psychological (or cognitive) variables collected  
206 through questionnaire surveys are presented in table 1. In rural areas, 44 % of subjects are male, while,  
207 in urban areas, 66 % of subjects are male. This fact illustrates that a considerable portion of household  
208 heads are working away from home in rural areas (Massey et al., 2010). With respect to education,  
209 subjects in rural areas only possess 10 years of schooling on an average, while more than 50 % of the  
210 subjects in urban areas have an undergraduate degree with 16 years of schooling. In agricultural sector,  
211 88 % of the rural subjects are engage in farming and forestry as their main activities, and only 37 % of  
212 urban subjects are related to agriculture. The household income is lower in rural areas than in urban areas,  
213 and percentages of a single family structure in rural and urban areas are, respectively, 47 % and 62 %.  
214 An average family size does not vary in both areas. The critical thinking disposition is slightly lower in  
215 rural areas than in urban areas. With respect to social value orientation, 62 % and 47 % of subjects are  
216 prosocial, respectively, in rural and urban areas. Overall, the summary statistics of socio-demographic  
217 and psychological variables in table 1 suggest that there are some differences between these two areas.

[Table 1 about here.]

219 Summary statistics of generation choices for intergenerational unsustainable option *A* and sustain-  
 220 able option *B* in ISDG are presented in Table 2. It shows that from a total of 121 generations (62 and  
 221 59 generations are in rural and urban areas, respectively), 90 (74.38 %) generations choose sustainable  
 222 option *B* and 31 (25.62 %) generations choose unsustainable option *A*. Furthermore, 52 (83.87 %) gen-  
 223 erations choose option *B* and 10 (16.13 %) generations choose option *A* in rural areas. In urban areas,  
 224 38 (64.41 %) generations choose option *B* and 21 (35.59 %) generations choose option *A*. We run a  
 225 chi-squared test with the null hypothesis that the distributions over generation choices between *A* and *B*  
 226 across the two areas are the same. The result rejects the null hypothesis with a statistical significance  
 227 of 5 %. In summary, generations in rural areas choose more intergenerational sustainable option *B* than  
 228 generations in urban areas.

[Table 2 about here.]

230 The frequency and percentage of generation choices between *A* and *B* with respect to a number of  
 231 prosocial members in each generation are shown in table 3. In both rural and urban areas, the choices of  
 232 sustainable option *B* increase with a number of prosocial members in a generation. Another interesting  
 233 fact is that a majority of generations choose *B* in rural areas, when at least one subject in a generation  
 234 is prosocial. On the other hand, in urban areas, a majority of generations do not necessarily choose *B*  
 235 even when one subject in a generation is prosocial. These facts illustrate that, along with prosociality  
 236 in a generation, there may be other factors such as a area effect to affect generation choices between  
 237 unsustainable options *A* and sustainable option *B*. To this end, we run logistic regression to characterize  
 238 generation choices with respect to prosociality, areas and other variables, taking generation choice as a  
 239 dependent variable and other variables at generation level as independent variables.

[Table 3 about here.]

241 Table 4 presents the marginal effects of an independent variable on the probability for a generation  
 242 to choose option *B*, taking generation choice of option *A* as a base group of the dependent variable  
 243 in the logistic regression. In model 1, we include area dummy and a number of prosocial members

244 in each generation as independent variables. To check robustness of the result in model 1, we added  
245 sociodemographic and psychological variables such as gender, education, monthly income, single family  
246 type, critical thinking disposition and agricultural involvement at generational level in model 2 (See  
247 table 4 for the definitions). Model 1 in table 5 shows that the area dummy and a number of prosocial  
248 subjects in a generation are economically and statistically significant, showing that generations in rural  
249 areas have 14.2 % higher probability of choosing sustainable option *B*, compared with the generations in  
250 urban areas. Furthermore, an increase in a number of prosocial members per generation leads to 21.5 %  
251 rise in the probability of choosing *B* relative to the probability of choosing *A*. These two findings are  
252 statistically significant at 5 % and 1 % level, respectively.

253 In model 2 of table 5, gender, education, monthly income, single family type, critical thinking dis-  
254 position and agricultural involvement as an explanatory variables have no effect on generation choices.  
255 At the same time, the area dummy becomes insignificant and a number of prosocial members per gener-  
256 ation remains significant. We identify that the area dummy tends to become insignificant as in model 2,  
257 when we run regressions by adding more independent variables at generation level. This is because these  
258 additional variables such as education and agricultural involvement have high correlation with the area  
259 dummy and addition of these variables in the regression partial out the effect of the area dummy. We  
260 have also tried several different specifications of the models, consistently finding the same tendency that  
261 a number of prosocial members remains significant, but the area dummy becomes insignificant. Over-  
262 all, the analysis suggests that the sociodemographic and psychological variables that correlate with the  
263 area dummy partial out the effect to be insignificant, and also demonstrates that a number of prosocial  
264 members per generation is robust enough to be significant irrespective of the regression specifications. It  
265 can be concluded that people in rural areas tend to choose more sustainable options than in urban areas  
266 mainly due to their prosociality, but it appears that the area-dummy effects may play some roles.

267 [Table 4 about here.]

268 [Table 5 about here.]

269 Table 6 shows the frequency and percentage of individual opinion (attitude) changes to support *A*,  
270 *B* or to be ambivalent (or to have no ideas) as *N* before and after deliberation. When there are no

271 individual opinion (attitude) changes before and after deliberation, such situations are coded as *AA*,  
272 *BB* or *NN* where the first (second) letter represents the attitude or opinion to support before (after)  
273 deliberation. The other combinations of the two letters represent a situation where a subject changes  
274 attitudes or opinion before and after deliberation. For instance, *AB* describes a situation where the  
275 subject initially had an opinion to support *A* before deliberation, but changed her opinion to support  
276 *B* after deliberation. Subjects who do not change their opinions for sustainable options *B* account for  
277 78.49 % and 55.93 % in rural and urban areas, respectively. For unsustainable option *A*, they are 9.14 %  
278 and 16.95 %. The result implies that a majority of subjects in rural areas have consistent opinions of  
279 *BB*, while approximately 45 % of subjects in urban areas followed opinion shifts other than *BB*. To  
280 see the effectiveness of deliberative democracy for intergenerational sustainability, we check whether  
281 subjects change their opinions from *A* (*N*) to *B* as *AB* (*NB*). 1.08 % (2.15 %) and 6.78 % (1.13 %) of  
282 subjects follow *AB* (*NB*) in rural and urban areas, respectively. These percentages are not necessarily  
283 high compared with those of other opinion shifts such as *BA* or *BN*. For instance, 2.15 % (5.38 %)  
284 and 6.21 % (5.08 %) of subjects followed *BA* (*BN*) in rural and urban areas, respectively. Therefore, it  
285 appears that deliberation do not induce subjects to support sustainable option *B* in both rural and urban  
286 areas.

287 [Table 6 about here.]

288 Past literature has suggested that deliberation leads to collective decisions with unanimity (Gerardi  
289 and Yariiv, 2007, Neilson and Winter, 2008, Gillet et al., 2009, Ruth and Danziger, 2016). With the  
290 data of individual opinion changes, we address whether the aforementioned claim is true in ISDG. To  
291 this end, we introduce some terminologies to classify various cases of unanimity that can arise in ISDG.  
292 When all members in a generation have the same opinion of *A*, *B* or *N* before the deliberation, we called  
293 such a situation preunanimity, otherwise, it is called non-preunanimity. Similarly, when all the members  
294 have the same opinion of *A*, *B* or *N* in a generation, it is called postunanimity, otherwise, it is called  
295 non-postunanimity. With these definitions, all the generations fall into one of the following “unanimity”  
296 categories: 1. Non-preunanimity - Postunanimity, 2. Preunanimity - Postunanimity, 3. Preunanimity -  
297 Non-postunanimity and 4. Non-preunanimity - Non-postunanimity.

298 Table 7 shows the number of generations by the unanimity categories. Out of total 121 genera-  
299 tions, 75 generations have reached postunanimity ( $= 7 + 68$ ) (See the “subtotal column” and the “Non-  
300 preunanimity - Postunanimity” & “Preunanimity - Postunanimity” rows in table 7). Also, a majority  
301 of generations that reached postunanimity choose option *B*, implying that reaching unanimity through  
302 deliberation is a key for intergenerational sustainability. However, we can also see that 91 ( $= 68 + 23$ )  
303 generations have had preunanimity (See the “subtotal column” and the “Preunanimity - Postunanimity”  
304 & “Preunanimity - Non-postunanimity” rows in table 7), implying that the number of generations that  
305 reach unanimity declines from 91 to 75 through deliberation in ISDG. To statistically confirm this result,  
306 we run the chi-squared test with the null hypothesis that the distributions of generations over preunanimi-  
307 ty and postunanimity are the same. It rejects the null hypothesis with a statistical significance of 5 %,  
308 implying that deliberation in ISDG decreases the likelihood of unanimity.

309 [Table 7 about here.]

310 Next, we analyze the factors that bring individual opinion changes (attitude) through deliberation.  
311 To see the effects of such factors, we run logit regression taking an individual opinion (attitude) change  
312 through deliberation as a dependent variable. The dependent variable is a dummy variable that takes 1  
313 when a subject changes her opinion or attitude to support *A*, *B* or *N* before and after deliberation such as  
314 *AB*, *AN*, *BA*, *BN*, *NA* and *NB*. Independent variables in the regression contain area dummy, critical  
315 thinking disposition, preunanimity, minority dummy with additional sociodemographic factors such as  
316 gender, education, monthly income, family size and agricultural involvement. The definitions of all the  
317 variables in this logit regression are summarized as “variables at individual level” in table 4. Table 8  
318 presents the marginal effects for models 1 and 2 from logistic regression. In model 1, we do not control  
319 for sociodemographic variables. For robustness check, we include sociodemographic variables in model  
320 2.

321 We find that area dummy, critical thinking disposition, preunanimity, minority dummy are the major  
322 factors that cause individual opinion (attitude) changes through the deliberation in models 1 and 2. On  
323 the other hand, the sociodemographic variables do not exhibit any explanatory power. We have also  
324 tried different specifications of regressions in addition to models 1 and 2, and the qualitatively same  
325 results are obtained. The area dummy is statistically significant in that subjects in rural areas are 10.6 %



326 less likely to change their opinions (attitudes) through the deliberation, compared to subjects in urban  
327 areas. This rural area effects are considered very strong because a high portion of subjects (78.49%)  
328 have consistently chosen sustainable option *B* (See table 6). It explains that there is a less variation in  
329 heritable culture among rural people as they have a similar social learning. The culture and ways of  
330 thinking are homogeneous, passing from generations to generations through the social interactions in  
331 rural areas (Hooper et al., 2015, Schniter et al., 2015).

332 [Table 8 about here.]

333 The results in model 1 of table 8 also show that one unit scale increase in critical thinking dispo-  
334 sition would decrease 1% probability for a member in a generation to change his/her opinions through  
335 deliberation at 1% significance level. However, the magnitude of critical thinking on opinion change  
336 could be considered rather small. Subjects with higher critical thinking abilities should be able to judge  
337 and understand the quality of arguments with a logical validity. Therefore, they are less likely to change  
338 their opinion and this result is consistent with previous research (Nakagawa, 2015, Howarth et al., 2016,  
339 Bear and Rand, 2016). Furthermore, generations with preunanimity have 10.3% lower probability for  
340 its members to change their opinions than the generations without preunanimity at the statistical signifi-  
341 cance level of 5%. Therefore, whether preunanimity is reached or not is a key for individuals to change  
342 their opinions.

343 Finally, our result shows that a minority individual in a generation have 16.0% higher probability  
344 to change their opinion, compared with a non-minority individual in a generation at 1% statistical sig-  
345 nificance. We initially expect this result, hoping that the minority individual changes her opinion to the  
346 majority opinion for intergenerational sustainability. However, this is identified to be untrue. In fact,  
347 when the minority individual in a generation changes her opinion, the direction of the changes does not  
348 occur to align with majority opinions, which is confirmed in table 7. Table 7 demonstrates that 7 gen-  
349 erations are able to reach postunanimity when there is a minority individual in the generations. On the  
350 other hand, 23 generations are not able to reach postunanimity when there is a minority individual in  
351 the generations, showing that the deliberation does not necessarily induce a majority winning through an  
352 opinion change for the minority individual to be in the majority side (Aldred, 2004, List et al., 2013).

353 In summary, a series of our results appear to suggest that deliberative democracy does not necessarily  
354 help maintaining intergenerational sustainability. First, we have shown that subjects in rural areas choose  
355 sustainable option *B* much more often than those in urban areas. Also, a number of prosocial members  
356 per generation is a key for generation choices where a proportion of prosocial subjects is higher in  
357 rural areas than in urban areas. To demonstrate how deliberative democracy is effective to maintain  
358 intergenerational sustainability, we have interviewed subjects to elicit individual opinion changes during  
359 deliberation. Tables 6 to 8 demonstrate that deliberation does not induce individuals and generations to  
360 support and to choose sustainable opinion *B*.

### 361 **3.1 Discussion**

362 Urban and rural areas function in a different way in terms of their environment, uses of technologies,  
363 and social interactions among people. In many cases, the basic city life in Kathmandu and Pokhara does  
364 not require people to have human interactions or intimacy even with their colleagues. On the contrary,  
365 people in rural areas have close interactions and intimacy with their neighbors due to direct dependency  
366 on agriculture-based activities. In summary, rural life in Nepal induces people to interact with neighbors  
367 and others on a daily basis, while urban life does not. With these realities, it is our belief that the dif-  
368 ference of how people interact with others affects social preferences and behaviors. Therefore, a higher  
369 proportion of prosocial people are found in rural areas in comparison to urban areas. Prosocial prefer-  
370 ences directly affect people decisions on how to live their everyday life, such as unplugging cell phone,  
371 using public transport to work, or installing a solar panel on a roof for energy (Van Lange et al., 2007).  
372 At individual level, effects from those activities are minimal, but at aggregate level, they substantial. Our  
373 research identifies that prosociality is a key driver to determine not only every day life event but also  
374 intergenerational sustainability.

375 A series of our results demonstrate that deliberative democracy is not effective enough to resolve  
376 ISDs. This result appears to be in sharp contrast with past literature (Cardenas, 2000, Cardenas et al.,  
377 2000, Neilson and Winter, 2008, Gerardi and Yariv, 2007, Gillet et al., 2009, Cason et al., 2012, Ghate  
378 et al., 2013, Ruth and Danziger, 2016). However, there is a clear distinction between ours and the  
379 previous works. In ISDG, there is no room for Pareto improvement, because either the current generation

380 or the future generation needs to bear the cost for intergenerational sustainability, while previous works  
381 deal with prisoner's dilemma or public goods game where possibilities of Pareto improvement always  
382 exist. We conjecture that deliberative democracy may not be effective to resolve the problems in which  
383 there are no possibilities of Pareto improvement such as ISDG and new mechanisms shall be necessary  
384 for the solutions.

385 A novelty of our experimental design lies in conducting individual interviews to identify individ-  
386 ual opinion changes over a course of deliberation. The interviews reveal that there is a fundamental  
387 difference on how culture and society shape deliberation with varying social norms. In rural areas,  
388 approximately 80 % of subjects consistently support sustainable option *B* without any opinion change  
389 during deliberation, whereas approximately 50 % of urban subjects do so. In particular, we find that  
390 individual opinion changes have occurred more frequently in urban subjects. This is due to the fact that  
391 urban subjects have wider varieties of opinions than rural subjects, leading to more conflicts of interests  
392 during the deliberation of the generations. As a result, deliberation does not seem to induce individu-  
393 als and generations to support sustainable option *B*. Overall, our findings demonstrate that deliberative  
394 democracy does not necessarily resolve ISDs, and some new mechanisms or devices shall be necessary  
395 for the solutions.

## 396 **4 Conclusion**

397 This paper has analyzed (1) the factors to characterize the preferences and behaviors and (2) whether  
398 deliberative democracy resolves the problem in intergenerational sustainability dilemma (ISD) through  
399 conducting an intergenerational sustainability dilemma game (ISDG) in two types of Nepalese fields  
400 (urban and rural areas). Our results show that generations are more likely to choose sustainable op-  
401 tions when a number of prosocial members per generation increases. Since a considerable percentage  
402 of prosocial people are found in rural areas, rural people choose intergenerational sustainable options  
403 much more frequently than urban people. More specifically, individual livelihood and cultures seem  
404 to be an important aspect that shapes individual norms and values that affect intergenerational sustain-  
405 ability. Our results also demonstrate that deliberative democracy does not induce individual opinion  
406 changes and generation decisions in favor of intergenerational sustainability. Over, our research shows

407 that deliberative democracy does not resolve ISDs. This implies that some new mechanisms in place of  
408 deliberative democracy may be necessary to enhance or maintain intergenerational sustainability unless  
409 urban societies would have more prosocial people or cultural changes in the future.

410 We note some limitations of the present study and future research. First, our experiment is instituted  
411 under non-overlapping generations to focus only on the problems of ISDs. In reality, however, genera-  
412 tions are overlapping in societies. Future research should address ISDs in the situation of overlapping  
413 generations. Second, although we find that deliberative democracy does not resolve the problems of  
414 ISDs, future research may be able to find another new type of social problems where deliberative democ-  
415 racy cannot resolve. Because many countries are operated under democracy, it is important to know the  
416 class of social problems that deliberative democracy does not resolve. Finally, this research does not fully  
417 utilize the contents of generations' discussions for analyzing individual opinion changes in deliberation  
418 and generation decisions. Future research should be able to characterize dynamic changes of individual  
419 and generation opinions through analyzing the detailed contents and conversations in deliberation. To  
420 this end, qualitative deliberative analysis shall be usefully applied as is done in psychology and polit-  
421 ical science. These caveats notwithstanding, we believe that this work is the important first step as an  
422 experimental study to demonstrate that deliberative democracy does not resolve ISDs.

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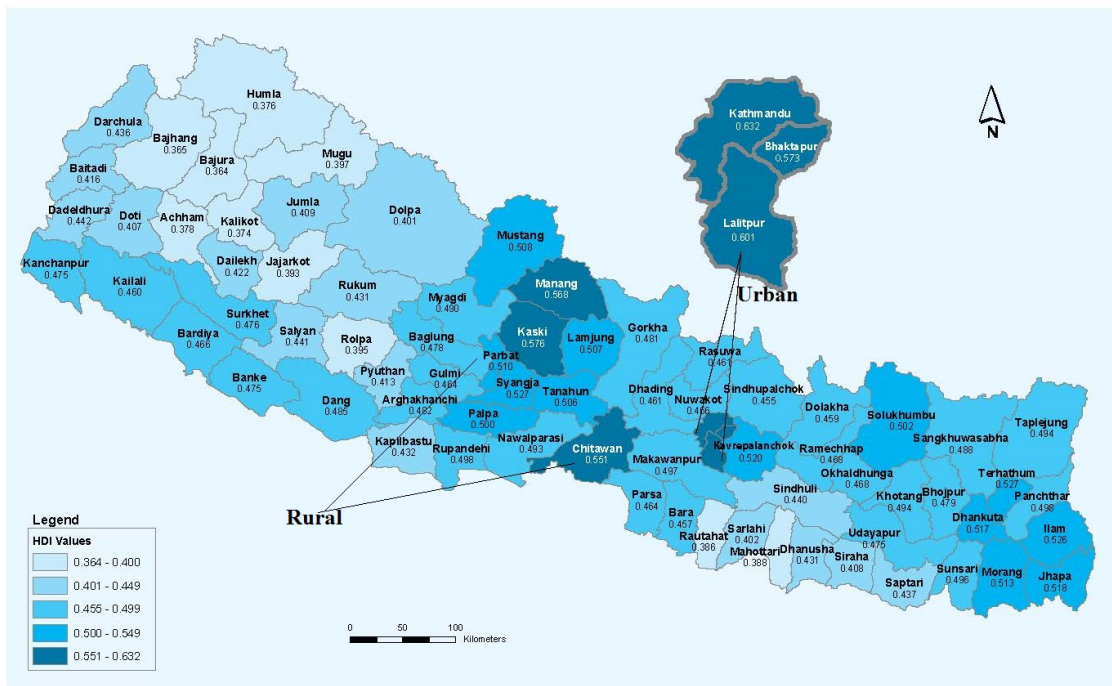


Figure 1: Urban and rural areas in Nepalese fields

Figure 2: Instructions of the “slider method” for measuring social value orientations

**Instructions**

In this task you have been randomly paired with another person, whom we will refer to as the **other**. This other person is someone you do not know and will remain mutually anonymous. All of your choices are completely confidential. You will be making a series of decisions about allocating resources between you and this other person. For each of the following questions, please indicate the distribution you prefer most by **marking the respective position along the midline**. You can only make one mark for each question.

Your decisions will yield money for both yourself and the other person. In the example below, a person has chosen to distribute money so that he/she receives 50 dollars, while the anonymous other person receives 40 dollars.

There are no right or wrong answers, this is all about personal preferences. After you have made your decision, **write the resulting distribution of money on the spaces on the right**. As you can see, your choices will influence both the amount of money you receive as well as the amount of money the other receives.

Example:

|                |   |    |    |    |    |    |    |    |    |                 |
|----------------|---|----|----|----|----|----|----|----|----|-----------------|
| You receive    | 30  | 35 | 40 | 45 | 50 | 55 | 60 | 65 | 70 | You <u>50</u>   |
|                | ----- ----- ----- ----- ----- ----- ----- ----- ----- |    |    |    |    |    |    |    |    |                 |
|                | ----- ----- ----- ----- ----- ----- ----- ----- ----- |    |    |    |    |    |    |    |    |                 |
| Other receives | 80  | 70 | 60 | 50 | 40 | 30 | 20 | 10 | 0  | Other <u>40</u> |

|                |   |             |     |    |    |    |    |    |     |             |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
|----------------|---|-------------|-----|----|----|----|----|----|-----|-------------|-----|-----------|--|---|--|--|--|--|--|--|--|--|--|--|---|--|--|--|--|--|--|--|--|--|----------------|-----|----|----|----|----|----|----|----|-----|-------------|
| 1              | <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15%;">You receive</td> <td>85</td><td>85</td><td>85</td><td>85</td><td>85</td><td>85</td><td>85</td><td>85</td><td>85</td> <td style="width: 15%; vertical-align: bottom;">You _____</td> </tr> <tr> <td style="border: none;"></td> <td colspan="9" style="border: none; text-align: center;"> ----- ----- ----- ----- ----- ----- ----- ----- ----- </td> <td style="border: none;"></td> </tr> <tr> <td style="border: none;"></td> <td colspan="9" style="border: none; text-align: center;"> ----- ----- ----- ----- ----- ----- ----- ----- ----- </td> <td style="border: none;"></td> </tr> <tr> <td style="border: none;">Other receives</td> <td>85</td><td>76</td><td>68</td><td>59</td><td>50</td><td>41</td><td>33</td><td>24</td><td>15</td> <td style="width: 15%; vertical-align: bottom;">Other _____</td> </tr> </table>   | You receive | 85  | 85 | 85 | 85 | 85 | 85 | 85  | 85          | 85  | You _____ |  | ----- ----- ----- ----- ----- ----- ----- ----- ----- |  |  |  |  |  |  |  |  |  |  | ----- ----- ----- ----- ----- ----- ----- ----- ----- |  |  |  |  |  |  |  |  |  | Other receives | 85  | 76 | 68 | 59 | 50 | 41 | 33 | 24 | 15  | Other _____ |
| You receive    | 85  | 85          | 85  | 85 | 85 | 85 | 85 | 85 | 85  | You _____   |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
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| Other receives | 85  | 76          | 68  | 59 | 50 | 41 | 33 | 24 | 15  | Other _____ |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
| 2              | <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15%;">You receive</td> <td>85</td><td>87</td><td>89</td><td>91</td><td>93</td><td>94</td><td>96</td><td>98</td><td>100</td> <td style="width: 15%; vertical-align: bottom;">You _____</td> </tr> <tr> <td style="border: none;"></td> <td colspan="9" style="border: none; text-align: center;"> ----- ----- ----- ----- ----- ----- ----- ----- ----- </td> <td style="border: none;"></td> </tr> <tr> <td style="border: none;"></td> <td colspan="9" style="border: none; text-align: center;"> ----- ----- ----- ----- ----- ----- ----- ----- ----- </td> <td style="border: none;"></td> </tr> <tr> <td style="border: none;">Other receives</td> <td>15</td><td>19</td><td>24</td><td>28</td><td>33</td><td>37</td><td>41</td><td>46</td><td>50</td> <td style="width: 15%; vertical-align: bottom;">Other _____</td> </tr> </table>  | You receive | 85  | 87 | 89 | 91 | 93 | 94 | 96  | 98          | 100 | You _____ |  | ----- ----- ----- ----- ----- ----- ----- ----- ----- |  |  |  |  |  |  |  |  |  |  | ----- ----- ----- ----- ----- ----- ----- ----- ----- |  |  |  |  |  |  |  |  |  | Other receives | 15  | 19 | 24 | 28 | 33 | 37 | 41 | 46 | 50  | Other _____ |
| You receive    | 85  | 87          | 89  | 91 | 93 | 94 | 96 | 98 | 100 | You _____   |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
|                | ----- ----- ----- ----- ----- ----- ----- ----- -----   |             |     |    |    |    |    |    |     |             |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
|                | ----- ----- ----- ----- ----- ----- ----- ----- -----   |             |     |    |    |    |    |    |     |             |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
| Other receives | 15  | 19          | 24  | 28 | 33 | 37 | 41 | 46 | 50  | Other _____ |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
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| You receive    | 50  | 54          | 59  | 63 | 68 | 72 | 76 | 81 | 85  | You _____   |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
|                | ----- ----- ----- ----- ----- ----- ----- ----- -----   |             |     |    |    |    |    |    |     |             |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
|                | ----- ----- ----- ----- ----- ----- ----- ----- -----   |             |     |    |    |    |    |    |     |             |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
| Other receives | 100   | 98          | 96  | 94 | 93 | 91 | 89 | 87 | 85  | Other _____ |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
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| You receive    | 50  | 54          | 59  | 63 | 68 | 72 | 76 | 81 | 85  | You _____   |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
|                | ----- ----- ----- ----- ----- ----- ----- ----- -----   |             |     |    |    |    |    |    |     |             |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
|                | ----- ----- ----- ----- ----- ----- ----- ----- -----   |             |     |    |    |    |    |    |     |             |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
| Other receives | 100   | 89          | 79  | 68 | 58 | 47 | 36 | 26 | 15  | Other _____ |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
| 5              | <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15%;">You receive</td> <td>100</td><td>94</td><td>88</td><td>81</td><td>75</td><td>69</td><td>63</td><td>56</td><td>50</td> <td style="width: 15%; vertical-align: bottom;">You _____</td> </tr> <tr> <td style="border: none;"></td> <td colspan="9" style="border: none; text-align: center;"> ----- ----- ----- ----- ----- ----- ----- ----- ----- </td> <td style="border: none;"></td> </tr> <tr> <td style="border: none;"></td> <td colspan="9" style="border: none; text-align: center;"> ----- ----- ----- ----- ----- ----- ----- ----- ----- </td> <td style="border: none;"></td> </tr> <tr> <td style="border: none;">Other receives</td> <td>50</td><td>56</td><td>63</td><td>69</td><td>75</td><td>81</td><td>88</td><td>94</td><td>100</td> <td style="width: 15%; vertical-align: bottom;">Other _____</td> </tr> </table> | You receive | 100 | 94 | 88 | 81 | 75 | 69 | 63  | 56          | 50  | You _____ |  | ----- ----- ----- ----- ----- ----- ----- ----- ----- |  |  |  |  |  |  |  |  |  |  | ----- ----- ----- ----- ----- ----- ----- ----- ----- |  |  |  |  |  |  |  |  |  | Other receives | 50  | 56 | 63 | 69 | 75 | 81 | 88 | 94 | 100 | Other _____ |
| You receive    | 100   | 94          | 88  | 81 | 75 | 69 | 63 | 56 | 50  | You _____   |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
|                | ----- ----- ----- ----- ----- ----- ----- ----- -----   |             |     |    |    |    |    |    |     |             |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
|                | ----- ----- ----- ----- ----- ----- ----- ----- -----   |             |     |    |    |    |    |    |     |             |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
| Other receives | 50  | 56          | 63  | 69 | 75 | 81 | 88 | 94 | 100 | Other _____ |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
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| You receive    | 100   | 98          | 96  | 94 | 93 | 91 | 89 | 87 | 85  | You _____   |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
|                | ----- ----- ----- ----- ----- ----- ----- ----- -----   |             |     |    |    |    |    |    |     |             |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
|                | ----- ----- ----- ----- ----- ----- ----- ----- -----   |             |     |    |    |    |    |    |     |             |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |
| Other receives | 50  | 54          | 59  | 63 | 68 | 72 | 76 | 81 | 85  | Other _____ |     |           |  |   |  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |                |     |    |    |    |    |    |    |    |     |             |

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Table 1: Summary statistics

| Variables                                      | Urban (59 generations, 177 subjects) |                 |        |       | Rural (62 generations, 186 subjects) |       |       |        |       |       |
|--|--------------------------------------|-----------------|--------|-------|--------------------------------------|-------|-------|--------|-------|-------|
|  | Mean                                 | SD <sup>1</sup> | Median | Min   | Max                                  | Mean  | SD    | Median | Min   | Max   |
| Age <sup>2</sup>                               | 33.77                                | 11.38           | 32.50  | 18.00 | 56.00                                | 33.27 | 11.54 | 30.5   | 16.00 | 66.00 |
| Gender <sup>3</sup>                            | 0.66                                 | 0.47            | 0.00   | 0.00  | 1.00                                 | 0.44  | 0.50  | 0.00   | 0.00  | 1.00  |
| Education <sup>4</sup>                         | 15.20                                | 3.42            | 16.00  | 5.00  | 18.00                                | 10.18 | 2.86  | 10.00  | 1.00  | 18.00 |
| Agricultural involvement <sup>5</sup>          | 0.37                                 | 0.50            | 1.00   | 0.00  | 1.00                                 | 0.88  | 0.33  | 1.00   | 0.00  | 1.00  |
| Monthly income (in NPR 10,000) <sup>6</sup>    | 5.10                                 | 8.05            | 3.40   | 1.00  | 90.00                                | 0.31  | 4.05  | 1.50   | 0.50  | 30.00 |
| Single family <sup>7</sup>                     | 0.62                                 | 0.48            | 1.00   | 0.00  | 1.00                                 | 0.47  | 0.51  | 0.00   | 0.00  | 1.00  |
| Family size <sup>8</sup>                       | 3.03                                 | 0.94            | 3.00   | 1.00  | 5.00                                 | 3.15  | 1.13  | 3.00   | 1.00  | 5.00  |
| <b>Cognitive &amp; psychological variables</b> |                                      |                 |        |       |                                      |       |       |        |       |       |
| Critical thinking disposition <sup>9</sup>     | 48.14                                | 7.12            | 49.00  | 23.00 | 65.00                                | 47.62 | 6.45  | 48.00  | 25.00 | 65.00 |
| SVO <sup>10</sup>                              | 0.47                                 | 0.50            | 1.00   | 0.00  | 1.00                                 | 0.62  | 0.48  | 1.00   | 0.00  | 1.00  |

<sup>1</sup> The "SD" stands for standard deviation.

<sup>2</sup> Age is a continuous variable given in years.

<sup>3</sup> A dummy variable that takes 1 when the subject is male, otherwise 0.

<sup>4</sup> Education represents years of schooling.

<sup>5</sup> Agricultural involvement is a dummy variable that takes 1 when a subject is stably employed or engage in agriculture sector otherwise 0.

<sup>6</sup> Monthly income is given in Nepalese rupees (NPR).

<sup>7</sup> Single family is a dummy variable that takes value of 1 if it is in a single family structure, otherwise 0.

<sup>8</sup> Family size is the number of family members.

<sup>9</sup> Critical thinking disposition is the summation of rates from 1 to 5 over 13 items, and the theoretical range is 13-65. In each item, a question is posed and a subject is asked to choose among 1 "strongly disagree," 2 "disagree," 3 "neutral," 4 "agree" and 5 "strongly agree."

<sup>10</sup> The "SVO" represents a dummy variable of 1 when a subject is prosocial, otherwise, 0.

Table 2: The frequency and percentage of generation choices of  $A$  and  $B$  (percentage in parenthesis)

| Generation choices between $A$ and $B$ | Area          |               | Total          |
|--|---------------|---------------|----------------|
|  | Urban         | Rural         |                |
| $A$                                    | 21 (35.59 %)  | 10 (16.13 %)  | 31 (25.62 %)   |
| $B$                                    | 38 (64.41 %)  | 52 (83.87 %)  | 90 (74.38 %)   |
| Total                                  | 59 (100.00 %) | 62 (100.00 %) | 121 (100.00 %) |

Table 3: The frequency and percentage of generation choices between *A* and *B* with respect to a number of prosocial members in each generation

| # of prosocial members<br>per generation | Urban        |              | Rural        |              |
|--|--------------|--------------|--------------|--------------|
|  | <i>A</i>     | <i>B</i>     | <i>A</i>     | <i>B</i>     |
| 0  | 5 (8.48 %)   | 3 (5.10 %)   | 7 (11.29 %)  | 0 (0.00 %)   |
| 1  | 10 (16.95 %) | 10 (16.95 %) | 3 (4.84 %)   | 10 (16.13 %) |
| 2  | 6 (10.17 %)  | 23 (40.00 %) | 0 (0.00 %)   | 25 (40.32 %) |
| 3  | 0 (0.00 %)   | 2 (3.39 %)   | 0 (0.00 %)   | 17 (27.42 %) |
| Subtotal                                 | 21 (35.59 %) | 38 (64.41 %) | 10 (16.13 %) | 52 (83.87 %) |
| Total                                    | 59 (100 %)   |              | 62 (100 %)   |              |

Table 4: Definitions of variables included in regressions

| Variables                              | Definition of variables included in regressions   |
|--|---|
| <b>Variables at generation level</b>   |   |
| Generation choices between $A$ and $B$ | A dummy variable that takes 1 if the generation choose option $B$ , otherwise 0.  |
| # of prosocial members in a generation | A number of prosocial members in each generation.   |
| Area dummy                             | A dummy variable that takes 1 if the generation is from the rural area, otherwise 0.  |
| Gender                                 | A variable that represents the number of males in each generation.  |
| Education                              | A variable that represents average years of schooling over three subjects in each generation.   |
| Monthly income                         | A variable that represents an average household income of three subjects in each generation.  |
| Single family                          | A dummy variable that represents a number of members in a generation whose with a single family.  |
| Agricultural involvement               | A variable that represents a number of members in a generation who engage in agriculture.   |
| <b>Variables at individual level</b>   |   |
| Individual opinion change              | A dummy variable that takes 1 when a subject changes her individual attitude or opinion to support $A$ , $B$ or $N$ before and after deliberation or over a course of deliberation. |
| Critical thinking disposition          | A variable that represents the summation of rates from 1 to 5 over 13 items of questions. each subject answers in her questionnaire and the theoretical range is 13-65              |
| Preunanimity                           | A dummy variable that takes 1 when all members in a generation have same opinion between $A$ or $B$ before deliberation, otherwise 0.   |
| Minority                               | A dummy variable that takes 1 when the subject have a different opinion from other two members in a generation, otherwise 0.  |
| Gender                                 | A dummy variable that takes 1 when the subject is male, otherwise 0.  |
| Agricultural involvement               | A dummy variable that takes 1 when the subject engages in agriculture sector otherwise 0  |
| Education                              | A variable that represents the subject's years of schooling.  |
| Single family                          | A dummy variable that takes 1 if the subject has a single family, otherwise 0.  |
| Monthly income                         | A variable that represents monthly household income.  |

Table 5: Marginal effects of logit regression for generation choices between  $A$  and  $B$  where the dependent variable of generation choices takes 1 when the generation chooses  $B$ , otherwise 0 ( $N = 121$ )

| Variables                              | Model 1             | Model 2             |
|--|---------------------|---------------------|
| Area dummy (Urban areas = 0)           | 0.142**<br>(0.065)  | 0.122<br>(.114)     |
| # of prosocial members in a generation | 0.215***<br>(0.025) | 0.225***<br>(0.028) |
| Gender                                 |                     | -0.026<br>(0.042)   |
| Education                              |                     | -0.004<br>(0.015)   |
| Monthly income                         |                     | -0.000<br>(0.000)   |
| Single family                          |                     | -0.003<br>(0.039)   |
| Critical thinking disposition          |                     | (-0.001)<br>(0.008) |
| Agricultural involvement               |                     | -0.028<br>(0.036)   |

\*\*\*significant at the 1% level, \*\*significant at the 5% level and \*significant at the 10% level.

The Wald  $\chi^2$  statistic is 41.47 and 43.27 for logit model 1 and model 2, respectively.



Table 6: The frequency and percentage of change in individual opinions for supporting option “A”, “B”, or “N” ambivalent/no ideas before and after the deliberation (percentage in parenthesis)

| Individual opinion change | Areas                 |                       |
|---------------------------|-----------------------|-----------------------|
|                           | Urban                 | Rural                 |
| <i>AA</i>                 | 30 (16.95 %)          | 17 (9.14 %)           |
| <i>AB</i>                 | 12 (6.78 %)           | 2 (1.08 %)            |
| <i>AN</i>                 | 9 (5.08 %)            | 2 (1.08 %)            |
| <i>BB</i>                 | 99 (55.93 %)          | 146 (78.49 %)         |
| <i>BA</i>                 | 11 (6.21 %)           | 4 (2.15 %)            |
| <i>BN</i>                 | 9 (5.08 %)            | 10 (5.38 %)           |
| <i>NN</i>                 | 2 (1.13 %)            | 0 (0.00 %)            |
| <i>NA</i>                 | 3 (1.69 %)            | 1 (0.54 %)            |
| <i>NB</i>                 | 2 (1.13 %)            | 4 (2.15 %)            |
| <b>Total</b>              | <b>177 (100.00 %)</b> | <b>186 (100.00 %)</b> |

Table 7: The number of generations that reach postunanimity after the deliberation

| Unanimity                            | Generation decision |    | Subtotal |
|--------------------------------------|---------------------|----|----------|
|                                      | A                   | B  |          |
| Non-preunanimity - Postunanimity     | 1                   | 6  | 7        |
| Preunanimity - Postunanimity         | 10                  | 58 | 68       |
| Preunanimity - Non-postunanimity     | 13                  | 10 | 23       |
| Non-preunanimity - Non-postunanimity | 6                   | 17 | 23       |
| Subtotal                             | 30                  | 91 | 121      |

Table 8: Models 1 and 2: marginal effects of a logit regressions for individual opinion change ( $N = 363$ )

|  | Model 1              | Model 2              |
|--|----------------------|----------------------|
| Area dummy (Urban areas = 0)                           | -0.106***<br>(0.039) | -0.132**<br>(0.055)  |
| Critical thinking disposition                          | -0.010***<br>(0.003) | -0.010***<br>(0.003) |
| Preunanimity   | -0.103**<br>(0.045)  | -0.096**<br>(0.048)  |
| Minority   | 0.160***<br>(0.059)  | 0.140**<br>(0.065)   |
| Including other socio-demographic variables in model 2 |                      |                      |
| Gender (base group = female)                           |                      | 0.038<br>(0.043)     |
| Education (years of schooling)                         |                      | -0.007<br>(0.006)    |
| Monthly income   |                      | 0.000<br>(0.000)     |
| Family size  |                      | -0.001<br>(0.042)    |
| Agricultural involvement                               |                      | 0.003<br>(0.049)     |

\*\*\*: significant at the 1% level, \*\*: significant at the 5% level and \*: significant at the 10% level.  
 The Wald  $\chi^2$  statistic is 45.21 and 41.50 for logit model 1 and model 2, respectively, and significant at the 1 percent level.